

State of Alaska FY2009 Governor's Operating Budget

Department of Health and Social Services Alcohol Safety Action Program (ASAP) Component Budget Summary

Component: Alcohol Safety Action Program (ASAP)

Contribution to Department's Mission

To reduce alcoholism and substance abuse.

The mission of the Alcohol Safety Action Program (ASAP) is to screen, refer and monitor both adult and juvenile offenders to ensure that they complete the substance abuse education or treatment program that is prescribed by the courts, Division of Motor Vehicles, and/or Division of Juvenile Justice.

Core Services

The ASAP is both a direct service provider in the Anchorage area and the oversight office for the division's statewide ASAP grant programs. The program facilitates entry of all misdemeanor defendants ordered by the court into substance abuse education and/or treatment, monitors court requirements, and provides data regarding those defendants. In its grants management role, the ASAP provides training to qualify administrators for ASAP grant programs throughout the state, and provides quality control and monitoring functions on all state-approved ASAP programs.

FY2009 Resources Allocated to Achieve Results

FY2009 Component Budget: \$3,639,600	Personnel:	
	Full time	19
	Part time	0
	Total	19

Key Component Challenges

- Alaska Substance Abuse (ASA) - Web Case Management System

The implementation of the new ASA Web-based system is crucial to the ongoing success of the Alaska Alcohol Safety Action Program (ASAP) system. The completion of the Web-based technology will provide ready access to the data for the purpose of tracking, profiling and analysis. In addition it will improve the timeliness, accuracy, completeness, uniformity and accessibility to the data. Once fully implemented, the system will track all substance abuse related cases from the initial referral from the Court, Division of Motor Vehicles and/or the Division of Juvenile Justice to final disposition of the case. It will allow: 1) consistent data entry availability at all ASAP sites statewide; 2) seamless data access for the purposes of increasing efficiency of client services both at the ASAP program level as well as case management and statistical analyses; 3) identification and provision of intensive services to high-risk clients; and 4) increased efficiency in the overall processing of these cases.

- Treatment Capacity for Criminal Justice Clients

While the division has been successful at adding new private treatment providers for substance abuse services, increasing the availability and access of treatment services throughout the state for therapeutic court and other criminal justice clients continues to be a major challenge.

Significant Changes in Results to be Delivered in FY2009

- Underage Drinking Legislation

During the next fiscal year, the Governor's office will be introducing legislation aimed at improving Alaska's Minor Consuming Offenses Tracking system and standardizing retailer penalties for the sale of alcohol to minors (to mirror the

tobacco sales to minors penalties). If passed, this legislation will be an enormous step forward in reducing underage drinking among our youth.

- Underage Drinking Budget Increment

One of the top priorities identified by the Alaska Committee to Prevent Underage Drinking is to expand assessment, treatment and prevention services to all youth, not limited to those entering into courts for alcohol-related offenses. The Division of Behavioral Health is requesting a FY09 budget increment to address this need, as part of the Underage Drinking Initiative. The budget increment request is \$823,400 and will both increase the service capacity of the eight (8) existing Juvenile Alcohol Safety Action Programs (ASAP) and expand the number and locations of Juvenile ASAP. Existing services are located in Anchorage, Fairbanks, Juneau, Kenai, Ketchikan, Kotzebue, Kodiak and Mat-Su. Communities that have been requesting Juvenile ASAP services include Bethel, Barrow, Dillingham, Nome and Seward. These new funds will allow agencies (the Alaska Court System, Division of Juvenile Justice, Department of Motor Vehicles, local law enforcement and school districts) to respond more effectively to reduce underage drinking in the state, by having access to substance use assessments, local education and treatment services, and monitoring of service progress and completion.

The performance measures for this increment:

1. Increase in the number of minor consuming referrals throughout the state;
2. Increase in early identification of minors in need of substance abuse education or treatment services;
3. Decrease in repeat involvement in the justice system.

