State of Alaska
FY2009 Governor's Operating Budget

Department of Natural Resources
State Historic Preservation Program
Component Budget Summary

Component: State Historic Preservation Program

Contribution to Department's Mission

The Office of History and Archaeology provides a historic preservation program to preserve and protect the historic, prehistoric and archaeological resources of Alaska.

Core Services

As the State of Alaska's historic preservation agency, the Office of History and Archaeology oversees statewide programs to identify, document, protect and restore sites and buildings, and to educate Alaskans and visitors about the state's heritage resources.

The Office of History and Archaeology and the Alaska Historical Commission provide policy and program advice to the Commissioner, Governor and Legislature concerning history and prehistory, historic sites and buildings, and geographic names.

The Office administers the national historic preservation program in Alaska, as set forth in the National Historic Preservation Act, and serves as State liaison to federal agencies, representing the State's interests in protecting its heritage resources. The national programs include historic preservation planning, survey, nomination to the National Register of Historic Places, public education and training, investment tax credits, project review, maintenance of a statewide inventory, and local government assistance through its Certified Local Government program. To carry out these programs, the Office of History and Archaeology seeks partnerships with local governments, Native organizations, historical societies, non-profit organizations, owners of historic properties, and federal and state agencies.

The Alaska Historical Commission promotes access to primary historic resources. Cooperative projects with other state agencies, such as the transcription of Judge Wickersham's diaries, help provide significant historical information to the public.

End Result	Strategies to Achieve End Result
A: Facilitate the Department's mission through protecting Alaska's heritage resources and providing state historic preservation programs to agencies, businesses and citizens of Alaska. Target #1: Provide historic preservation programs to protect, identify, evaluate, record and mitigate historic properties. Measure #1: Professional staff provided historic preservation program services in review/compliance, survey and inventory, local government historic preservation, National Register evaluation and listing, tax credits, education and training, and planning programs.	**A1: Develop integrated database for historic preservation programs on DNR's Oracle map based data management system to improve business practices.** Target #1: Build data sets for program areas and develop relational database fields. Program relational database into Oracle. Develop mapping program to show site boundaries. Cumulative target FY06 70%, FY07 75%, FY08 85%, FY09 95%, FY10 100%. Measure #1: In FY 07, mapping program was improved and data entry begun for site boundaries. Framework for security controls was developed and moved into production. Datasets for the review/compliance, inventory, and National Register programs refined. **A2: Provide cultural resource survey and research services to all state agencies through RSAs, cooperative agreements and grants.** Target #1: Complete 10 reports for survey and research conducted in prior field season. Measure #1: Number of surveys for cultural resources and

other research projects completed annually.

Major Activities to Advance Strategies

- Maintain Alaska Heritage Resource Survey site location information in Oracle and verify.
- Integrate state historic preservation program databases through DNR LRIS into DNR Oracle data management system.
- Enter existing and new data on completed portions of Oracle database.
- Enter new and updated historic site data on Alaska Heritage Resource Survey and provide information for development and land use planning.
- Digitize site boundaries, district boundaries and linear features for GIS data in the Oracle system
- Evaluate properties for historic significance and make determinations of eligibility for the National Register of Historic Places.
- Review and comment on development projects and other activities for impacts to cultural resources and compliance with laws and regulations.
- Consult with federal agencies as state liaison, representing the State's interest in protecting heritage resources.
- Provide policy and program advice to Governor and Legislature on history, archaeology and geographic names through the AK Historical Commission.
- Conduct cultural resource research and field surveys; prepare reports.
- Administer Alaska's Historic Preservation Certified Local Government program; provide training and grants.

- Develop, update, distribute and implement Alaska's State Historic Preservation Plan.
- Coordinate and plan special heritage initiatives and projects in cooperation with other agencies.
- Administer historic preservation grants program.
- Provide training, technical assistance, interpretation and education on Alaska's heritage and historic preservation programs.
- Assist development of elementary, secondary and post-secondary education programs and lesson plans about Alaska archaeological & historic resources.
- Use Alaska Archaeology Week/Month and Historic Preservation Week to increase visibility of historic preservation.
- Certify historic preservation investment tax credit projects.
- Administer the state's geographic names program.
- Issue field archaeological permits for archaeological research and survey on state lands
- Support transcription of Judge Wickersham diaries and make them available on State of Alaska websites, an Alaska Historical Commission project.
- Review and comment on government agency land use planning documents and industry contingency hazardous spill planning documents.

