

Numbers Only

Change Record Detail with Description (1440)
Department of Administration

Scenario: FY2014 Supplemental (11324)
Component: Office of Public Advocacy (43)
RDU: Legal and Advocacy Services (11)
Title: Caseload Capacity and Appellant Backlog

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
N	Suppl	193.0	0.0	0.0	193.0	0.0	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	193.0										

The Office of Public Advocacy (OPA) is seeking a supplemental to accommodate case load increases and address the appellant backlog.

In FY2013, specific areas of OPA experienced a continuous and significant caseload increase. In FY2013, Child in Need of Aid (CINA) cases increased by nearly 300 cases statewide over FY2012 and continue to increase in FY2014. The increase in cases is having a substantial impact on the wards entrusted to the Public Guardian section. Civil appeals have almost tripled in caseloads from 39 in FY2012 to 102 in FY2013. Caseloads for criminal appeals (79 in FY2012 to 104 in FY2013) and post-conviction relief cases (166 in FY2012 to 228 in FY2013) have increased in numbers now equivalent to the work of two additional full time attorneys. OPA is facing additional scrutiny from the court system related to delays associated with timely pursuing these cases.

In January 2014, OPA received a letter from the Supreme Court stating "the court will no longer grant any requested extensions exceeding a total of 30 days for the appellant's opening brief, 30 days for the appellant's brief, and 15 days for the appellant's reply." Sanctions have been threatened against OPA related to the time requests being made to handle the cases. To avoid costly sanctions and meet the court's demands related to pursuing these cases in a timely manner, OPA is requesting funds for contract attorneys to handle increasing caseloads.

Additionally more and more cases, both civil and criminal are requiring expert services from neuropsych exams in guardianship cases to DNA testing in criminal cases. The Department of Law's decision to no longer negotiate sentencing agreements in A felony and unclassified offenses will also affect OPA's case load.

OPA is a "down flow" office in that it reacts to actions of other state agencies and systems, including the Office of Children's Services, Adult Protective Services, the Attorney General Human Services Section, the District Attorney's office, the Court System and other public and private entities. OPA must take all cases assigned to it if statutorily authorized.

The impact of this supplemental request is being considered for a FY2015 budget amendment.

Numbers Only

Change Record Detail with Description (1440)
Department of Administration

Scenario: FY2014 Supplemental (11324)
Component: Public Defender Agency (1631)
RDU: Legal and Advocacy Services (11)
Title: Caseload Capacity and Appellant Backlog

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
N	Suppl	650.0	25.0	11.0	539.0	75.0	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	650.0										

The Public Defender Agency (PDA) is seeking a supplemental to address case load increases and the appellant backlog.

PDA uses a weighted average system expressed in terms of felony cases handled per year. The American Bar Association standards for ethical caseload limits require that attorneys handle no more than 150 felony cases per year. The weighted average number of cases handled by attorneys at the PDA increased from 128 in FY2009 to 150 in FY2013.

The appellate division has a backlog of over 130 appellate matters. Criminal appellate matters have increased significantly from FY2010 (124) to FY2013 (212). The growth in criminal appeals in FY2014 is projected to be an additional 45 cases over FY2013 which will add to the current backlog.

It currently takes approximately 12 months for a case to be assigned to an attorney and an additional three to four months to file the opening brief. Felony merit appeals increased 49% in FY2012 and an additional 12% in FY2013. Misdemeanor merit appeals increased 50% FY2013 data is not available at this time. Felony trials have increased significantly since FY2010 (153) to FY2013 (227). The projected growth in appeals strongly indicates an increase trial rate in FY2014 over FY2013. Expenses related to trial litigation and the necessary services vary dramatically between case types.

The civil division currently maintains a vacant attorney position. As a result, the division has only six attorneys who appear before ten superior court judges handling Child in Need of Aid (CINA) matters. Overall CINA caseload growth for FY2013 was 44%. These increases have a significant impact on the division's ability to appropriately process child welfare cases.

In January 2014, the department received a letter from the Supreme Court stating "the court will no longer grant any requested extensions exceeding a total of 30 days for the appellant's opening brief, 30 days for the appellant's brief, and 15 days for the appellant's reply." In addition, the Alaska Court of Appeals has issued new guidelines on continuances in criminal appeals reducing the time permitting for filing an opening brief. The new guidelines reduce the time permitted by 170 days over the next two and one-half years. It is anticipated that PDA will not be able to meet these new guidelines during this fiscal year.

The Department of Law's decision to no longer negotiate sentencing agreements in class A felony and unclassified offenses will also affect PDA's case load.

The impact of this supplemental request is being considered for a FY2015 budget amendment.

Numbers Only

Change Record Detail with Description (1440)
Department of Military and Veterans Affairs

Scenario: FY2014 Supplemental (11324)

Component: Alaska Military Youth Academy (1969)

RDU: Military & Veterans Affairs (530)

Title: Replace Unavailable Receipt Authority for Alaska State Employees Association One-Time Payment

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
N	Suppl	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
	1002 Fed Rcpts	-23.1										
	1004 Gen Fund	48.0										
	1007 I/A Rcpts	-24.9										

This will replace unavailable funding with general fund in order to make the \$755 lump sum payment to General Government Unit (GGU) employees in the second pay period of August 2013. The Alaska Military Youth Academy (AMYA) FY2014 budget is comprised of restricted revenue from the United States Department of Defense Challenge grant and the Alaska Department of Education and Early Development formula funding. AMYA does not receive, nor is able to request, any real federal or interagency dollars for increases in authorizations in its FY2014 budget, as it is currently operating under a funding formula that was mandated by AS 14.30.740. The formula is does not account for annual labor increases (this statute was repealed by the 28th Legislature through HB180, effective December 31, 2013).

