

# **State of Alaska FY2012 Governor's Operating Budget**

## **Department of Transportation/Public Facilities Harbor Program Development Component Budget Summary**

## Component: Harbor Program Development

### Contribution to Department's Mission

Provide a broad range of coastal and harbor engineering and planning expertise to the department and communities.

### Core Services

- Provide a broad range of services associated with harbor and coastal engineering issues across the state, mainly concerning shore protection issues for the design of highways, airports and harbors; assisting coastal Alaskan communities with harbor and erosion issues; managing 25 department-owned harbor facilities; and providing state financial assistance, subject to Alaska Legislative approval, to local government harbor projects.

### Key Component Challenges

No key component challenges.

### Significant Changes in Results to be Delivered in FY2012

No significant changes anticipated.

### Major Component Accomplishments in 2010

- Managed the Municipal Harbor Facility Grant Program by ranking applications and issuing grants from earlier appropriations. Received seven applications for FY11 and eleven applications for FY12. Six grants have been completed.
- Provided port, harbor, and coastal engineering for the analysis, design, repair and preservation of state assets suffering from deterioration, storm wave damage or at risk of coastal marine wave erosion. Any airport, highway, ferry terminal or harbor facility project located near the coast or exposed to marine coastal wave forces may need this expertise. Assistance to multiple projects was provided. Some standalone projects have been delivered along with local government advice and assistance. For example, wrote a grant in order to receive BIA stimulus funding for a harbor study at Mertarvik, as part of relocating the Newtok community, which is suffering from coastal erosion and climate change.
- Funded Hyder Harbor Rehabilitation construction project, totaling \$600,000 in work.
- Permanently removed one harbor facility, Hyder Outer Float, from the department's inventory.
- Performed 24 routine harbor and 17 seaplane inspections by state personnel.
- Started the design process to rehabilitate the Port Alexander Inner Float and the Kasaan Harbor.
- Completed the St. Paul Harbor project, a \$19.4 million project partially funded by the department's Corps of Engineer matching funds projects. The Alaska Legislature appropriated \$9,564,000 to the department for this project.

### Statutory and Regulatory Authority

AS 02.15, Alaska Aeronautics Act of 1949

AS 08.48, Architects, Engineers, Land Surveyors, and Landscape Architects

AS 08.87, Real Estate Appraisers

AS 19, Highways and Ferries

AS 29.60, Municipal Government State Programs

AS 30.30, Navigation, Harbors, Shipping, and Transportation Facilities, Abandoned and Derelict Vessels

AS 34.60, Property, Relocation Assistance and Real Property Acquisition Practices

AS 35, Public Buildings, Works, and Improvements

AS 36, Public Contracts

AS 44.42, State Government, Department of Transportation and Public Facilities

AS 44.62, State Government, Administrative Procedure Act

17 AAC 15 Transportation and Public Facilities  
CFR 23 Highways  
CFR 49 Transportation

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### Harbor Program Development Component Financial Summary

*All dollars shown in thousands*

	FY2010 Actuals	FY2011 Management Plan	FY2012 Governor
<b>Non-Formula Program:</b>			
<b>Component Expenditures:</b>			
71000 Personal Services	0.0	528.6	550.3
72000 Travel	0.0	25.0	25.0
73000 Services	0.0	25.0	22.3
74000 Commodities	0.0	0.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	0.0	0.0	0.0
78000 Miscellaneous	0.0	0.0	0.0
<b>Expenditure Totals</b>	<b>0.0</b>	<b>578.6</b>	<b>597.6</b>
<b>Funding Sources:</b>			
1004 General Fund Receipts	0.0	365.6	378.3
1061 Capital Improvement Project Receipts	0.0	213.0	219.3
<b>Funding Totals</b>	<b>0.0</b>	<b>578.6</b>	<b>597.6</b>

