

State of Alaska FY2008 Governor's Operating Budget

Department of Health and Social Services Certification and Licensing Component Budget Summary

Component: Certification and Licensing

Contribution to Department's Mission

The Department's mission is to promote and protect the health and well being of Alaskans. In support of this mission, the Certification and Licensing (C&L) component works to protect the health and safety of vulnerable Alaskans, prevent exploitation and ensure public confidence in the health care and community service delivery systems through regulatory, enforcement and educational activities.

Core Services

The Certification & Licensing (C&L) component inspects assisted living homes, nursing homes and other health care facilities and requires that necessary corrections or improvements be implemented to protect the safety of all residents.

Through criminal background checks for employees in assisted living homes, nursing homes and other health care facilities, C&L provides safeguards against abuse and neglect of the state's elderly, children and adults with disabilities.

C&L ensures state compliance with a myriad of federal laws.

FY2008 Resources Allocated to Achieve Results

FY2008 Component Budget: \$5,637,100	Personnel:	
	Full time	41
	Part time	0
	Total	41

Key Component Challenges

- To continue the consolidation of certification and licensing functions as required by the passage of a major consolidation bill approved by the Alaska Legislature in 2005, including the drafting and implementation of various regulatory packages.
- Retention and recruitment of Health Facility Surveyor positions.
- Completing mandated federal training requirements with limited availability of training courses offered in state.
- Meeting state licensure survey requirements with existing resources and staffing.
- Continued development and expansion of Background Check Program services. This includes developing and implementing electronic data interfaces for submission and reporting background investigation requests as well as extending the background check and fitness determinations to all staff serving vulnerable populations across the various State departments.

Significant Changes in Results to be Delivered in FY2008

- Full implementation of the Background Check Program with the use of electronic data interfaces for submission and reporting of background checks. This will include background checks and fitness determinations for all provider staff serving programs administered by the Department.
- In collaboration with the Board of Nursing, create a centralized misconduct registry.
- Continue progress toward consolidation of the Assisted Living Unit, which includes statewide licensing of all community-based assisted living homes/programs into one cohesive assisted living unit. Implement policies and procedures as well as an integrated complaint policy plan for the combined units.
- Continued collaboration efforts with the Child Care Program office.
- Implementation of regulations covering health and safety requirements involving the Office of Children's Services

(OCS) and assisted living programs.

- Transition of OCS and the Child Care Program to the background check process.

Major Component Accomplishments in 2006

- The Background Check Program was activated and began processing background checks and making fitness determinations for programs administered by the Department.
- A centralized complaint intake process involving assisted living homes and certification and licensing programs continued to improve response time and thorough follow-up with all complaints
- Licensing of residential (24-hour facilities) child care facilities became the responsibility of Certification & Licensing (C&L), part of on-going efforts to centralize licensing functions.
- C&L continued to participate in the multi-agency regulation project to implement SB 125, the certification and licensing consolidation bill.
- Essential leadership staff was hired.
- C&L enhanced the use of a national electronic data system to ensure appropriate and timely investigations are conducted.
- Consumer awareness of the complaint investigation system was increased through better education and communications.
- Fire safety improved in nursing homes.
- The quality of care of nursing homes improved through collaboration with the Quality Improvement Organization (QIO).
- Unnecessary restraints and pressure ulcers were reduced in nursing home residents; Alaska is currently below the national average for both.
- Through structured electronic monitoring and on-site visits, enforcement actions for non-compliant facilities have improved.
- Collaboration continues among the Long Term Care Ombudsmen, Division of Senior and Disabilities Services, Adult Protective Services and Licensing and Certification staff, ensuring seamless services and avoiding duplication of services.
- Licensing caseloads were redistributed and the internal application process redesigned to accommodate the 12 percent growth in Assisted Living Homes.
- Launched partnership with the National Association of Childbearing Centers for possible accreditation of services.
- Assisted Living staff participated in an inter-agency group working to improve residential living arrangements for individuals with disabilities.

