

**State of Alaska**  
**FY2014 Governor's Operating Budget**

**Department of Transportation/Public Facilities**  
**Harbor Program Development**  
**Component Budget Summary**

**Component: Harbor Program Development****Contribution to Department's Mission**

Provide a wide range of technical services to the department.

**Core Services**

- The Director's Office provides management and guidance to the division.
- The Ports and Harbors Section provides design services, consultant oversight, technical and financial assistance for coastal and harbor projects to federal, state and local agencies and communities.

**Major Component Accomplishments in 2012**

- Completed the Alaska Regional Ports and Harbors Study in cooperation with the U.S. Army Corps of Engineers (USACE).
- Initiated the Arctic Ports Study to study the development of a deep draft port for a USCG ice breaker.
- Provided state financial assistance towards completion of the third phase of the USACE Saint Paul harbor project.
- Managed the Municipal Harbor Facility Grant Program by ranking applications and issuing grants from legislative appropriations. Received 11 applications for FY2012 and awarded three grants totaling \$6.67 million to Port Lions, Sand Point, and Petersburg. Received 10 applications for FY2013. Harbor grants to Haines-Portage Cove and King Cove were completed.
- Coastal and harbor engineering staff provided technical support for Department of Transportation & Public Facilities (DOT&PF) managed airports (11), highways (6), ferry terminal and harbor (5) projects. Also provided technical assistance to western Alaska villages dealing with coastal erosion issues.
- Funded two major harbor maintenance projects in Port Alexander and Kasaan, totaling \$2.3 million in work.
- Performed 12 routine harbor and five seaplane inspections by state personnel.

**Key Component Challenges**

- Successful recruiting and retaining technical professionals is a recurring issue. We anticipate difficulty in recruiting for high level technical engineers in all Sections.

**Significant Changes in Results to be Delivered in FY2014**

No significant changes anticipated.

**Statutory and Regulatory Authority**

AS 02.15, Alaska Aeronautics Act of 1949  
AS 08.48, Architects, Engineers, Land Surveyors, and Landscape Architects  
AS 08.87, Real Estate Appraisers  
AS 19, Highways and Ferries  
AS 29.60, Municipal Government State Programs  
AS 30.30, Navigation, Harbors, Shipping, and Transportation Facilities, Abandoned and Derelict Vessels  
AS 34.60, Property, Relocation Assistance and Real Property Acquisition Practices  
AS 35, Public Buildings, Works, and Improvements  
AS 36, Public Contracts  
AS 44.42, State Government, Department of Transportation and Public Facilities  
AS 44.62, State Government, Administrative Procedure Act  
17 AAC 15 Transportation and Public Facilities  
CFR 23 Highways  
CFR 49 Transportation

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Harbor Program Development Component Financial Summary			
		<i>All dollars shown in thousands</i>	
	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
<b>Non-Formula Program:</b>			
<b>Component Expenditures:</b>			
71000 Personal Services	556.5	574.8	588.8
72000 Travel	19.6	23.0	23.0
73000 Services	8.0	15.7	15.7
74000 Commodities	1.8	2.0	2.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	0.0	0.0	0.0
78000 Miscellaneous	0.0	0.0	0.0
<b>Expenditure Totals</b>	<b>585.9</b>	<b>615.5</b>	<b>629.5</b>
<b>Funding Sources:</b>			
1004 General Fund Receipts	300.3	391.1	391.1
1061 Capital Improvement Project Receipts	285.6	224.4	238.4
<b>Funding Totals</b>	<b>585.9</b>	<b>615.5</b>	<b>629.5</b>

Estimated Revenue Collections				
Description	Master Revenue Account	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
<b>Unrestricted Revenues</b>				
None.		0.0	0.0	0.0
<b>Unrestricted Total</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Restricted Revenues</b>				
Capital Improvement Project Receipts	51200	285.6	224.4	238.4
<b>Restricted Total</b>		<b>285.6</b>	<b>224.4</b>	<b>238.4</b>
<b>Total Estimated Revenues</b>		<b>285.6</b>	<b>224.4</b>	<b>238.4</b>

**Summary of Component Budget Changes  
From FY2013 Management Plan to FY2014 Governor**

*All dollars shown in thousands*

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
<b>FY2013 Management Plan</b>	391.1	0.0	224.4	0.0	615.5
<b>Adjustments which will continue current level of service:</b>					
-Transfer Authority from Statewide Design and Engineering Services to Comply with Vacancy Factor Guidelines	0.0	0.0	14.0	0.0	14.0
<b>FY2014 Governor</b>	<b>391.1</b>	<b>0.0</b>	<b>238.4</b>	<b>0.0</b>	<b>629.5</b>