This is a one-time increment.

Numbers Only

Change Record Detail with Description (1440)
Department of Natural Resources

Scenario: FY2014 Supplemental (11324)
Component: Fire Suppression Activity (2706)
RDU: Statewide Fire Suppression Program (140)
Title: FY2014 Fire Activity

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
N	Suppl	22,769.4	11,343.6	1,937.8	7,140.8	2,347.2	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	22,769.4										

This request provides general funds for expenditures incurred under the Declaration of Disaster Emergency process for the fall 2013 fire season. The Fire Suppression component required supplemental funding, of which a portion will be used for payables to outside agencies (US Forest Service, Bureau of Land Management, NorthWest Compact participants).

The initial FY2014 general fund appropriation for the Fire Suppression component was \$6,663.3. (Sec1 Ch14 SLA2013 P31 L16)

FY2014 Fire Declaration funding requests:
 ADN 10-4-5038 \$12,838.4 (08/08/2013)
 ADN 10-4-5086 \$ 9,931.0 (08/19/2013)

2013 Fire Season Summary

Four fires caused impacts to local communities including Stewart Creek II and Mississippi in the Alaska Fire Service (AFS) Military Zone, Birch Creek in the AFS Upper Yukon Zone, and Moon Lake "Complex" of fires near Tok, under Division of Forestry (DOF) protection. Many other smaller fires in the Copper River area and Fairbanks area had considerable resources assigned. The fires in AFS protection put a strain on in-state availability and many resources were ordered from the Lower 48 states and Canada for both large fire support and on-going initial attack activity. Alaska was at planning level 4 in early July 2013, and then again in early through mid-August 2013, and the Alaska Multi-Agency Coordinating group (MAC) was activated. Although most resources were committed to AFS fires, DOF protected lands were threatened on Birch Creek, and both Stewart Creek II and Mississippi fires burned into DOF protection, requiring direct DOF support for suppression, planning, and fire management in addition to firefighting resources.

As the fire season progressed (into July/August/September) much of the fire activity consisted of the large fires in the Military Zone and Tok area. There were varied levels of initial attack during this period, but substantial support for the larger fires. The 2013 Alaska fire season finished with 613 fires with 1,320,753 acres burned. Total acres burned was the fourth largest over the last ten years.

The unusually warm and dry season started in late May 2013, persisted through most of the state through early July and continued through mid to late August for the eastern interior where the existing large fires continued to burn. Because of the unusually warm and dry conditions, resources were in high demand both in the lower 48 states and Alaska concurrently, which has historically been very unusual. Because the late season did not bring the usual seasonal rains, air tankers were ordered back from the lower 48 states and twice a tanker group from Alberta, Canada was ordered for our federal cooperators. Fire weather was very unusual with a very active and persistent season where the length of high activity persisted through most of the summer.

Numbers Only

Change Record Detail with Description (1440)
Department of Revenue

Scenario: FY2014 Supplemental (11324)

Component: Treasury Division (121)

RDU: Taxation and Treasury (510)

Title: Investment Management of Public School Trust Fund

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
N	Suppl	19.0	0.0	0.0	19.0	0.0	0.0	0.0	0.0	0	0	0
	1066 Pub School	19.0										

The investment management costs for the Public School Trust Fund under management have increased. Investment assets under management have experienced a continued growth.

Investment management fees are charged as a percent of the market value of invested assets under management. Fluctuations in the market affect the value of the assets, which in turn affects the amount of management fees. This amount will cover the costs for the remainder of FY2014.

This is a one-time increment.

Numbers Only

Change Record Detail with Description (1440)
Department of Revenue

Scenario: FY2014 Supplemental (11324)

Component: Treasury Division (121)

RDU: Taxation and Treasury (510)

Title: Investment Management of Retiree Health Insurance Fund Long Term Care

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
N	Suppl	7.0	0.0	0.0	7.0	0.0	0.0	0.0	0.0	0	0	0
	1143 RHIF/LTC	7.0										

Investment management costs for the Retiree Health Insurance Fund/Long Term Care (RHIF/LTC) under management have increased. Investment assets under management have experienced a continued growth.

Investment management fees are charged as a percent of the market value of invested assets under management. Fluctuations in the market affect the value of the assets, which in turn affects the amount of management fees. This amount will cover the costs for the remainder of FY2014.

This is a one-time increment.

Numbers Only

Change Record Detail with Description (1440)
Department of Revenue

Scenario: FY2014 Supplemental (11324)

Component: Treasury Division (121)

RDU: Taxation and Treasury (510)

Title: Investment Management of Power Cost Equalization Endowment Fund

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
N	Suppl	16.0	0.0	0.0	16.0	0.0	0.0	0.0	0.0	0	0	0
	1169 PCE Endow	16.0										
<p>Investment management costs for the Power Cost Equalization Endowment Fund (PCE) under management have increased. Investment assets under management have experienced a continued growth.</p> <p>Investment management fees are charged as a percent of the market value of invested assets under management. Fluctuations in the market affect the value of the assets, which in turn affects the amount of management fees. This amount will cover the costs for the remainder of FY2014.</p> <p>This is a one-time increment.</p>												
Totals		16.0	0.0	0.0	16.0	0.0	0.0	0.0	0.0	0	0	0

Inter-Island Ferry Authority

FY2014 Request: \$500,000
Reference No: 45874

AP/AL: Appropriation
Category: Transportation
Location: Southeast Alaska
Impact House District: Southeast Region (HD 31-34)
Estimated Project Dates: 04/20/2014 - 06/30/2018
Project Type: Economic Assistance
Recipient: Inter-Island Ferry Authority
House District: Southeast Region (HD 31-34)
Contact: JoEllen Hanrahan
Contact Phone: (907)465-2506

Brief Summary and Statement of Need:

Inter-Island Ferry Authority (IFA) provides daily service between Ketchikan and Prince of Wales Island (POW). Passenger revenue and other funds are insufficient to cover their projected costs. This transportation link is a vital service to Southeast Alaska. IFA is continuing to refine their operations plan to maximize profits, reduce expenses and minimize reliance on state funds.

