

State of Alaska FY2002 Governor's Operating Budget

Department of Health and Social Services
Mental Health/Developmental Disabilities Administration
Component

Component: Mental Health/Developmental Disabilities Administration

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Component Mission

The mission of the Division is to plan with and provide appropriate prevention, treatment and support for families impacted by mental disorders or developmental disabilities, while maximizing self-determination.

Component Services Provided

This component provides centralized support services for the Alaska Psychiatric Institute, and the Division's two additional BRUs - Community Mental Health Grants and Community Developmental Disabilities Grants. Services include general administration, budget development and fiscal management, service system planning, development of service and training initiatives, maintenance and upgrade of the automated information system, and oversight of the mental health and developmental disabilities \$50.0 million grantee programs. Direct services include quality assurance, technical assistance, case management, and consultation. The Division works closely with the Alaska Mental Health Board, the Governor's Council on Disabilities and Special Education, and the Alaska Mental Health Trust Authority to determine overall policy aspects of planning and implementing a comprehensive system of services for persons who experience mental illness or developmental disabilities.

Component Goals and Strategies

IMPLEMENT STATE LAWS PROTECTING AND ENHANCING THE MENTAL HEALTH OF ALASKANS.

- Effectively administer the state mental health and developmental disabilities programs, oversee nonprofit grantee agencies' provision of related service, and manage the Division's resources.
- Promote increased awareness and acceptance of people with special needs and work toward decreasing the incidence and impact of mental disorders and developmental disabilities.
- Develop and implement operational plans for services to meet the needs of those with mental disorders or developmental disabilities and their families, consistent with requirements of the Mental Health Trust Settlement.

Key Component Issues for FY2001 – 2002

Key issues for the Division as a whole include:

- **Health and safety:** Consumer health and safety issues continue to be at the forefront of the Division's concerns. This coming session we will be seeking legislative change which would require provider agencies to notify the Division in the case of consumers known to be missing, seriously injured or deceased. We will also be seeking revision of the current statutes and regulations governing ALHs, which serve both mental health and developmental disabilities consumers. The Division strives to expand Assisted Living Homes (ALH) licensing requirements to include homes with 1-2 clients, and expects to implement new standards of care, in an effort to increase the health and safety of ALH residents.
- **Staff recruitment and retention:** At both the state and provider levels, difficulty in recruiting and retaining quality staff is of increasing concern. Relative to Alaska's cost of living, local wages are no longer keeping pace with those in much of the lower 48. This points to a need for provider capacity building; without funds to support an adequate infrastructure, agencies are unable to serve a continually larger consumer base.
- **Data:** The Division faces a continued need for management information system (MIS) development in order to meet the increasingly complex data reporting requirements from the legislature, the Alaska Mental Health Trust Authority, the Alaska Mental Health Board, the Governor's Council, and the Commissioner's Office. However, obstacles exist on several fronts. Interconnectivity with other DH&SS Divisions and with provider agency systems needs to be addressed. Currently the interface between the MIS for state-funded mental health services -- Alaska

Recipient Outcome Reporting Application (ARORA) -- and several providers' local systems is flawed and does not assure integrity in transfer of data. The same may become an issue for the Alaska Developmental Disabilities Information System (ADDIS) under development, or for the proposed collaborative ADA/MH Behavioral Health MIS project. Even with functioning system interconnectivity, large gaps in providers' data submission will remain an issue until we can better address grantee noncompliance with reporting requirements. In part, some providers' reluctance to participate in the data exchange with ARORA stems from concerns about consumer privacy issues, and whether the encryption of consumer identifiers is adequate to foil persistent hackers.

- Staff funding: The Division seeks base budget funding for two critical staff, the Statewide Children's Mental Health Services Coordinator and the Mental Health Consumer Affairs position. Both positions were created under Trust initiatives and have proven their value in the mental health system.
- Quality assurance: In both the mental health and developmental disabilities programs, agencies need more technical assistance in their provision of quality services than the Division is able to provide. For the past year, three quality assurance staff have attempted to keep pace with the technical assistance demands from about 100 provider agencies. The Division seeks additional QA funding in order to be able to fill our fourth QA position, and to supplement the annual quality assurance contract.

Major Component Accomplishments for FY2000

Administration component staff provided oversight for the Division's \$50 million dollar grant programs serving an estimated 2,460 developmentally disabled consumers and 20,000 consumers with mental health issues.

Statutory and Regulatory Authority

AS 47.30.520-620	Community Mental Health Services Act
7 AAC 78.010-320	Grant Programs (Regulations)
7 AAC 71.010-300	Community Mental Health Services (Regulations)
PL 102-321	Community Mental Health Services (Includes Block Grant)
AS 47.30.665-915	State Mental Health Policy (Hospitalization of Clients)
AS 47.80.010-900	Persons with Disabilities
7 AAC 72.010-900	Civil Commitment (Regulations)
AS 44.29.020	Department of Health and Social Services (State Management of Programs)

Mental Health/Developmental Disabilities Administration**Component Financial Summary***All dollars in thousands*

