

State of Alaska FY2013 Governor's Operating Budget

Department of Health and Social Services Senior and Disabilities Services Results Delivery Unit Budget Summary

Senior and Disabilities Services Results Delivery Unit

Contribution to Department's Mission

Promote the independence of Alaskan seniors and persons with physical and developmental disabilities.

Core Services

- Provide support for institutional and community based services for older Alaskans and persons with disabilities.
- Provide protection of vulnerable adults.

Results at a Glance

(Additional performance information is available on the web at <http://omb.alaska.gov/results>.)

End Result A: Facilitate access to services that improve the health, safety and quality of life for eligible individuals.

Target #1: The use rate of nursing home bed days per year for seniors 65 years and older in skilled nursing facilities will not increase.

Status #1: The target to reduce the number of nursing home bed days for seniors 65 years and older was met in 2009. Seniors averaged 4.35 bed days per year, down from 6 bed days a year in 2002.

Strategy A1: Long-term care service array

Target #1: Percentage of participants who have adequate and appropriate service plans based on needs identified in the waiver assessment process.

Status #1: In July, 2011, 93.5% of Medicaid waiver participants had adequate and appropriate service plans based on the needs identified in the waiver assessment process.

Strategy A2: Quality

Target #1: Percentage of participants who received services in the amount, duration and frequency prescribed in their plan of care (POC).

Status #1: In July 2011, 100% of Medicaid waiver participants received services in the amount, duration and frequency prescribed in the service plan.

Strategy A3: Access

Target #1: Percentage of applicants who receive a level of care (LOC) determination within 30 days of submission of a complete application.

Status #1: In July, 2011, 90.3% of applicants for Medicaid waiver services received a level of care determination that verified their eligibility within 30 days of submission of a complete application.

Target #2: Increase by 10% the number of disabled and elderly Alaskans utilizing Aging and Disability Resource Centers (ADRC).

Status #2: In FY2010, 8,790 individuals used ADRCs to access information and to apply for long-term services and supports. In FY2011 that number rose to 9,615, an increase of 9.14%.

Strategy A4: LTC Facility and Program Safety

Target #1: Percentage of participants with plans of care (POC) that address health and safety factors.

Status #1: In July 2011, 98.9% of Medicaid waiver participants had service plans that address health and safety factors.

Strategy A5: LTC Workforce

Target #1: Percentage of home and community-based services providers who are compliant with provider certification standards.

Status #1: In July 2011, 98% of Medicaid waiver home and community-based service providers were compliant with provider certification standards.

Strategy A6: All applicants for services will be evaluated for program eligibility.

Target #1: Reduce the Developmental Disabilities Waitlist Registry by 200 people per year with the goal to eliminate it. (Per Legislative Intent language.)

Status #1: 915 applicants are currently on the Developmental Disabilities Wait List Registry. The Division has committed to removing 200 applicants per year. Applicants are fully removed from the wait list registry when access to services exists and there is adequate capacity where that person is located.

Target #2: Eligibility assessments and Level of Care determinations will be completed within 30 days of assignment to an assessor.

Status #2:

Strategy A7: Ensure manageable caseload number in Adult Protective Services (APS) to protect vulnerable adults.

Target #1: Reduce APS staff assigned case loads by 10%.

Status #1: According to the National Adult Protective Services Association (NAPSA) the recommended case load per APS case investigator is an average of 25 cases at a time. National case loads are 35 cases per investigator. Alaska APS investigators are currently carrying a case load of more than 78 cases. This is more than twice the national average and more than 3 times the recommended national average.