Harbor Program Development Personal Services Information				
Authorized Positions			Personal Services Costs	
	FY2013 Management Plan	FY2014 Governor		
Full-time	3	3	Annual Salaries	405,732
Part-time	0	0	Premium Pay	0
Nonpermanent	0	0	Annual Benefits	201,245
			<i>Less 2.99% Vacancy Factor</i>	(18,149)
			Lump Sum Premium Pay	0
<b>Totals</b>	<b>3</b>	<b>3</b>	<b>Total Personal Services</b>	<b>588,828</b>

Position Classification Summary					
Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Engineer/Architect IV	0	0	1	0	1
Tech Eng I / Architect I	1	0	0	0	1
Tech Eng II / Architect II	1	0	0	0	1
<b>Totals</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>3</b>

**Component Detail All Funds**  
**Department of Transportation/Public Facilities**

**Component:** Harbor Program Development (AR57661) (2978)  
**RDU:** Design and Construction (526)

	FY2012 Actuals	FY2013 Conference Committee	FY2013 Authorized	FY2013 Management Plan	FY2014 Governor	FY2013 Management Plan vs FY2014 Governor	
71000 Personal Services	556.5	574.8	574.8	574.8	588.8	14.0	2.4%
72000 Travel	19.6	23.0	23.0	23.0	23.0	0.0	0.0%
73000 Services	8.0	15.7	15.7	15.7	15.7	0.0	0.0%
74000 Commodities	1.8	2.0	2.0	2.0	2.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
<b>Totals</b>	<b>585.9</b>	<b>615.5</b>	<b>615.5</b>	<b>615.5</b>	<b>629.5</b>	<b>14.0</b>	<b>2.3%</b>
<b>Fund Sources:</b>							
1004 Gen Fund (UGF)	300.3	391.1	391.1	391.1	391.1	0.0	0.0%
1061 CIP Rcpts (Other)	285.6	224.4	224.4	224.4	238.4	14.0	6.2%
<b>Unrestricted General (UGF)</b>	<b>300.3</b>	<b>391.1</b>	<b>391.1</b>	<b>391.1</b>	<b>391.1</b>	<b>0.0</b>	<b>0.0%</b>
<b>Designated General (DGF)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0%</b>
<b>Other Funds</b>	<b>285.6</b>	<b>224.4</b>	<b>224.4</b>	<b>224.4</b>	<b>238.4</b>	<b>14.0</b>	<b>6.2%</b>
<b>Federal Funds</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0%</b>
<b>Positions:</b>							
Permanent Full Time	3	3	3	3	3	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

**Change Record Detail - Multiple Scenarios With Descriptions**  
**Department of Transportation/Public Facilities**

**Component:** Harbor Program Development (2978)

**RDU:** Design and Construction (526)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2013 Conference Committee To FY2013 Authorized *****												
<b>FY2013 Conference Committee</b>												
ConfCom		615.5	574.8	23.0	15.7	2.0	0.0	0.0	0.0	3	0	0
1004 Gen Fund		391.1										
1061 CIP Rcpts		224.4										
<b>Subtotal</b>		<b>615.5</b>	<b>574.8</b>	<b>23.0</b>	<b>15.7</b>	<b>2.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3</b>	<b>0</b>	<b>0</b>
***** Changes From FY2013 Authorized To FY2013 Management Plan *****												
<b>Subtotal</b>		<b>615.5</b>	<b>574.8</b>	<b>23.0</b>	<b>15.7</b>	<b>2.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3</b>	<b>0</b>	<b>0</b>
***** Changes From FY2013 Management Plan To FY2014 Governor *****												
<b>Transfer Authority from Statewide Design and Engineering Services to Comply with Vacancy Factor Guidelines</b>												
Trin		14.0	14.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1061 CIP Rcpts		14.0										
<b>Totals</b>		<b>629.5</b>	<b>588.8</b>	<b>23.0</b>	<b>15.7</b>	<b>2.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3</b>	<b>0</b>	<b>0</b>

Transfer capital improvement program (CIP) receipt authority from the Statewide Design and Engineering Services (D&ES) component to the Harbor Program Development component to meet vacancy factor guidelines. CIP receipt authority is available in the Statewide D&ES component due to position funding realignment within component. Authorization is needed in Harbor Program Development personal services due to all employees within the component being in longevity status and no anticipated vacancies.