Statutory and Regulatory Authority

Alaska Statutes:

AS 18.20 Hospitals and Nursing Facilities

AS 47.05 Criminal History and Registry

AS 47.07 Medical Assistance for Needy Persons

AS 47.08 Assistance for Catastrophic Illness and Chronic or Acute Medical Conditions

AS 47.25 Public Assistance

AS 47.32 Centralized Licensing and Related Administrative Procedures

Social Security Act:

Title XVIII Medicare

Title XIX Medicaid

Title XXI Children's Health Insurance Program

Administrative Code:

7 AAC 10 Licensing, Certification and Approvals (New Proposed Section as part of the licensing consolidation.)

7 AAC 12 Facilities and Local Units

7 AAC 43 Medical Assistance

7 AAC 48 Chronic Illness and Chronic and Acute Medical Assistance

7 AAC 75 Assisted Living Homes

Contact Information

Contact: Janet Clarke, Assistant Commissioner
Phone: (907) 465-1630
Fax: (907) 465-2499
E-mail: janet_clarke@health.state.ak.us

Certification and Licensing Component Financial Summary

All dollars shown in thousands

	FY2006 Actuals	FY2007 Management Plan	FY2008 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	2,252.9	2,934.9	3,327.7
72000 Travel	269.3	364.9	364.9
73000 Services	1,224.1	1,878.5	1,878.5
74000 Commodities	166.0	66.0	66.0
75000 Capital Outlay	1.4	0.0	0.0
77000 Grants, Benefits	0.0	0.0	0.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	3,913.7	5,244.3	5,637.1
Funding Sources:			
1002 Federal Receipts	2,744.9	3,406.0	3,652.4
1003 General Fund Match	161.4	234.7	265.7
1004 General Fund Receipts	615.1	786.2	882.4
1007 Inter-Agency Receipts	34.0	61.7	67.3
1037 General Fund / Mental Health	112.3	118.7	132.3
1092 Mental Health Trust Authority Authorized Receipts	145.2	6.3	6.3
1156 Receipt Supported Services	100.8	630.7	630.7
Funding Totals	3,913.7	5,244.3	5,637.1

Estimated Revenue Collections

Description	Master Revenue Account	FY2006 Actuals	FY2007 Management Plan	FY2008 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	2,744.9	3,406.0	3,652.4
Interagency Receipts	51015	34.0	61.7	67.3
Receipt Supported Services	51073	100.8	630.7	630.7
Restricted Total		2,879.7	4,098.4	4,350.4
Total Estimated Revenues		2,879.7	4,098.4	4,350.4

**Summary of Component Budget Changes
From FY2007 Management Plan to FY2008 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2007 Management Plan	1,139.6	3,406.0	698.7	5,244.3
Proposed budget increases:				
-FY 08 Retirement Systems Rate Increases	140.8	246.4	5.6	392.8
FY2008 Governor	1,280.4	3,652.4	704.3	5,637.1

Certification and Licensing Personal Services Information				
Authorized Positions			Personal Services Costs	
	FY2007 Management Plan	FY2008 Governor		
Full-time	41	41	Annual Salaries	1,952,660
Part-time	0	0	Premium Pay	0
Nonpermanent	1	1	Annual Benefits	1,568,880
			<i>Less 5.50% Vacancy Factor</i>	(193,840)
			Lump Sum Premium Pay	0
Totals	42	42	Total Personal Services	3,327,700

Position Classification Summary					
Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Administrative Clerk II	2	0	0	0	2
Administrative Clerk III	5	0	0	0	5
Assoc Coordinator	1	0	0	0	1
Certification/Licensing Admstr	1	0	0	0	1
Certification/Licensing Conslt	1	0	0	0	1
Community Care Lic Spec I	8	0	0	0	8
Community Care Lic Spec II	3	0	0	0	3
Community Care Lic Spec III	1	0	0	0	1
Criminal Justice Technician I	4	0	0	0	4
Criminal Justice Technician II	2	0	0	0	2
Hlth Facil Surv I	10	0	0	0	10
Hlth Facil Surv II	1	0	0	0	1
Prog Coordinator	1	0	0	0	1
Records & Licensing Spvr	1	0	0	0	1
Social Svcs Prog Officer	1	0	0	0	1
Totals	42	0	0	0	42