Key RDU Challenges

- Conduct timely Personal Care Assistance assessments to get vulnerable Alaskans on the program initially, and conduct the annual assessment to ensure the recipients continue to meet program requirements and receive the care that meets their health and welfare needs.
- Maintain compliance with federal and state requirements to manage the Older Alaskans and Adults with Physical Disabilities waiver programs and meet documentation and timeline requirements.
- Improve procedures for maintaining the Developmental Disabilities Registry (DD Registry) to assure the criteria for listing are consistent, objective and meaningful. Act expeditiously in the administrative processing of applicants on the DD Registry so that they can receive services quickly.
- Strengthen the Quality Assurance Unit which monitors program compliance, quality of services and detection of suspected fraud, by conducting random audits, utilization reviews, and client satisfaction interviews.
- The U.S. Census Bureau predicts that the senior population in Alaska will increase from 26,000 in 1993 to over 90,000 by the year 2015, an average annual increase of 11%. Some fraction of this population requires significant assistance from the state, and will grow proportionately to the overall senior population. The current service provider capacity is insufficient to meet their care needs.

Significant Changes in Results to be Delivered in FY2013

- Initiate implementation of the Alaska State Plan for Senior Services, FY2012-2015, through an interagency coordination effort and prepare a report of the first year implementation activities for the U.S. Administration on Aging and the Department of Health and Social Services.
- The department has created a work committee made up of the Division of Behavioral Health, Senior and Disabilities Services, and Certification and Licensing staff who manage their respective General Relief/Temporary Assisted Living Programs and/or provide oversight for assisted living facilities. This committee will work to develop an assessment tool to better determine eligibility, review regulations, and propose changes in program delivery to better serve vulnerable adults.
- In FY2013 Senior Residential Services Grant funds will provide support to up to four rural senior residential assisted living facilities. In addition, Senior Residential Services funds will be made available through provider agreements, to pay for travel expenses for individuals residing in Senior Residential Services homes who are eligible to receive residential supported living through the Medicaid Waiver.

- Plan for behavioral health services for seniors and seniors with severe behavioral health needs in partnership with the Alaska Mental Health Trust, department agencies, advisory boards, and others and advocate for resources to support effective services.
- The Alaska Commission on Aging will begin development of a new state plan to address the unique needs of people with Alzheimer's disease and related disorders and to provide support for their family caregivers.
- Dedicated funds from the Centers for Medicare and Medicaid Services to the Governor's Council on Disabilities and Special Education (Council) to increase the employment rate of Alaskans with disabilities end December 31, 2012. The end of this funding will severely impact the ability of the Council to maintain momentum around its employment-related capacity building, systems change and advocacy agenda. The consequences of the Council's inability to adequately address these issues means that the high unemployment rate of Alaskans with disabilities compared to the general population is unlikely to continue to decrease as it has in recent years.

Updated Status for Changes in Results to be Delivered in FY2012

Initiate implementation of the Alaska State Plan for Senior Services, FY2012-2015, through an interagency coordination effort and prepare a report of the first year implementation activities for the U.S. Administration on Aging and the Department of Health and Social Services.

Status Update for FY2012:

Completed inter-agency implementation of the State Plan for Senior Services including production of a report of implementation activities for the department, the U.S. Administration on Aging, and the Alaska Mental Health Trust Authority.

Carry out the Corrective Action Plan which includes an updated Quality Improvement Plan, and strategies designed to improve Alaska's long-term care system. In addition, the division is developing quality performance indicators for all aspects of service administration and operations. This will result in streamlined access to long-term care services and supports as well as data gathering and reporting systems designed to ensure the health, safety, and welfare for elderly and disabled Alaskans.

Status Update for FY2012:

The Centers for Medicare and Medicaid Services (CMS) completed a focused onsite review of Alaska's four 1915(c) Home and Community Based Services waivers. The review provided the CMS team an opportunity to meet with Alaska leadership and staff, providers, individuals covered under the waivers, advocates, and Tribal representatives to discuss the Corrective Action Plan activities and the administration of the waiver programs. CMS has concluded that Alaska has met all the requirements related to the development and implementation of the Corrective Action Plan.

The Division of Senior and Disabilities Services is seeking a contractor to take the lead in the design and development of a new Community First Choice Option program that will successfully meet fiscal and service delivery goals.