Funding:	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	Total
Gen Fund	\$500,000						\$500,000
Total:	\$500,000	\$0	\$0	\$0	\$0	\$0	\$500,000

<input type="checkbox"/> State Match Required	<input checked="" type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased - new	<input type="checkbox"/> Phased - underway	<input type="checkbox"/> On-Going
0% = Minimum State Match % Required		<input type="checkbox"/> Amendment	<input type="checkbox"/> Mental Health Bill	

Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Project Development:	0	0
Ongoing Operating:	0	0
One-Time Startup:	0	0
Totals:	0	0

Prior Funding History / Additional Information:

Sec4 Ch5 SLA2012 P10 L12 307 \$250,000
 Sec4 Ch1 SLA2011 P31 L15 SB76 \$350,000
 Sec10 Ch15 SLA2009 P58 L12 SB75 \$1,500,000
 Sec1 Ch30 SLA2007 P21 L33 SB53 \$500,000
 Sec1 Ch82 SLA2003 P15 L7 SB100 \$200,000

This project is included in the FY2015 Governor's budget for \$500,000.

Project Description/Justification:

This funding is designed to assist IFA in providing service to POW given current revenue and expenditures. These funds are not intended to be provided annually but considered as needed to continue this vital service.

AP/AL: Appropriation

Project Type: Construction

Category: Transportation

Location: Statewide

House District: Statewide (HD 1-40)

Impact House District: Statewide (HD 1-40)

Contact: Pat Kemp

Estimated Project Dates: 04/20/2014 - 06/30/2016

Contact Phone: (907)465-3900

Brief Summary and Statement of Need:

Repair damaged areas, due to flooding and ice jams, which resulted in substantial damage to the public infrastructure. These projects are not eligible for reimbursement from the Federal Highway Administration or the Federal Emergency Management Agency.

Funding:	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	Total
Gen Fund	\$2,408,107						\$2,408,107
Total:	\$2,408,107	\$0	\$0	\$0	\$0	\$0	\$2,408,107

<input type="checkbox"/> State Match Required	<input checked="" type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased - new	<input type="checkbox"/> Phased - underway	<input type="checkbox"/> On-Going
0% = Minimum State Match % Required		<input type="checkbox"/> Amendment	<input type="checkbox"/> Mental Health Bill	

Operating & Maintenance Costs:

	Amount	Staff
Project Development:	0	0
Ongoing Operating:	0	0
One-Time Startup:	0	0
Totals:	0	0

Prior Funding History / Additional Information:

No prior funding history.

Project Description/Justification:

The Department of Transportation and Public Facilities (department) has incurred costs associated with the necessary repairs to department infrastructure as a result of flooding and ice jams occurring May 17, 2013 and continuing through June 2013. On May 30, 2013, the Governor declared an emergency disaster. The flooding covered a major portion of the Northern Region and included the following boundaries: southernmost location Richardson Highway MP 29 near Valdez; northernmost location Dalton Highway MP 325 Sag River; easternmost location Community of Eagle; and the western most location - Nome. The damage to the infrastructure consisted of culvert washouts, embankment erosion, ditch line erosion, log jams forming on drainage structures, and roadway inundation. As a result of the flooding damage, there were various roadway closures throughout the Northern Region during the event.

The department pursued federal funding from the Federal Highway Administration (FHWA) and Federal Emergency Management Agency (FEMA) for reimbursement of emergency repairs. Many of the sites were ineligible for federal reimbursement and are thus included in this request. A majority of the ineligible sites are not FEMA eligible due to the roadway classification and therefore are under the FHWA jurisdiction. FHWA reviewed all of the sites for eligibility and made a determination that the widely scattered damage as a result of snow melt was not an emergency eligible event. FHWA stated if there was a corridor of damaged sites caused by one weather event

and the repair cost exceeded \$750,000, then the repairs would be deemed eligible. The department analyzed the damaged locations with a corridor approach and determined the combined corridor sites did not meet the \$750,000 threshold. Consequently, the emergency repairs are not eligible for FHWA reimbursement.

It is estimated that the total cost of emergency repairs is \$8,800,000. Of this total repair cost, \$6,391,893, or 73%, is eligible for reimbursement. FHWA is anticipated to reimburse the department \$1,408,107 and FEMA \$4,983,786. Currently the department does not have sufficient state funds to support the remaining \$2,408,107 in repairs necessary to return the infrastructure back to its original safe operating condition. Northern Region has expended \$662,400 for emergency repairs that are ineligible for federal reimbursement. The state general funds will be used to reimburse repair costs incurred to date and design and construction projects to permanently restore the damaged sites to pre-flood condition.