FY2009 Resources Allocated to Achieve Results

FY2009 Component Budget: $1,814,300

Personnel:

Full time	14
Part time	4
Total	18

Performance Measure Detail

A: Result - Facilitate the Department's mission through protecting Alaska's heritage resources and providing state historic preservation programs to agencies, businesses and citizens of Alaska.

Target #1: Provide historic preservation programs to protect, identify, evaluate, record and mitigate historic properties.

Measure #1: Professional staff provided historic preservation program services in review/compliance, survey and inventory, local government historic preservation, National Register evaluation and listing, tax credits, education and training, and planning programs.

Analysis of results and challenges: To maintain eligibility to receive the Historic Preservation Fund (HPF) grant the Alaska State Historic Preservation Office must provide a core professional staff and a basic level of services in a number historic preservation programs. Through end-of-year reports and annual applications for the HPF grant, the state program is annually reviewed and certified as an eligible program. The Office continues to maintain certification for its state historic preservation program.

A1: Strategy - Develop integrated database for historic preservation programs on DNR's Oracle map based data management system to improve business practices.

Target #1: Build data sets for program areas and develop relational database fields. Program relational database into Oracle. Develop mapping program to show site boundaries. Cumulative target FY06 70%, FY07 75%, FY08 85%, FY09 95%, FY10 100%.

Measure #1: In FY 07, mapping program was improved and data entry begun for site boundaries. Framework for security controls was developed and moved into production. Datasets for the review/compliance, inventory, and National Register programs refined.

Year	YTD	Cumulative
2002	5	5
2003	15	20
2004	10	30
2005	15	45
2006	20	65
2007	10	75

In FY2007, the database conversion project developed data sets for several program areas. The Department of Natural Resources new integrated business system is currently under development. The focus in FY 2007 and FY 2008 is developing compatible data sets for review/compliance, National Register programs, and the Alaska Heritage Resource Survey. Once data sets are finalized, programs will be written.

Analysis of results and challenges: DNR LRIS continues work on building an integrated business system which will implement GIS capabilities. The integrated record business system incorporates relevant data from several programs, including AHRS statewide inventory, review & compliance, National Register of Historic Places, survey report citations, permitting, grants, tax credits, and historic signs. The Office of History and Archaeology and LRIS are developing strategy for digitizing map data for use with a GIS system which will display site boundaries, district boundaries and linear sextants as points, lines or polygons. Strategies are being developed to ensure compatibility with DNR's integrated business system. FY05 work focused on Office of History and Archaeology's citations database which is complete and operational, providing an internal internet application for searching cultural resources survey reports and associated database records. Selected environmental and land planning staff in the Departments of Transportation and Natural Resources have internet access to the Alaska Heritage Resource Survey and citations information, which has helped reduce costs and streamline the project development and environmental review process. FY06 work focused on developing and refining data sets for historic preservation program areas. FYO7 work refined the data sets and tested products. Map digitization has been implemented with GIS Location Tools. Polygon site features are being entered/updated and information is accessible by both internal and external customers. Security controls for database access were created and moved into production. FY08 work on the integrated database will focus on developing review and compliance, national register program modules and programming them for data entry.

Work will continue on improving the accuracy of maps used in the database and the data locations depicted on the maps.

A2: Strategy - Provide cultural resource survey and research services to all state agencies through RSAs, cooperative agreements and grants.

Target #1: Complete 10 reports for survey and research conducted in prior field season.
Measure #1: Number of surveys for cultural resources and other research projects completed annually.

Year	YTD
2002	13
2003	27
2004	24
2005	6
2006	9
2007	11

In 2007 eleven reports were completed. One of the reports, on the Yakutat Landing Field Historic District, was a several year project. The other reports documented results of cultural resource survey for projects of one or two field seasons of work. For the FY07 and FY08 seasons, the staff are working on both large multi-year projects as well as smaller single season projects. The Office of History and Archaeology receives fewer requests for small, short-term survey projects from the Department of Transportation.