In 2010, the Governor appointed a Medicaid Task Force to review and consider options for Alaska regarding the Medicaid program. Personal Care Attendant (PCA) Services was one of the areas identified as exceeding the current Medicaid budget. The continued rising costs, coupled with the need to develop better accountability and service quality within the PCA program, makes it imperative that the program be redesigned in order to avoid losing the services altogether.

Maniilaq Association Assisted Living Home was closed in FY2012 and individuals were transitioned to alternative Long Term Care placements. Senior Residential Services Grant funds were re-distributed to support Senior Residential Services homes in Dillingham and Galena. Provider agreements and Memorandums of Agreement were established to pay for travel costs for the provision of Care Coordination to Medicaid Waiver eligible applicants residing in Senior Residential Services homes.

The Governor's Council on Disabilities and Special Education has submitted its new strategic plan outlining its capacity building, systems change and advocacy agenda for the next five years to the federal Administration on Developmental Disabilities. The five-year plan was informed by a variety of activities to secure public input, including community forums, surveys and focus groups.

The Governor's Council on Disabilities and Special Education's grant from the Department of Justice – Office on Violence against Women to develop a process for better meeting the needs of women with disabilities who are victims of sexual or physical assault or stalking ends in FY2012. However, all partners (Alaska Network on Domestic Violence and Sexual Assault, Alaska Native Justice Center at Center for Human Development at the University of Alaska Anchorage) agreed to 1) continue meeting regularly; 2) provide technical assistance as needed to the two pilot sites (Dillingham and Ketchikan); and 3) identify one or two communities interested in replication of its process when Alaska Mental Health Trust Authority funding becomes available July 1, 2012.

Major RDU Accomplishments in 2011

- Served close to 30,000 recipients through Senior and Disabilities Services programs including direct service grants (17,400), general relief (1,066), Personal Care Attendant Services (4,632) and Medicaid programs (6,482).
- Recruited and trained over 60 volunteer Medicare counselors throughout Alaska to help provide training on Medicare Part D to Alaskan Seniors.
- Served more than 6,000 Medicare beneficiaries in Alaska with complex one-on-one counseling. In addition to personalized counseling, provided education and outreach to Medicare beneficiaries and their families as well as educated beneficiaries and others on how to spot and report potential Medicare errors, fraud, waste and abuse. 88.1% of the contacts were in person, 9.9% were via telephone and 2.0% were conducted by means of email, fax or postal mail.
- The Centers for Medicare and Medicaid Services (CMS) completed a focused onsite review of Alaska's four 1915(c) Home and Community Based Services waivers. The review provided the CMS team an opportunity to meet with Alaska leadership and staff, providers, individuals covered under the waivers, advocates, and Tribal representatives to discuss the Corrective Action Plan activities and the administration of the waiver programs. CMS has concluded that Alaska has met all the requirements related to the development and implementation of the Corrective Action Plan.
- The General Relief/Temporary Assisted Living program served 1,066 clients in FY2011. This 10.9% increase from the previous year is an estimated 105 additional participants, or 9 clients per month.
- The Adult Protective Services Program received 4,425 Reports of Harm and investigated 3,272 of these reports for abuse and/or risk of abuse.
- The Division of Senior and Disabilities Services provided information to most of Alaska on Medicare, Medicaid and Social Security benefits available by providing in-person public seminars and using radio, television and printed ad campaigns throughout the state.
- The Governor's Council on Disabilities and Special Education worked collaboratively with the Center for Human Development, the Alaska Network on Domestic Violence and Sexual Assault, Access Alaska and the Alaska Native Justice Center to identify strategies for meeting the needs of women with disabilities who are the victims of sexual or physical assault or stalking. Needs assessments were conducted in two pilot sites, Dillingham and Ketchikan.
- Submitted and received approval from the U.S. Administration on Aging for the Alaska State Plan for Senior Services, FY2012-2015. The Alaska Commission on Aging authored the plan which included input from the State Plan Steering Committee comprised of members representing the Division of Senior and Disabilities

Services, Alaska Housing Finance Corporation (AHFC) senior housing, the Division of Pioneer Homes, Alaska Native Tribal Health Consortium, community providers, consumers and other partners.