Estimated repair costs which are not eligible for federal participation.		
Description	Total Estimate	Comments
Alaska Highway MP 1312.1 Culvert Installation	\$19,940	Maintenance and Operations (M&O) installed two each 36" diameter culverts to prevent water from overtopping the Alaska Highway in an emergency protective measure to prevent roadway damage. All work at this site is complete.
Chena Hot Springs Road MP 36 Flowing Water Across Roadway	\$2,906	M&O removed large amounts of debris from the drainage structures.
Circle Hot Springs Road MP 5 Road Washed Out	\$14,190	The roadway embankment washed out at this site causing an approximately one day road closure. The embankment has currently been reconstructed and repaired by M&O forces.
Dalton Highway MP 324-325 Sag River Stockpile	\$216,653	A stockpile of 125 bags of calcium chloride was washed away by flood waters.
Deering Airport Road	\$2,745	M&O has completed the

		roadway repairs.
Eagle - Mission Road Debris Removal	\$15,818	Large amount of ice and debris was removed from the roadway.
		Water overtopped the existing roadway in three locations. The aggregate surface course was washed off of the roadway and each of these sections had substantial embankment erosion. Temporary repairs utilizing the existing roadway materials have been performed to make the roadway passable. Permanent repairs are scheduled to be completed by a contractor Summer 2014. There is another roadway project in Emmonak so this work will most likely be added to that contract in order to capture the efficiencies of having a contractor onsite in a remote location.
Emmonak Airport Road	\$529,229	
Emmonak Airport Taxiway	\$550,315	Water washed out existing culvert and taxiway embankment. The existing runway lighting was also damaged as a result of the washout. M&O has replaced the existing culvert, reconstructed the embankment on the taxiway, completed necessary lighting repairs and the airport lighting is operational. During the taxiway reconstruction a portion of the apron was excavated and used to reconstruct the taxiway embankment. The work necessary to repair the apron back to its original condition still needs to be

		done.
Galena Campion Road MP 0.0 - 0.891	\$459,286	Initial onsite inspection 6/4/13. Reconstructed lane road access from Community of Galena to landfill. Roadway is open to traffic between Community of Galena and Airport as of 6/3/13. Currently developing construction contract to perform the remaining repairs.
Galena Campion Road MP 0.891 - 8.513 Flooding Due to Ice Jam	\$43,416	M&O initial onsite inspection 6/4/13. M&O reconstructed a one lane road access from Community of Galena to the Landfill. Roadway is open to traffic between Community of Galena and Airport as of 6/3/13. Currently developing construction contract to perform the remaining repairs with Contractor Forces.
Galena Local Assistance	\$2,632	Local assistance was requested to remove and haul debris from the Community of Galena to the local landfill.
Parks Highway MP 239 King Fisher Creek Boat Launch Erosion and Reconstruction	\$263,694	Need to remove and repair existing asphalt and excavate ditches to ensure proper drainage at this site. Need to reconstruct drainage channel along the public access so that future erosion of the facility doesn't occur. Anticipate contracting the repairs.
Richardson Highway MP 143.5	\$23,177	This culvert partially washed out causing a one lane roadway closure. The portion of the failed culvert has been repaired and M&O will perform the minimal stabilization work remaining at this site.

Richardson Highway MP 167 Gillespie Creek	\$24,931	Water overtopped the roadway causing embankment shoulder damage. During the high water the 5' diameter culverts (2 each) began to experience "piping" causing additional embankment and asphalt damage. These sites have been temporarily stabilized and are in a safe condition for travel. Repairs are anticipated to be sufficient until 2016 when there is a capitol reconstruction project for this portion of the Richardson Highway which is scheduled to widen and replace these culverts.
Richardson Highway MP 26 - 27 Ditchline Erosion	\$23,535	Approximately 1500' of substantial ditchline erosion occurred 2'-4' in depth immediately adjacent to the asphalt surface on the Richardson Highway. M&O reconstructed the ditchline.
Richardson Highway MP 29.9 Road Subsidence from Failed Culvert	\$185,185	M&O performed temporary patching during the flooding event. Contractor was hired to replace the failed culvert.
Salcha River Log Jam Removal	\$3,101	Due to the ice jam surge and high water debris there was a log jam on the west side of the bridge. M&O removed the log jam and completed the repairs.
Tok Cutoff MP 34.5 Sinona Creek	\$27,345	The roadway had extensive shoulder embankment damage and various approaches were damaged as a result of the flooding. M&O completed repairs to the shoulders and ditchlines.

TOTAL \$2,408,107

If this supplemental request is not approved, the department will not be able to complete the

necessary repairs and will have to identify other funds to cover the repairs costs performed to date. The lack of repairs may cause reduced safety for the traveling public and potentially increase future infrastructure damage.

Reappropriation for First Time Service Projects

FY2014 Request: \$0
Reference No: 49748

AP/AL: Allocation **Project Type:** Life / Health / Safety
Category: Health/Human Services
Location: Statewide **House District:** Statewide (HD 1-40)
Impact House District: Statewide (HD 1-40) **Contact:** Bill Griffith
Estimated Project Dates: 04/20/2014 - 06/30/2018 **Contact Phone:** (907)269-7601
Appropriation: Village Safe Water and Wastewater Infrastructure Projects

Brief Summary and Statement of Need:

The Municipal Matching Grant program and the Municipal Water, Sewer, and Solid Waste Matching Grant program has projects for some smaller municipalities' water and sewer projects that are eligible for federal grants and were appropriated federal receipt authority and associated match. A handful of these federally-funded projects were stalled and canceled, or were completed under budget.

The requested reappropriation, estimated to be \$3,358,421, will provide funding to the Village Safe Water and Wastewater Infrastructure Projects for first time service grants to communities to plan, design, and construct drinking water and sanitation facilities.