**Personal Services Expenditure Detail**  
**Department of Transportation/Public Facilities**

**Scenario:** FY2014 Governor (10289)  
**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

PCN	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Step	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	GF Amount
25-0223	Tech Eng I / Architect I	FT	A	GP	Anchorage	200	24M / N	12.0		122,064	0	0	62,995	185,059	69,756
25-0859	Tech Eng II / Architect II	FT	A	GP	Anchorage	200	25O / P	12.0		141,252	0	0	69,190	210,442	79,968
25-3190	Engineer/Architect IV	FT	A	SS	Juneau	205	26M / N	12.0		142,416	0	0	69,060	211,476	211,476
													<b>Total Salary Costs:</b>	405,732	
													<b>Total COLA:</b>	0	
													<b>Total Premium Pay:</b>	0	
													<b>Total Benefits:</b>	201,245	
													<b>Total Pre-Vacancy:</b>	606,977	
													<b>Minus Vacancy Adjustment of 2.99%:</b>	(18,149)	
													<b>Total Post-Vacancy:</b>	588,828	
													<b>Plus Lump Sum Premium Pay:</b>	0	
													<b>Personal Services Line 100:</b>	588,828	
<b>Total Component Months:</b>		36.0													

<b>PCN Funding Sources:</b>	<b>Pre-Vacancy</b>	<b>Post-Vacancy</b>	<b>Percent</b>
1004 General Fund Receipts	361,200	350,400	59.51%
1061 Capital Improvement Project Receipts	245,777	238,428	40.49%
<b>Total PCN Funding:</b>	<b>606,977</b>	<b>588,828</b>	<b>100.00%</b>

Note: If a position is split, an asterisk (\*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (\*\*) will appear in this column. [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

**Line Item Detail**  
**Department of Transportation/Public Facilities**  
**Travel**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

Line Number	Line Name		FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
72000	Travel		19.6	23.0	23.0
Expenditure Account	Servicing Agency	Explanation	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
<b>72000 Travel Detail Totals</b>			<b>19.6</b>	<b>23.0</b>	<b>23.0</b>
72110	Employee Travel (Instate)	In state travel for Statewide Port & Harbors staff to inspect harbors, meet with regional staff for consultation and meetings to evaluate grant applications.	19.6	22.0	22.0
72410	Employee Travel (Out of state)	Travel is for attendance at national meetings (including American Association of State Highway and Transportation Officials meetings), conferences, and workshops concerning legal and regulatory changes and technical changes pertaining to harbor, coastal management and transportation-related design and engineering.	0.0	1.0	1.0

**Line Item Detail**  
**Department of Transportation/Public Facilities**  
**Services**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

Line Number	Line Name		FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
73000	Services		8.0	15.7	15.7
Expenditure Account	Servicing Agency	Explanation	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
<b>73000 Services Detail Totals</b>			<b>8.0</b>	<b>15.7</b>	<b>15.7</b>
73003	Dot Time & Equip Sys	Vehicle usage billed to the operating budget based on established rates and actual use of vehicles.	0.8	0.5	0.5
73026	Training/Conferences	Training, conferences and employee tuition for staff.	1.0	1.0	1.0
73029	Memberships	Membership fees for the Alaska Harbor Association and Port Administrators.	0.1	0.1	0.1
73150	Information Technlgy	All information technology (IT) contractual costs such as software licensing, software maintenance, IT training, IT consulting and IT equipment leases. Contracts with Dell software for all standard software such as Microsoft Word and Adobe Acrobat.	1.5	2.0	2.0
73156	Telecommunication	Telephone long distance and cellular services provided by vendors.	0.1	0.1	0.1
73225	Delivery Services	Freight, express mail, courier services and postage.	0.1	0.2	0.2
73668	Room/Space	Room space rental for annual Harbor grant meeting	0.2	0.7	0.7
73676	Repairs/Maint. (Non IA-Eq/Machinery)	Repairs of or maintenance agreement for office equipment, including photocopiers, fax machines, and data processing equipment.	0.0	0.2	0.2
73756	Print/Copy/Graphics	Printing of grant applications.	0.1	0.1	0.1
73805	IT-Non-Telecommunication	Enterprise Technology Services	0.8	1.0	1.0
73806	IT-Telecommunication	Enterprise Technology Services	1.9	1.7	1.7

**Line Item Detail**  
**Department of Transportation/Public Facilities**  
**Services**

**Component:** Harbor Program Development (2978)

**RDU:** Design and Construction (526)

Expenditure Account	Servicing Agency	Explanation	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor	
<b>73000 Services Detail Totals</b>			<b>8.0</b>	<b>15.7</b>	<b>15.7</b>	
73809	Mail	Central Mail	Charge back fees for central mail services such as mailing vendor payments (AKSAS) and payroll warrants (AKPAY).	0.1	0.1	0.1
73810	Human Resources	Personnel	Chargeback fees for human resource services such as labor relations, position classifications and payroll processing.	0.1	0.1	0.1
73812	Legal	Transportation Section	Legal services provided by the Department of Law.	0.9	7.4	7.6
73815	Financial	Admin - Finance	Chargeback fees for AKSAS and AKPAY.	0.1	0.1	0.1
73816	ADA Compliance	Labor - Americans With Disabilities	Chargeback fees for the statewide coordinator for the Americans with Disabilities Act (ADA).	0.0	0.1	0.0
73819	Commission Sales (IA Svcs)	Admin - State Travel Office	Processing fees charged by the State Travel office.	0.2	0.3	0.2