- Continued to provide leadership for the inter-agency Alaska Senior Fall Prevention Coalition to reduce accidental falls which are the number one cause of injury to Alaskans age 65 and older, often causing serious injury such as hip fracture or brain trauma and can lead to depression, loss of mobility, and reduced independence.

Contact Information
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**Senior and Disabilities Services
RDU Financial Summary by Component**

All dollars shown in thousands

	FY2011 Actuals				FY2012 Management Plan				FY2013 Governor			
	UGF+DGF Funds	Other Funds	Federal Funds	Total Funds	UGF+DGF Funds	Other Funds	Federal Funds	Total Funds	UGF+DGF Funds	Other Funds	Federal Funds	Total Funds
Formula Expenditures None.												
Non-Formula Expenditures												
Senior/Disabilities Svcs Admin	8,185.2	290.2	7,550.7	16,026.1	9,668.9	386.2	9,604.7	19,659.8	9,853.9	382.5	10,100.5	20,336.9
Genl Relief/Temp Assisted Living	7,676.5	0.0	0.0	7,676.5	8,113.7	0.0	0.0	8,113.7	8,113.7	0.0	0.0	8,113.7
Senior Community Based Grants	7,225.5	137.0	5,483.7	12,846.2	6,969.8	125.0	6,108.4	13,203.2	7,744.8	125.0	6,108.4	13,978.2
Senior Residential Services	815.0	0.0	0.0	815.0	815.0	0.0	0.0	815.0	815.0	0.0	0.0	815.0
Community DD Grants	12,923.8	671.3	0.0	13,595.1	13,508.1	990.7	0.0	14,498.8	13,658.1	1,015.7	0.0	14,673.8
Commission on Aging	68.9	426.1	0.0	495.0	77.7	437.0	0.0	514.7	78.5	467.8	0.0	546.3
Governor's Cncl/Disabilities	396.1	824.4	1,197.6	2,418.1	297.0	500.6	1,739.2	2,536.8	297.0	659.1	1,753.8	2,709.9
Totals	37,291.0	2,349.0	14,232.0	53,872.0	39,450.2	2,439.5	17,452.3	59,342.0	40,561.0	2,650.1	17,962.7	61,173.8

Senior and Disabilities Services
Summary of RDU Budget Changes by Component
From FY2012 Management Plan to FY2013 Governor

All dollars shown in thousands

	<u>Unrestricted</u> <u>Gen (UGF)</u>	<u>Designated</u> <u>Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal</u> <u>Funds</u>	<u>Total Funds</u>
FY2012 Management Plan	39,450.2	0.0	2,439.5	17,452.3	59,342.0
Adjustments which will continue current level of service:					
-Senior/Disabilities Svcs Admin	-90.0	0.0	-279.7	220.8	-148.9
-Senior Community Based Grants	300.0	0.0	-125.0	0.0	175.0
-Community DD Grants	0.0	0.0	-227.5	0.0	-227.5
-Commission on Aging	0.8	0.0	-78.3	0.0	-77.5
-Governor's Cncl/Disabilities	0.0	0.0	-231.5	14.6	-216.9
Proposed budget increases:					
-Senior/Disabilities Svcs Admin	275.0	0.0	276.0	275.0	826.0
-Senior Community Based Grants	475.0	0.0	125.0	0.0	600.0
-Community DD Grants	150.0	0.0	252.5	0.0	402.5
-Commission on Aging	0.0	0.0	109.1	0.0	109.1
-Governor's Cncl/Disabilities	0.0	0.0	390.0	0.0	390.0
FY2013 Governor	40,561.0	0.0	2,650.1	17,962.7	61,173.8