	FY2000 Actuals	FY2001 Authorized	FY2002 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	3,228.0	3,962.0	3,852.4
72000 Travel	303.7	328.9	373.6
73000 Contractual	957.6	1,461.9	2,198.2
74000 Supplies	106.1	75.9	76.0
75000 Equipment	137.1	99.1	89.1
76000 Land/Buildings	0.0	0.0	0.0
77000 Grants, Claims	22.9	12.4	76.2
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	4,755.4	5,940.2	6,665.5
Funding Sources:			
1002 Federal Receipts	334.9	1,181.7	1,612.4
1005 General Fund/Program Receipts	3.0	10.1	10.1
1007 Inter-Agency Receipts	1,555.7	1,715.8	1,949.6
1037 General Fund / Mental Health	2,566.9	2,713.9	2,700.3
1092 Mental Health Trust Authority Authorized Receipts	294.9	318.7	393.1
Funding Totals	4,755.4	5,940.2	6,665.5

Estimated Revenue Collections

Description	Master Revenue Account	FY2000 Actuals	FY2001 Authorized	FY2001 Cash Estimate	FY2002 Governor	FY2003 Forecast
<u>Unrestricted Revenues</u>						
None.		0.0	0.0	0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0	0.0	0.0
<u>Restricted Revenues</u>						
Federal Receipts	51010	334.9	1,181.7	1,181.7	1,612.4	806.0
Interagency Receipts	51015	1,555.7	1,715.8	1,892.9	1,949.6	2,032.8
General Fund Program Receipts	51060	3.0	10.1	10.1	10.1	10.1
Mental Health Trust Authority Auth.Rec.	51410	294.9	318.7	318.7	393.1	348.1
Restricted Total		2,188.5	3,226.3	3,403.4	3,965.2	3,197.0
Total Estimated Revenues		2,188.5	3,226.3	3,403.4	3,965.2	3,197.0

Mental Health/Developmental Disabilities Administration**Proposed Changes in Levels of Service for FY2002**

Not yet resolved.

**Summary of Component Budget Changes
From FY2001 Authorized to FY2002 Governor**

All dollars in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2001 Authorized	2,724.0	1,181.7	2,034.5	5,940.2
Adjustments which will continue current level of service:				
-Transfer Out All Fed-Transfer In Multiple Grants From Fed MH Project	0.0	323.7	0.0	323.7
-Transfer to API to meet Personal Services Need	-74.2	0.0	0.0	-74.2
-Year 2 Labor Costs - Net Change from FY2001	-8.4	-3.2	-7.3	-18.9
-Medicaid Disproportionate Share Indirect from Federal MH projects (RP06-1-0002)	0.0	0.0	177.1	177.1
Proposed budget decreases:				
-Consumer Affairs	0.0	0.0	-45.0	-45.0
-MHDD Admin Salary Adjustment	0.0	0.0	-36.0	-36.0
Proposed budget increases:				
-Inpatient Quality Assurance Reviews of DE and DE&T facilities, and API	0.0	0.0	30.0	30.0
-Assisted Living Homes Health & Safety Quality Assurance and Licensing	0.0	0.0	145.0	145.0
-Outpatient: Implement Integrated Quality Assurance Review Program	69.0	0.0	-50.0	19.0
-Increase Medicaid Svcs RSA, reduce DSH, LIT	0.0	0.0	33.2	33.2
-Vocational Rehab Counselor	0.0	0.0	61.2	61.2
-MHDD Admin Salary Adjustment	0.0	110.2	0.0	110.2
FY2002 Governor	2,710.4	1,612.4	2,342.7	6,665.5

Mental Health/Developmental Disabilities Administration**Personal Services Information**

Authorized Positions			Personal Services Costs	
	FY2001 Authorized	FY2002 Governor		
Full-time	57	58	Annual Salaries	3,047,559
Part-time	3	4	COLA	43,373
Nonpermanent	1	1	Premium Pay	0
			Annual Benefits	1,010,980
			<i>Less 6.08% Vacancy Factor</i>	<i>(249,512)</i>
			Lump Sum Premium Pay	0
Totals	61	63	Total Personal Services	3,852,400

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Accountant III	0	0	1	0	1
Accounting Clerk II	0	0	1	0	1
Accounting Tech I	0	0	1	0	1
Accounting Tech II	0	0	1	0	1
Administrative Assistant	1	0	1	0	2
Administrative Clerk II	2	0	3	0	5
Administrative Clerk III	1	1	1	1	4
Administrative Manager IV	0	0	1	0	1
Analyst/Programmer III	0	0	1	0	1
Analyst/Programmer IV	0	0	1	0	1
Analyst/Programmer V	0	0	1	0	1
Community Care Lic Spec I	2	0	0	0	2
Community Care Lic Spec II	1	0	0	0	1
Community Mh Svc Prog Adm	0	0	1	0	1
Dev Dis Program Admin	0	0	1	0	1
Dev Dis Program Spec I	2	0	1	1	4
Dev Dis Program Spec III	3	1	2	1	7
Division Director	0	0	1	0	1
Hlth & Soc Svcs Plnr I	1	0	0	0	1
Hlth & Soc Svcs Plnr III	1	0	0	0	1
Mntl Hlth Clinician II	5	1	1	1	8
Mntl Hlth Clinician III	5	1	2	1	9
Personnel Asst II	0	0	1	0	1
Project Asst	0	0	2	0	2
Project Director	1	0	0	0	1
Research Analyst II	0	0	1	0	1
Secretary	0	0	1	0	1
Social Svcs Prog Coord	0	0	1	0	1
Vocational Rehab Counselor III	1	0	0	0	1
Totals	26	4	28	5	63