Funding:	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	Total
AHFC Rcpts							\$0
Fed Rcpts							\$0
G/F Match							\$0
Total:	\$0	\$0	\$0	\$0	\$0	\$0	\$0

<input type="checkbox"/> State Match Required	<input type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased - new	<input type="checkbox"/> Phased - underway	<input checked="" type="checkbox"/> On-Going
0% = Minimum State Match % Required		<input type="checkbox"/> Amendment	<input type="checkbox"/> Mental Health Bill	

Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Project Development:	0	0
Ongoing Operating:	0	0
One-Time Startup:	0	0
Totals:	0	0

Prior Funding History / Additional Information:

- Sec1 Ch82 SLA2003 P18 L15 SB 100 \$1,880,300
- Sec1 Ch82 SLA2003 P19 L15 SB 100 \$45,000
- Sec1 Ch1 SLA2002 P35 L24 SB 2006 \$54,800
- Sec1 Ch1 SLA2002 P36 L15 SB 2006 \$575,000
- Sec1 Ch1 SLA2002 P37 L9 SB 2006 \$100,000
- Sec1 Ch1 SLA2002 P37 L14 SB 2006 \$307,000
- Sec1 Ch1 SLA2002 P37 L24 SB 2006 \$1,879,000
- Sec1 Ch1 SLA2002 P38 L4 SB 2006 \$43,400
- Sec1 Ch1 SLA2002 P38 L7 SB 2006 \$217,000
- Sec1 Ch61 SLA2001 P16 L30 SB 29 \$915,000
- Sec1 Ch61 SLA2001 P17 L8 SB 29 \$900,000
- Sec1 Ch61 SLA2001 P17 L10 SB 29 \$25,000
- Sec1 Ch135 SLA2000 P11 L5 SB 192 \$374,900

Sec1 Ch135 SLA2000 P12 L14 SB 192 \$1,741,500
Sec1 Ch135 SLA2000 P12 L21 SB 192 \$1,250,000
Sec131 Ch139 SLA1998 P46 L24 SB 231 \$140,000

Project Description/Justification:

The Facility Construction component within the Division of Water provides technical and financial support to Alaska's communities to design and construct drinking water and sanitation facilities. Communities apply each year to the state for grants for these projects. Applications are scored based primarily on the extent to which proposed projects address critical public health needs, as well as the communities' capacity to operate and maintain the facilities. High ranking projects are placed on a multi-year priority list, which identifies projects to receive funding over the next several years. The majority of project awards made under this program are eligible for grant funds made available to the state through the federal government. A portion of the federal grant monies available require the state to appropriate matching funds.

Each year communities propose more projects than available state funding. When projects are proposed, total costs are estimated based on the best information available but are subject to change. Balances in these projects may be shifted to other projects that were underestimated or, applied to other approved projects on the waiting list based on a first-ready, first-served basis.

The Municipal Matching Grants program had projects for some smaller municipalities' water and sewer projects that were eligible for federal grants and were appropriated federal receipt authority and associated match. A handful of these federally-funded projects were stalled and canceled, or were completed under budget. These funds are being reappropriated to the Village Safe Water Program to provide for first time service grants.

Scope Change: Haines - Barnett and Tower Road Water Tank Replacement and Infrastructure Improvements **FY2014 Request: \$0**
Reference No: 54644
AP/AL: Appropriation **Project Type:** Water / Sewer / Solid Waste
Category: Health/Human Services **Recipient:** Haines Borough
Location: Haines **House District:** Southeast Islands (HD 34)
Impact House District: Southeast Islands (HD 34) **Contact:** Bill Griffith
Estimated Project Dates: 04/20/2014 - 06/30/2017 **Contact Phone:** (907)269-7601

Brief Summary and Statement of Need:

The Department of Environmental Conservation (DEC) requests a scope of work change to an existing capital allocation titled "Haines – Barnett Water Tank Replacement" to include roof replacement at the Tower Road water storage tank. Since funding became available for the project, the community has updated its cost estimate for the Barnett Drive tank replacement, and believes it may fund the roof replacement at the Tower Road tank with the remaining project funding.

Funding:	<u>FY2014</u>	<u>FY2015</u>	<u>FY2016</u>	<u>FY2017</u>	<u>FY2018</u>	<u>FY2019</u>	<u>Total</u>
Gen Fund							\$0
Total:	\$0	\$0	\$0	\$0	\$0	\$0	\$0

<input type="checkbox"/> State Match Required	<input type="checkbox"/> One-Time Project	<input checked="" type="checkbox"/> Phased - new	<input type="checkbox"/> Phased - underway	<input type="checkbox"/> On-Going
0% = Minimum State Match % Required		<input type="checkbox"/> Amendment	<input type="checkbox"/> Mental Health Bill	

Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Project Development:	0	0
Ongoing Operating:	0	0
One-Time Startup:	0	0
Totals:	0	0

Prior Funding History / Additional Information:

Sec1 Ch17 SLA2012 P114 L5 SB160 \$699,143

Estimated costs:

Barnett water tank replacement - \$649,143

Tower Road water tank roof - \$50,000

Project Description/Justification:

The intent of the original project was to replace the existing Barnett Drive 100,000 gallon wood stave water storage tank with a 190,000 gallon, bolted steel insulated water tank.