Analysis of results and challenges: Surveys are conducted in the summer and fall and reports written in the winter. Most requests for survey and research services come from the Department of Transportation and the Department Natural Resources. The surveys facilitate planning for state land activities and development by providing information on the location and significance of historic and archaeological sites. The Department of Environmental Conservation uses the Archaeology Survey Unit for cultural resource survey and monitoring services for village safe water projects. Survey information is used by project managers in the design phase of projects to minimize impacts to historic sites. Early consideration of impacts to historic sites is an important element in streamlining the environmental process and reducing costs. The information is also used to develop mitigation plans when adverse impacts cannot be avoided. The number of reports produced annually varies depending on staffing levels and the size and complexity of individual projects.

The new or updated site information contained in reports is entered in the Alaska Heritage Resource Survey database, making the data available at the project planning or design stage. The table with the survey project results is reported in the federal fiscal year to be consistent with required federal historic preservation grant annual reports.

Key Component Challenges

The National Historic Preservation Act requires federal agencies to consult with the State Historic Preservation Office on all undertakings and assess potential affects on historic properties. Because of the increase in complexity, size and volume of state and federal projects in Alaska the Office cannot consult on all projects, putting Alaska's heritage resources at risk. Since FY00, there has been a 48% increase in project reviews with no increase in staff.   The State Historic Preservation Program receives a Historic Preservation Fund grant to provide staff to consult with agencies through the National Historic Preservation Act Section 106 process. Many agencies use the NEPA as their primary environmental compliance. If they do not incorporate the Section 106 project review requirements at the same time, project review can take longer and delay consideration of historic preservation issues. The Office is working with the department of Transportation and Public Facilities (DOT&PF), through consultation and RSAs, to help the agency incorporate cultural resources in their advanced planning and use the services of the Archaeology Survey Unit, as authorized in the Alaska Historic Preservation Act, to conduct transportation corridor surveys early in the planning process so site information is available at the earliest stages of planning. To improve business practices, the Office of History and Archaeology continues to work with ADNR/LRIS, to modernize its record systems infrastructure through the use of a relational database, GIS and Internet technology. The Office of History and Archaeology maintains information on historic and archaeological site location and significance. The inventory is used by DNR, other government agencies and contractors involved in the permitting process.   The long-term goal is to develop an integrated record system which incorporates relevant historic preservation program data and is Internet accessible. Information needed for project development and design will be easier and less costly to access and can be incorporated earlier in the planning stages, resulting in a streamlined permitting process. Because funding for the relational database conversion project comes

from RSAs and cooperative agreements with state and federal agencies, consistent funding for the project is a challenge.

Significant Changes in Results to be Delivered in FY2009

No changes in results to be delivered assuming a status quo budget.

Major Component Accomplishments in 2007

1. Reviewed 4308 projects for impacts to historic properties. Sixteen Memorandums of Agreement and one Programmatic Agreement signed.
2. Conducted a Section 106 training for agencies and contractors.
3. Produced 9 cultural resource survey reports: 2 full survey reports and 8 short reports.
4. Evaluated 576 properties for eligibility to the National Register for Historic Places; 436 determined eligible.
5. Two new listings in the National Register of Historic Places.
6. Eleven Certified Local Government grants active in FY2006; six grants completed; four cancelled.
7. Provided comment on historic interpretive signs developed by State Park's Education and Interpretation program and signs developed through historic preservation grants and mitigation agreements.
8. Alaska Heritage Resource Survey (AHRS): 1,772 new sites entered, 13,580 sites updated. Total of 31,202 sites in AHRS.
9. Alaska Historical Commission considered six geographic name proposals and submitted them to the U.S. Board of Geographic Names.
10. Integrated database conversion project continuing: Phase III (Integrated Systems) and Phase IV (Scanning) are in progress.
11. Two Historic Preservation Fund survey and education grants completed, one grant active.
12. Participated in state teacher's institutes to make teachers familiar with the Alaska History Performance Standards.
13. Participated with National Oceanographic and Atmospheric Administration (NOAA) and academic scientists in documentation of the *Hassler/Clara Nevada* shipwreck on state submerged lands.
14. Participated in public outreach, development of websites, and scientific conferences on submerged heritage.
15. Produced bilingual publication on the *Kad'yak,* an 1860 Russian shipwreck.
16. Issued 24 archaeology permits in FY 2007.