Major Component Accomplishments in 2007

- Alaska ASAP High Risk Project

Over the past year, ASAP received a grant from the Alaska Highway Safety Office to establish a high-risk offender identification and tracking system for the state. Previous studies of the ASAP program recommended that ASAP address the costs associated with the high-risk offender. The recommendations made were 1) establish a high-risk ASAP client profile and redesign the identification, adjudication, intake and treatment processes to target and evaluate this population; and 2) develop and redefine predicative models that can be used by ASAP staff in the field that will facilitate the identification of high-risk clients as early as possible in the arrest, conviction, assignment and treatment process. Since readiness to address substance abuse issues begins to diminish immediately following a crisis such as a DUI conviction or other alcohol related arrest, intensifying and, when possible, accelerating processing for those offenders most at risk of re-offending is critical.

- Alcohol Safety Action Program Recidivism Study

In FY07, the Division of Legislative Audit conducted an audit on the recidivism rates of the ASAP program. This audit was part of a larger review of recidivism rates of state rehabilitation programs. According to "Use of Recidivism Rates by State Agencies and Overview of Current Practices," in comparison of recidivism rates with other criminal justice programs, the re-arrest rates for any offense for clients involved in the ASAP program was lower (53%) than those rates for clients involved in the Department of Corrections (59%) or the Division of Juvenile Justice (58%). Additionally, the legislative reports found that re-arrest rates for subsequent alcohol/drug related offenses decreased from 35% as found in prior studies to less than 20%.

- SYNAR/Tobacco Enforcement

The Tobacco Enforcement Section continues to be successful in exceeding the federal goal for reducing illegal tobacco sales to youth. Since 1999, states receiving federal block grant funds have been required to demonstrate an 80-percent or better compliance rate with tobacco youth access laws, or be penalized. Until recently, Alaska had never achieved the federally required 20-percent rate of illegal tobacco sales to youth, resulting in significant penalties in block grant funding to the division.

For calendar year 2006, Alaska achieved a sales rate of less than 14%, continuing a significant improvement in compliance. The drop in illegal tobacco sales to youth can be attributed to strong enforcement efforts, a statewide vendor education campaign, swift enactment of penalties in which illegal tobacco sales to youth occurred, cooperation of tobacco vendors to abide by youth tobacco laws, plus local and statewide efforts that address the issue of youth access

to tobacco.

Additionally, the division was able to work with the legislature, other departments and community providers to address a court decision which found that retailers were being denied due process, because they faced suspension of tobacco sales endorsement on their business licenses based on the results of court proceedings against their clerks for illegally selling tobacco products to minors as opposed to court proceeding against the retailers. At the administrative hearing, the court concluded that the retailer's tobacco endorsements were being suspended without being properly given notice or an opportunity to participate in the proceedings. To rectify this situation and provide retailers with appropriate due process, both statutory and department policy changes have been implemented.