The drinking water system for the community utilizes three water storage tanks, including the two tanks referenced above and the Young Road Tank. Once the raw water supply is treated, the water is stored in the Tower Road tank. From there, some of the water moves to the Barnett Tank and some moves to the Young Tank. Therefore, if the Tower Tank is not functional, then the entire drinking water system, serving approximately 400 hook-ups, is not functional. Further, if either the Barnett Tank or the Young Tank is not functional, approximately one-half of the community will not have water.

The Barnett Drive tank needs to be replaced and the Tower Road tank needs a new roof. The estimated cost of this additional work is \$50,000.

In the summer of 2011, the City of Haines submitted an application for a Municipal Matching Grant to the Department of Environmental Conservation to replace the Barnett Drive water storage tank. In the same application, the city referenced the need for upgrades at the other two community water storage tanks, including the roof replacement at the Tower Road Tank. State funding for the program share of the project costs was appropriated in FY2013.

Since funding became available for the project, the community has updated its cost estimate for the Barnett Drive tank replacement, and believes it may fund the roof replacement at the Tower Road tank with the remaining project funding, estimated to be \$50,000.

If the additional scope of work is approved, DEC will reimburse the City of Haines on all eligible expenses related to the project. It is the city's intent to request construction bids for work at both tank sites at the same time.

Reappropriation for Eligibility Information System Replacement

FY2014 Request: \$0
Reference No: 50825

AP/AL: Appropriation

Project Type: Information Technology / Systems / Communication

Category: Health/Human Services

Location: Statewide

House District: Statewide (HD 1-40)

Impact House District: Statewide (HD 1-40)

Contact: Jennifer Klein

Estimated Project Dates: 04/20/2014 - 06/30/2017

Contact Phone: (907)465-1870

Brief Summary and Statement of Need:

The unexpended and unobligated balance, estimated to be \$81,232, of the appropriation made in sec. 13, ch. 29, SLA 2008, page 149, lines 14 - 17 (Department of Health and Social Services, Evaluate Eligibility Information System Alternatives, Phase 2 - \$864,300), is reappropriated to the Department of Health and Social Services for eligibility information system replacement.

Funding:	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	Total
Fed Rcpts							\$0
Gen Fund							\$0
Total:	\$0	\$0	\$0	\$0	\$0	\$0	\$0

<input type="checkbox"/> State Match Required	<input type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased - new	<input checked="" type="checkbox"/> Phased - underway	<input type="checkbox"/> On-Going
0% = Minimum State Match % Required		<input type="checkbox"/> Amendment	<input type="checkbox"/> Mental Health Bill	

Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Project Development:	0	0
Ongoing Operating:	0	0
One-Time Startup:	0	0
Totals:	0	0

Prior Funding History / Additional Information:

Sec1 Ch17 SLA2012 P118 L17 SB160 \$8,738,478

Sec13 Ch29 SLA2008 P149 L14 SB221 \$864,300

The Eligibility Information System Alternatives project helped the Department of Health and Social Services research available products with the goal of identifying a new system capable of replacing the current legacy eligibility system. This research was successful, and a new Eligibility Information System replacement project is underway. Funds will be used to augment the project's Quality Assurance contract to facilitate, lead, and support the system infrastructure needed for the project.

Change Record Detail with Description (1440)
Special Appropriations

Scenario: FY2014 Supplemental (11324)
Component: Judgments, Claims and Settlements (3008)
RDU: Judgments, Claims and Settlements (615)
Title: Judgments and Settlements

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	1,570.2	0.0	0.0	1,570.2	0.0	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	1,570.2										

DEPARTMENT OF LAW. (a) The sum of \$1,570,200 is appropriated from the general fund to the Department of Law, civil section, deputy attorney general's office, for the purpose of paying judgments and settlements against the state for the fiscal year ending June 30, 2014.

- \$149,069.87 - Jennifer Paramour et al. v. State of Alaska
- \$187,587.41 - Peterson v. SOA
- \$ 17,525.70 - Reel v. Big Game Commercial Services Board
- \$1,230.05 - SOP Inc. v. SOA, DNR, et al.
- \$1,214,776.31 - Mulgrew v. DHSS OCS

Language Only

Change Record Detail with Description (1440)
Special Appropriations

Scenario: FY2014 Supplemental (11324)

Component: Judgments, Claims and Settlements (3008)

RDU: Judgments, Claims and Settlements (615)

Title: Judgments and Settlements

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
Actual judgment and settlement costs incurred in the fiscal year ending June 30, 2014 but not included in sec8(a) of the Governor's supplemental bill.												
The amount necessary, after application of the amount appropriated in (a) of this section, to pay judgments awarded against the state on or before June 30, 2014, is appropriated from the general fund to the Department of Law, civil division, deputy attorney general's office, for the purpose of paying judgments against the state for the fiscal year ending June 30, 2014.												
Totals		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0

Reappropriation for Alcantra Facilities Projects

FY2014 Request:

\$0

Reference No:

57074

AP/AL: Allocation

Project Type: Construction

Category: Public Protection

Location: Wasilla (Greater Wasilla)

House District: Greater Wasilla (9)

Impact House District: Greater Wasilla (9)

Contact: McHugh Pierre

Estimated Project Dates: 04/20/2014 - 06/30/2018

Contact Phone: (907)428-6003

Appropriation: Army Guard Facilities Projects

Brief Summary and Statement of Need:

The unexpended and unobligated balance, estimated to be \$350,000, of the appropriation made in sec. 1, ch. 16, SLA 2013, page 69, line 23 (Army Guard Facilities Projects - \$5,589,000) and allocated on page 70, lines 9 - 10 (Fort Greely - Covered Shelter Buildings - \$350,000) is reappropriated to the Department of Military and Veteran's Affairs, Army Guard Facilities Projects and allocated for Alcantra Facilities Projects. The original project will be completed using U.S. Army active duty resources that do not flow through the state budget.