**Line Item Detail**  
**Department of Transportation/Public Facilities**  
**Commodities**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

Line Number	Line Name		FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
74000	Commodities		1.8	2.0	2.0
Expenditure Account	Servicing Agency	Explanation	FY2012 Actuals	FY2013 Management Plan	FY2014 Governor
<b>74000 Commodities Detail Totals</b>			<b>1.8</b>	<b>2.0</b>	<b>2.0</b>
74200	Business	General office supplies for routine work. Materials needed to prepare presentations. Data processing supplies such as CDs, copier and printer paper, copier toner, and printer cartridges; Subscriptions to Alaska Association of Harbormasters and Port Administrators; periodicals; and handbooks.	1.8	2.0	2.0

**Restricted Revenue Detail**  
**Department of Transportation/Public Facilities**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

<b>Master Account</b>	<b>Revenue Description</b>				<b>FY2012 Actuals</b>	<b>FY2013 Management Plan</b>	<b>FY2014 Governor</b>
51200	Capital Improvement Project Receipts				285.6	224.4	238.4
<b>Detail Information</b>							
<b>Revenue Amount</b>	<b>Revenue Description</b>	<b>Component</b>	<b>Collocation Code</b>	<b>AKSAS Fund</b>	<b>FY2012 Actuals</b>	<b>FY2013 Management Plan</b>	<b>FY2014 Governor</b>
51201	Direct CIP Receipts				285.6	224.4	238.4
	Capital Improvement program receipts for salaries charged directly to projects.						

**Inter-Agency Services**  
**Department of Transportation/Public Facilities**

**Component:** Harbor Program Development (2978)  
**RDU:** Design and Construction (526)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2012 Actuals	FY2013		
					Management Plan	FY2014 Governor	
73805	IT-Non-Telecommunication	Computer services provided by Department of Administration, Enterprise Technology services, such as central server, data storage, printing, network services, open connect and task order system.	Inter-dept	Enterprise Technology Services	0.8	1.0	1.0
<b>73805 IT-Non-Telecommunication subtotal:</b>				<b>0.8</b>	<b>1.0</b>	<b>1.0</b>	
73806	IT-Telecommunication	Telecommunications services provided by the Department of Administration, Enterprise Technology Services, such as basic telephone services, equipment and features, dedicated voice/data line services, two-way radio and video conference.	Inter-dept	Enterprise Technology Services	1.9	1.7	1.7
<b>73806 IT-Telecommunication subtotal:</b>				<b>1.9</b>	<b>1.7</b>	<b>1.7</b>	
73809	Mail	Charge back fees for central mail services such as mailing vendor payments (AKSAS) and payroll warrants (AKPAY).	Inter-dept	Central Mail	0.1	0.1	0.1
<b>73809 Mail subtotal:</b>				<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	
73810	Human Resources	Chargeback fees for human resource services such as labor relations, position classifications and payroll processing.	Inter-dept	Personnel	0.1	0.1	0.1
<b>73810 Human Resources subtotal:</b>				<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	
73812	Legal	Legal services provided by the Department of Law.	Inter-dept	Transportation Section	0.9	7.4	7.6
<b>73812 Legal subtotal:</b>				<b>0.9</b>	<b>7.4</b>	<b>7.6</b>	
73815	Financial	Chargeback fees for AKSAS and AKPAY.	Inter-dept	Admin - Finance	0.1	0.1	0.1
<b>73815 Financial subtotal:</b>				<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	
73816	ADA Compliance	Chargeback fees for the statewide coordinator for the Americans with Disabilities Act (ADA).	Inter-dept	Labor - Americans With Disabilities	0.0	0.1	0.0
<b>73816 ADA Compliance subtotal:</b>				<b>0.0</b>	<b>0.1</b>	<b>0.0</b>	
73819	Commission Sales (IA Svcs)	Processing fees charged by the State Travel office.	Inter-dept	Admin - State Travel Office	0.2	0.3	0.2
<b>73819 Commission Sales (IA Svcs) subtotal:</b>				<b>0.2</b>	<b>0.3</b>	<b>0.2</b>	
<b>Harbor Program Development total:</b>				<b>4.1</b>	<b>10.8</b>	<b>10.8</b>	
<b>Grand Total:</b>				<b>4.1</b>	<b>10.8</b>	<b>10.8</b>	