- Program Certification

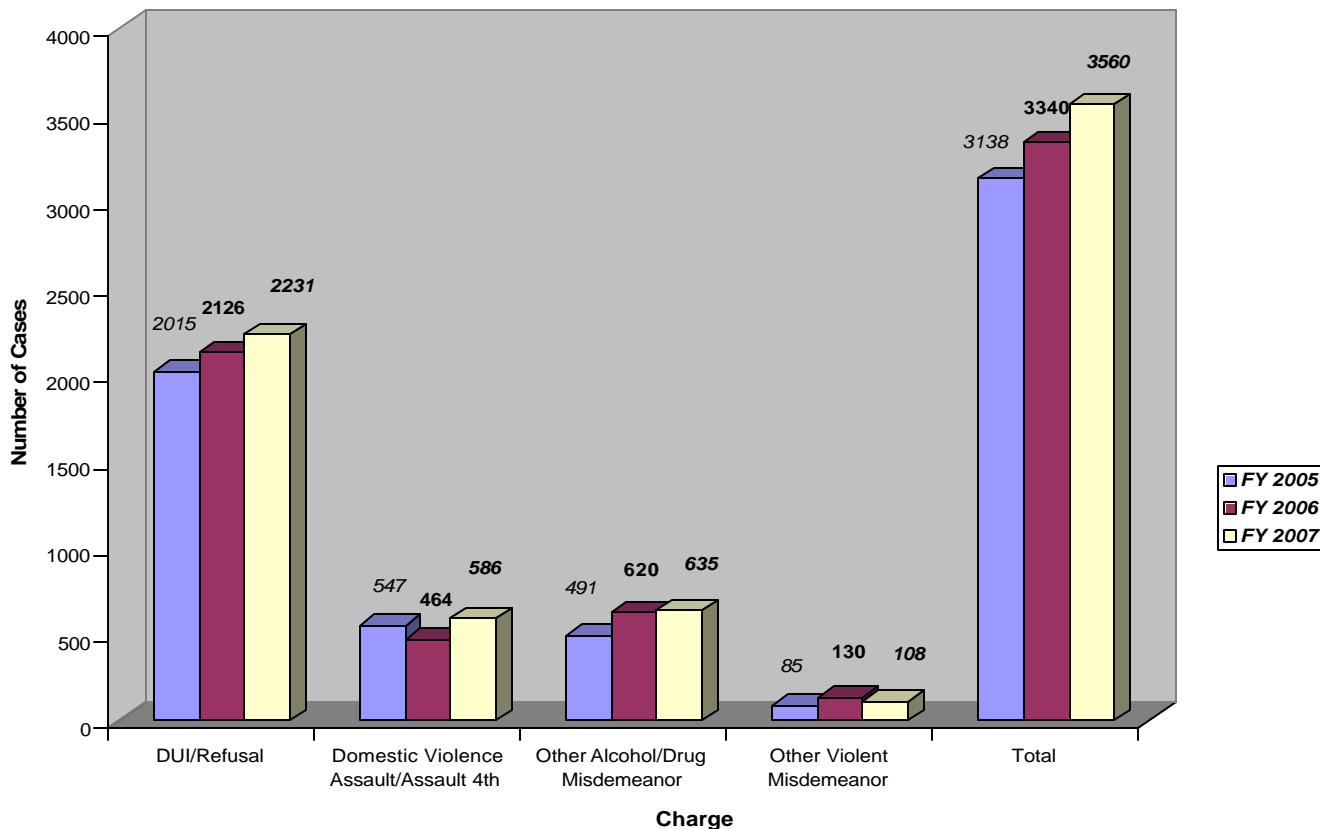
For FY07, the division approved approximately 10 new private substance abuse treatment providers to serve the criminal justice population resulting in additional capacity for treatment availability in several communities. At least 70% of the clients entering substance abuse treatment are directly referred from the criminal justice system through the Alaska ASAP program.

- Therapeutic Court Diversion Case Management

The Alaska Mental Health Trust Authority (AMHTA) provided funding to establish a therapeutic court probation officer position within ASAP to provide intensive case management, community supervision and probation monitoring to misdemeanor offenders who are Trust beneficiaries, in particular, but not limited to, those with Fetal Alcohol Spectrum Disorder. This project targets those offenders who are not involved in a traditional court-ordered treatment program, and provides integrated substance abuse and mental health treatment for their co-occurring disorders.

In collaboration with the Alaska Court System, ASAP now has a probation officer in Barrow to develop the policies and procedures for the therapeutic court diversion alternative model. The goals of the project are: 1) increase the ability of the legal system to accommodate, support, protect, and provide just treatment for offenders who are the Trust beneficiaries; 2) provide an effective therapeutic alternative for processing criminal cases involving the Trust beneficiaries; and 3) prevent the inappropriate or avoidable arrest, prosecution, incarceration and recidivism of Trust beneficiaries.