This Army Guard Facilities Projects allocation will provide needed capital improvement projects at the Alcantra Armory.

Funding:	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	Total
Gen Fund							\$0
Total:	\$0	\$0	\$0	\$0	\$0	\$0	\$0

<input type="checkbox"/> State Match Required	<input checked="" type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased - new	<input type="checkbox"/> Phased - underway	<input type="checkbox"/> On-Going
0% = Minimum State Match % Required		<input type="checkbox"/> Amendment	<input type="checkbox"/> Mental Health Bill	

Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Project Development:	0	0
Ongoing Operating:	0	0
One-Time Startup:	0	0
Totals:	0	0

Prior Funding History / Additional Information:

Sec1 Ch16 SLA2013 P70 L9 SB18 \$350,000

Project Description/Justification:

The original allocation provides four covered storage structures at Fort Greely, Alaska for protecting military vehicle assets from the weather. This project subsequently changed and the active duty Army can provide these buildings. As such, this project is not in the Master Cooperative Agreement and will not go through the state budget or require federal authority. This reappropriation allows the department to continue improving the Alcantra Armory site, where existing projects include the "Mobile Emergency Operations and Command Vehicle Storage Construction project" (SLA2012) and "Alcantra - Covered Shelter Buildings" (SLA2013).

Reappropriation for Eielson Covered Storage

FY2014 Request: \$0
Reference No: 54916

AP/AL: Appropriation

Project Type: Construction

Category: Public Protection

Location: Eielson AFB

House District: North Pole/Eielson (HD 1)

Impact House District: North Pole/Eielson (HD 1)

Contact: McHugh Pierre

Estimated Project Dates: 04/20/2014 - 06/30/2018

Contact Phone: (907)428-6003

Brief Summary and Statement of Need:

The unexpended and unobligated balance, estimated to be \$195,000, of the appropriation made in sec. 7, ch. 17, SLA 2012, page 166, lines 28 - 30 (Move and Renovate STARBASE Building - \$195,000) is reappropriated to the Department of Military and Veterans' Affairs for the purpose of providing covered storage for the 168th Wing at Eielson Air Force Base. The original purpose of the appropriation is no longer necessary because The STARBASE program has been discontinued.

A reappropriation of the original appropriation will provide needed protection of assets for the 168th Wing at Eielson Air Force Base.

Funding:	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	Total
Gen Fund							\$0
Total:	\$0	\$0	\$0	\$0	\$0	\$0	\$0

<input type="checkbox"/> State Match Required	<input checked="" type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased - new	<input type="checkbox"/> Phased - underway	<input type="checkbox"/> On-Going
0% = Minimum State Match % Required		<input type="checkbox"/> Amendment	<input type="checkbox"/> Mental Health Bill	

Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Project Development:	0	0
Ongoing Operating:	0	0
One-Time Startup:	0	0
Totals:	0	0

Prior Funding History / Additional Information:

Sec7 Ch17 SLA2012 P166 L28 SB160 \$195,000

Project Description/Justification:

The original appropriation was to move the building for Alaska's STARBASE program from the Alaska Military Youth Academy campus and co-locate it with its parent organization, the Alaska Air National Guard, on Joint Base Elmendorf Richardson. However, the STARBASE program was subsequently discontinued. This reappropriation will provide needed covered storage for the 168th Wing at Eielson Air Force Base to protect seasonal equipment assets.

Change Record Detail with Description (1440)
Special Appropriations

Scenario: FY2014 Supplemental (11324)

Component: Judgments, Claims and Settlements (3008)

RDU: Judgments, Claims and Settlements (615)

Title: Arbitration Settlement - ASEA v SOA, DMVA, AMYA

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	111.3	0.0	0.0	111.3	0.0	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	111.3										

DEPARTMENT OF MILITARY AND VETERANS AFFAIRS. (a) The sum of \$111,328 is appropriated from the general fund to the Department of Military of Veterans' Affairs, office of the commissioner, for the purpose of paying judgments and settlements for the fiscal year ending June 30, 2014.

\$111.3 ASEA v SOA, DMVA, AMYA

Change Record Detail with Description (1440)
Department of Natural Resources

Scenario: FY2014 Supplemental (11324)
Component: Fire Suppression Activity (2706)
RDU: Statewide Fire Suppression Program (140)
Title: FY2014 Projected Spring Costs for Wildland Fire Protection

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	5,000.0	0.0	0.0	5,000.0	0.0	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	5,000.0										
<p>The amount necessary to pay for fire suppression activities, estimated to be \$5,000,000, is appropriated from the general fund to the Department of Natural Resources, fire suppression activities, for the fiscal year ending June 30, 2014.</p> <p>This appropriation of general funds is for projected spring 2014 costs for initial attack of wildland fires through June 30, 2014.</p> <p>The initial FY2014 general fund appropriation for the Fire Suppression component was \$6,663.3. (Sec1 Ch14 SLA2013 P31 L16)</p> <p>FY2014 Fire Declaration funding requests: ADN 10-4-5038 \$12,838.4 (08/08/2013) ADN 10-4-5086 \$ 9,931.0 (08/19/2013)</p> <p>A separate supplemental request for the already posted general fund authorization is for \$22,769.4. Remaining funds in the component are insufficient to meet the state's statutory obligation to meet current obligations and provide spring wildland fire protection on state, municipal, and private lands in FY2014.</p> <p>2014 Spring Fire Season</p> <p>April and May are two of the busiest months, as much of the fire activity occurs in the urban interface areas with person caused starts. The largest acreages often occur in June, a period when prolonged burn periods and extended high pressure systems promote large wildland fire growth.</p> <p>It is difficult to predict the amount necessary for spring 2014 fire suppression activity. The estimate of \$5.0 million will be adjusted based on costs incurred through June 30, 2014.</p>												
Totals		5,000.0	0.0	0.0	5,000.0	0.0	0.0	0.0	0.0	0	0	0