### Estimated Revenue Collections

Description	Master Revenue Account	FY2010 Actuals	FY2011 Management Plan	FY2012 Governor
<b>Unrestricted Revenues</b>				
None.		0.0	0.0	0.0
<b>Unrestricted Total</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Restricted Revenues</b>				
Capital Improvement Project Receipts	51200	0.0	213.0	219.3
<b>Restricted Total</b>		<b>0.0</b>	<b>213.0</b>	<b>219.3</b>
<b>Total Estimated Revenues</b>		<b>0.0</b>	<b>213.0</b>	<b>219.3</b>

**Summary of Component Budget Changes  
From FY2011 Management Plan to FY2012 Governor**

*All dollars shown in thousands*

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
<b>FY2011 Management Plan</b>	<b>365.6</b>	<b>0.0</b>	<b>213.0</b>	<b>0.0</b>	<b>578.6</b>
<b>Adjustments which will continue current level of service:</b>					
-FY 2012 Personal Services increases	12.7	0.0	6.3	0.0	19.0
<b>FY2012 Governor</b>	<b>378.3</b>	<b>0.0</b>	<b>219.3</b>	<b>0.0</b>	<b>597.6</b>

Harbor Program Development Personal Services Information				
Authorized Positions			Personal Services Costs	
	FY2011 Management Plan	FY2012 Governor		
Full-time	3	3	Annual Salaries	379,572
Part-time	0	0	Premium Pay	0
Nonpermanent	0	0	Annual Benefits	187,678
			<i>Less 2.99% Vacancy Factor</i>	(16,950)
			Lump Sum Premium Pay	0
<b>Totals</b>	<b>3</b>	<b>3</b>	<b>Total Personal Services</b>	<b>550,300</b>

Position Classification Summary					
Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Engineer/Architect IV	0	0	1	0	1
Tech Eng I / Architect I	1	0	0	0	1
Tech Eng II / Architect II	1	0	0	0	1
<b>Totals</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>3</b>

**Component Detail All Funds**  
**Department of Transportation/Public Facilities**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

	FY2010 Actuals	FY2011 Conference Committee (Final)	FY2011 Authorized	FY2011 Management Plan	FY2012 Governor	FY2011 Management Plan vs FY2012 Governor	
71000 Personal Services	0.0	225.0	225.0	528.6	550.3	21.7	4.1%
72000 Travel	0.0	25.0	25.0	25.0	25.0	0.0	0.0%
73000 Services	0.0	25.0	25.0	25.0	22.3	-2.7	-10.8%
74000 Commodities	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
<b>Totals</b>	<b>0.0</b>	<b>275.0</b>	<b>275.0</b>	<b>578.6</b>	<b>597.6</b>	<b>19.0</b>	<b>3.3%</b>
<b>Fund Sources:</b>							
1004 Gen Fund	0.0	275.0	275.0	365.6	378.3	12.7	3.5%
1061 CIP Rcpts	0.0	0.0	0.0	213.0	219.3	6.3	3.0%
<b>Unrestricted General (UGF)</b>	<b>0.0</b>	<b>275.0</b>	<b>275.0</b>	<b>365.6</b>	<b>378.3</b>	<b>12.7</b>	<b>3.5%</b>
<b>Designated General (DGF)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0%</b>
<b>Other Funds</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>213.0</b>	<b>219.3</b>	<b>6.3</b>	<b>3.0%</b>
<b>Federal Funds</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0%</b>
<b>Positions:</b>							
Permanent Full Time	0	0	0	3	3	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

**Change Record Detail - Multiple Scenarios With Descriptions**  
**Department of Transportation/Public Facilities**

**Component:** Harbor Program Development (2978)

**RDU:** Design and Construction (526)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2011 Conference Committee (Final) To FY2011 Authorized *****												
<b>FY2011 Conference Committee</b>	ConfCom	275.0	225.0	25.0	25.0	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		275.0										
<b>Subtotal</b>		<b>275.0</b>	<b>225.0</b>	<b>25.0</b>	<b>25.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0</b>	<b>0</b>	<b>0</b>