Anchorage ASAP - New Case Statistics



Statutory and Regulatory Authority

AS 28.35.030	Miscellaneous Provisions
AS 47.30.011-061	Mental Health Trust Authority
AS 47.30.470-500	Mental Health
AS 47.37	Uniform Alcoholism & Intoxication Treatment Act
7 AAC 29	Uniform Alcoholism & Intoxication Treatment
7 AAC 78	Grant Programs

Contact Information

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**Alcohol Safety Action Program (ASAP)
Component Financial Summary**

All dollars shown in thousands

	FY2007 Actuals	FY2008 Management Plan	FY2009 Governor
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	1,250.2	1,340.1	1,506.2
72000 Travel	30.9	43.0	48.5
73000 Services	104.9	302.5	306.9
74000 Commodities	32.2	73.0	78.0
75000 Capital Outlay	0.0	2.0	2.0
77000 Grants, Benefits	715.0	958.0	1,698.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	2,133.2	2,718.6	3,639.6
Funding Sources:			
1002 Federal Receipts	310.1	330.1	330.1
1004 General Fund Receipts	612.0	290.8	1,114.2
1007 Inter-Agency Receipts	683.2	1,423.7	526.5
1061 Capital Improvement Project Receipts	0.0	0.0	950.0
1092 Mental Health Trust Authority Authorized Receipts	38.0	120.0	141.0
1156 Receipt Supported Services	304.5	368.6	383.3
1180 Alcohol & Other Drug Abuse Treatment & Prevention Fund	185.4	185.4	194.5
Funding Totals	2,133.2	2,718.6	3,639.6

Estimated Revenue Collections

Description	Master Revenue Account	FY2007 Actuals	FY2008 Management Plan	FY2009 Governor
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	310.1	330.1	330.1
Interagency Receipts	51015	683.2	1,423.7	526.5
Receipt Supported Services	51073	304.5	368.6	383.3
Capital Improvement Project Receipts	51200	0.0	0.0	950.0
Restricted Total		1,297.8	2,122.4	2,189.9
Total Estimated Revenues		1,297.8	2,122.4	2,189.9

**Summary of Component Budget Changes
From FY2008 Management Plan to FY2009 Governor**

All dollars shown in thousands

	<u>General Funds</u>	<u>Federal Funds</u>	<u>Other Funds</u>	<u>Total Funds</u>
FY2008 Management Plan	290.8	330.1	2,097.7	2,718.6
Adjustments which will continue current level of service:				
-Reverse FY2008 MH Trust Recommendation	0.0	0.0	-120.0	-120.0
-FY 09 Bargaining Unit Contract Terms: General Government Unit	0.0	0.0	82.6	82.6
Proposed budget increases:				
-Underage Drinking Initiative Increment	823.4	0.0	0.0	823.4
-MH Trust: Therapeutic Case Mgmt/Support, Monitor Treatment	0.0	0.0	135.0	135.0
FY2009 Governor	1,114.2	330.1	2,195.3	3,639.6

**Alcohol Safety Action Program (ASAP)
Personal Services Information**

Authorized Positions		Personal Services Costs		
	<u>FY2008</u>	<u>FY2009</u>		
	<u>Management</u>	<u>Governor</u>		
	<u>Plan</u>			
Full-time	18	19	Annual Salaries	913,792
Part-time	0	0	COLA	81,302
Nonpermanent	0	0	Premium Pay	0
			Annual Benefits	533,154
			<i>Less 1.44% Vacancy Factor</i>	<i>(22,048)</i>
			Lump Sum Premium Pay	0
Totals	18	19	Total Personal Services	1,506,200

Position Classification Summary

Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
A.P.O. II, ASAP	9	1	0	3	13
Administrative Assistant	1	0	0	0	1
Administrative Clerk II	2	0	0	0	2
Administrative Clerk III	2	0	0	0	2
Social Svcs Prog Coord	1	0	0	0	1
Totals	15	1	0	3	19