Statutory and Regulatory Authority

AS41.35
AS44.37
P.L. 96-515

Contact Information
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State Historic Preservation Program
Component Financial Summary

All dollars shown in thousands

	FY2007 Actuals	FY2008 Management Plan	FY2009 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	1,390.8	1,179.9	1,388.7
72000 Travel	25.0	89.4	89.4
73000 Services	107.2	278.4	290.4
74000 Commodities	5.3	35.8	45.8
75000 Capital Outlay	27.6	0.0	0.0
77000 Grants, Benefits	0.0	0.0	0.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	**1,555.9**	**1,583.5**	**1,814.3**
Funding Sources:			
1002 Federal Receipts	96.9	385.4	473.2
1003 General Fund Match	349.7	348.3	365.9
1005 General Fund/Program Receipts	15.0	15.0	15.3
1007 Inter-Agency Receipts	106.8	225.4	320.5
1055 Inter-agency/Oil & Hazardous Waste	5.5	16.0	17.0
1061 Capital Improvement Project Receipts	982.0	593.4	622.4
Funding Totals	**1,555.9**	**1,583.5**	**1,814.3**

Estimated Revenue Collections

Description	Master Revenue Account	FY2007 Actuals	FY2008 Management Plan	FY2009 Governor
Unrestricted Revenues				
Unrestricted Fund	68515	4.9	10.0	10.0
Unrestricted Total		**4.9**	**10.0**	**10.0**
Restricted Revenues				
Federal Receipts	51010	96.9	385.4	473.2
Interagency Receipts	51015	106.8	225.4	320.5
General Fund Program Receipts	51060	15.0	15.0	15.3
Capital Improvement Project Receipts	51200	982.0	593.4	622.4
Interagency Recs./Oil & Hazardous Waste	51395	5.5	16.0	17.0
Restricted Total		**1,206.2**	**1,235.2**	**1,448.4**
Total Estimated Revenues		**1,211.1**	**1,245.2**	**1,458.4**

Summary of Component Budget Changes From FY2008 Management Plan to FY2009 Governor			
All dollars shown in thousands			
	General Funds	**Federal Funds**	**Other Funds**	**Total Funds**
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FY2008 Management Plan	363.3	385.4	834.8	1,583.5
Adjustments which will continue current level of service:				
-FY 09 Bargaining Unit Contract Terms: General Government Unit	17.9	16.6	37.5	72.0
Proposed budget increases:				
-Navigability Research Historian	0.0	0.0	87.6	87.6
-Historic Preservation Program Database Support for Internet Access and Digitizing Records	0.0	71.2	0.0	71.2
FY2009 Governor	**381.2**	**473.2**	**959.9**	**1,814.3**

State Historic Preservation Program
Personal Services Information

Authorized Positions			Personal Services Costs	
	FY2008 Management Plan	**FY2009 Governor**	Annual Salaries	872,464
Full-time	12	14	COLA	76,534
Part-time	4	4	Premium Pay	0
Nonpermanent	0	0	Annual Benefits	492,324
			Less 3.65% Vacancy Factor	(52,622)
			Lump Sum Premium Pay	0
Totals	**16**	**18**	**Total Personal Services**	**1,388,700**

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Administrative Clerk III	1	0	0	0	1
Archaeologist I	4	0	0	0	4
Archaeologist II	3	0	0	0	3
Archaeologist III	1	0	0	0	1
Chf Off of Hist & Archaeology	1	0	0	0	1
Historian I	2	0	0	0	2
Historian II	2	0	0	0	2
Historian III	1	0	0	0	1
Natural Resource Tech I	1	0	0	0	1
Natural Resource Tech II	2	0	0	0	2
Totals	**18**	**0**	**0**	**0**	**18**