***** Changes From FY2011 Authorized To FY2011 Management Plan *****												
<b>ADN 25-1-3036 Transfer PCNs 25-3190, 25-0859, 25-0223 to Harbor Program Development</b>	Trin	303.6	303.6	0.0	0.0	0.0	0.0	0.0	0.0	3	0	0
1004 Gen Fund		90.6										
1061 CIP Rcpts		213.0										
<b>Subtotal</b>		<b>578.6</b>	<b>528.6</b>	<b>25.0</b>	<b>25.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3</b>	<b>0</b>	<b>0</b>

Funding for the administration of the Harbor Program is being shifted from the capital budget to the operating budget per HB 300, Ch 41, SLA 10, Pg 42, Ln 7. The three positions associated with Harbor Program Development are being transferred, along with partial funding, from their current location in the Statewide Design & Engineering component.

The department's Harbor Program provides technical and financial assistance to local governments for harbor related projects not supported by other federal aid programs. Work includes coordination with the Corps of Engineers in regards to the planning, study, design, and construction of local government breakwater and dredging projects. In addition, the Harbor Program administers the Municipal Harbor Facility Grant (AS 29.60.800) program in terms of evaluating applicants, developing grant agreements, and providing management oversight of grant awardees. Additional harbor activities to be funded include surveys, inspections, and special reports as necessary. This funding is essential for the department to assure that viable projects are advanced for Legislative funding consideration and poor projects either become viable, are deferred or deleted from further consideration. This program contributes to the Department's mission by reducing injuries, fatalities and property damage and by improving the mobility of people and goods.

***** Changes From FY2011 Management Plan To FY2012 Governor *****												
<b>FY 2012 Personal Services increases</b>	SalAdj	19.0	19.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1004 Gen Fund		12.7										
1061 CIP Rcpts		6.3										

This change record includes the following personal services increases:  
: \$19.0

Alaska State Employees Assn (GGU) FY2012 Health Insurance Increased Costs : \$4.0

Alaska Public Employees Assn (SU) FY2012 Health Insurance Increased Costs : \$1.9



**Change Record Detail - Multiple Scenarios With Descriptions**  
**Department of Transportation/Public Facilities**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
Alaska State Employees Association (GGU) FY 12 COLA increases : \$6.4												
Alaska Public Employees Association (SU) FY 12 COLA increases : \$3.3												
Alaska Public Employees Association - APEA Geographic Differential for SU : \$3.4												
<b>Transfer from Services to Comply with OMB Personal Services Vacancy Factor Guidelines</b>												
LIT		0.0	2.7	0.0	-2.7	0.0	0.0	0.0	0.0	0	0	0
<p>It is necessary to move authorization from Services to Personal Services to reflect anticipated personal services expenditures. Harbor Program Development needs additional authority due to step changes and/or filling of a vacant position(s). Authorization is available based on projected costs associated with SEF vehicles. This program has a small staff of three with minimal or no turnover to absorb.</p>												
<b>Totals</b>		<b>597.6</b>	<b>550.3</b>	<b>25.0</b>	<b>22.3</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3</b>	<b>0</b>	<b>0</b>

**Personal Services Expenditure Detail**  
**Department of Transportation/Public Facilities**

**Scenario:** FY2012 Governor (8665)  
**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

PCN	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Step	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	GF Amount
25-0223	Tech Eng I / Architect I	FT	A	GP	Anchorage	200	24L / M	12.0		115,344	0	0	59,135	174,479	69,792
25-0859	Tech Eng II / Architect II	FT	A	GP	Anchorage	200	25N / O	12.0		133,500	0	0	64,954	198,454	77,099
25-3190	Engineer/Architect IV	FT	A	SS	Juneau	202	26L / M	12.0		130,728	0	0	63,589	194,317	194,317
													<b>Total Salary Costs:</b>	379,572	
													<b>Total COLA:</b>	0	
													<b>Total Premium Pay:</b>	0	
													<b>Total Benefits:</b>	187,678	
													<b>Total Pre-Vacancy:</b>	567,250	
													<b>Minus Vacancy Adjustment of 2.99%:</b>	(16,950)	
													<b>Total Post-Vacancy:</b>	550,300	
													<b>Plus Lump Sum Premium Pay:</b>	0	
													<b>Personal Services Line 100:</b>	550,300	
<b>Total Component Months:</b>		36.0													

<b>PCN Funding Sources:</b>	<b>Pre-Vacancy</b>	<b>Post-Vacancy</b>	<b>Percent</b>
1004 General Fund Receipts	341,208	331,012	60.15%
1061 Capital Improvement Project Receipts	226,042	219,288	39.85%
<b>Total PCN Funding:</b>	<b>567,250</b>	<b>550,300</b>	<b>100.00%</b>

Note: If a position is split, an asterisk (\*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (\*\*) will appear in this column. [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

**Line Item Detail**  
**Department of Transportation/Public Facilities**  
**Travel**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

Line Number	Line Name		FY2010 Actuals	FY2011 Management Plan	FY2012 Governor
72000	Travel		0.0	25.0	25.0
Expenditure Account	Servicing Agency	Explanation	FY2010 Actuals	FY2011 Management Plan	FY2012 Governor
<b>72000 Travel Detail Totals</b>			<b>0.0</b>	<b>25.0</b>	<b>25.0</b>
72110	Employee Travel (Instate)	In state travel for Statewide Port & Harbors staff to inspect harbors, meet with regional staff for consultaiton and meetings to evaluate grant applications.	0.0	21.0	21.0
72410	Employee Travel (Out of state)	Travel is for attendance at national meetings (including American Association of State Highway and Transportation Officials meetings), conferences, and workshops concerning legal and regulatory changes and technical changes pertaining to harbor, coastal management and transportation-related design and engineering.	0.0	4.0	4.0

**Line Item Detail**  
**Department of Transportation/Public Facilities**  
**Services**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

Line Number	Line Name		FY2010 Actuals	FY2011 Management Plan	FY2012 Governor
73000	Services		0.0	25.0	22.3
Expenditure Account	Servicing Agency	Explanation	FY2010 Actuals	FY2011 Management Plan	FY2012 Governor
<b>73000 Services Detail Totals</b>			<b>0.0</b>	<b>25.0</b>	<b>22.3</b>
73003	Dot Time & Equip Sys	Vehicle usage billed to the operating budget based on established rates and actual use of vehicles.	0.0	10.0	10.0
73026	Training/Conferences	Training, conferences and employee tuition for Director's Office, Finance and Budget.	0.0	5.0	5.0
73029	Memberships	Membership fees for the Alaska Harbor Association and Port Administrators.	0.0	5.0	5.0
73450	Advertising & Promos	Advertising and legal notices for regulations, recruitment, and public hearings.	0.0	5.0	2.3

**Restricted Revenue Detail**  
**Department of Transportation/Public Facilities**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

<b>Master Account</b>	<b>Revenue Description</b>	<b>FY2010 Actuals</b>	<b>FY2011 Management Plan</b>	<b>FY2012 Governor</b>
51200	Capital Improvement Project Receipts	0.0	213.0	219.3

**Detail Information**

<b>Revenue Amount</b>	<b>Revenue Description</b>	<b>Component</b>	<b>Collocation Code</b>	<b>AKSAS Fund</b>	<b>FY2010 Actuals</b>	<b>FY2011 Management Plan</b>	<b>FY2012 Governor</b>
51201	Direct CIP Receipts				0.0	213.0	219.3
	Capital Improvement program receipts for salaries charged directly to projects.						