Change Record Detail with Description (1440)
Special Appropriations

Scenario: FY2014 Supplemental (11324)

Component: Judgments, Claims and Settlements (3008)

RDU: Judgments, Claims and Settlements (615)

Title: Department of Transportation and Public Facilities Quinhagak Airport Deconstruction

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	164.6	0.0	0.0	164.6	0.0	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	164.6										

The sum of \$164,617 is appropriated from the general fund to the Department of Transportation and Public Facilities, office of the commissioner, for the purpose of paying costs associated with Old Quinhagak Airport litigation for the fiscal year ending June 30, 2014.

The Department of Transportation and Public Facilities (DOT&PF) leased the former Quinhagak airport from Qanirtuuq Inc. The Native Village of Quinhagak, DOT&PF and Qanirtuuq Inc. entered into an agreement to address the deconstruction of the former airport as the Native Village of Quinhagak directly received Federal Aviation Administration (FAA) funds for the construction of a new public airport. During the Native Village of Quinhagak's deconstruction activities the presence of hazardous substances was discovered within the former snow removal equipment building. As a potential responsible party, DOT&PF cleaned up the site in compliance with Department of Environmental Conservation (DEC) standards. Qanirtuuq Inc. administratively appealed DEC's determination of no further action on the clean-up effort. DEC's determination was upheld and Qanirtuuq Inc. subsequently sought remedy in Superior Court for further clean-up efforts.

In November 2013 (FY2014), a legal settlement of \$100.0 was negotiated. Associated legal fees amount to \$64.6 for a total cost of \$164.6 related to the Old Quinhagak Airport litigation.

Change Record Detail with Description (1440)
Branch-wide Unallocated Appropriations

Scenario: FY2014 Supplemental (11324)
Component: Fuel Branch-wide Appropriations (2954)
RDU: Fuel Branch-wide Unallocated (558)
Title: Amend Fuel Allocation Percentage

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
<p>* Sec. X. OFFICE OF THE GOVERNOR. Section 22(e)(2), ch. 14, SLA 2013, page 75, line 29 is amended to read: (e) The governor shall allocate amounts appropriated in (a) and (b) of this section as follows:</p> <p>(1) to the Department of Transportation and Public Facilities, 65 percent of the total plus or minus 10 percent;</p> <p>(2) to the University of Alaska, 15 [10] percent of the total plus or minus three percent;</p> <p>(3) to the Department of Health and Social Services and the Department of Corrections, not more than five percent each of the total amount appropriated;</p> <p>(4) to any other state agency, not more than four percent of the total amount appropriated;</p> <p>(5) the aggregate amount allocated may not exceed 100 percent of the appropriation.</p> <p>This supplemental request will increase the University of Alaska's fuel trigger cap of 10% of the total appropriated plus or minus three percent to 15% of the total plus or minus three percent to cover an anticipated \$1.6 million shortfall for fuel and utility costs. Total fuel and utility expenditures for the University in FY2014 are estimated to be \$36.8 million. Base fuel and utility funding is \$30.5 million with an additional \$4.7 million provided through the annual fuel allocation for a total of \$35.2 million available in the budget. Approximately 17.4% of the \$36 million annual fuel appropriation would increase the cap for the University from \$4.68 million to \$6.28 million and cover the projected \$1.6 million shortfall. With an aging power plant in Fairbanks and electrical charge increases in Anchorage, the annual costs continue to grow regardless of the University's efforts to reduce utility costs across the system.</p>												
Totals		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0

Change Record Detail with Description (1440)
Debt Service

Scenario: FY2014 Supplemental (11324)

Component: General Obligation (792)

RDU: Debt Service (251)

Title: FY2014 Fund Source Change for Series 2010AB

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	414.6										
	1212 Fed ARRA	-414.6										

Federal reimbursement for eligible state bonds has been reduced by 8.7% in FY2014. General obligation bonds series 2010 A was reduced by \$205,688 and series 2010 B was reduced by 208,852 for a total federal reimbursement reduction of \$414,540.

Section 25(h), ch. 14, SLA 2013, page 81 line 31 through page 82, line 22, is amended to read:

- (5) the sum of \$632,200 from the investment earnings on the bond proceeds deposited in the capital project funds for the series 2010A, 2010B, and 2010C general obligation bonds, for payment of debt service and accrued interest on outstanding State of Alaska general obligation bonds, series 2010A and 2010B;
- (6) the sum of \$2,158,541[2,364,229] from the amount received from the United States Treasury as a result of the American Recovery and Reinvestment Act of 2009, Build America Bond credit payments due on the series 2010A general obligation bonds, for payment of debt service and accrued interest on outstanding State of Alaska general obligation bonds, series 2010A and 2010B;
- (7) the sum of \$2,191,748 [2,400,600] from the amount received from the United States Treasury as a result of the American Recovery and Reinvestment Act of 2009, Qualified School Construction Bond interest subsidy payments due on the series 2010B general obligation bonds, for payment of debt service and accrued interest on outstanding State of Alaska general obligation bonds, series 2010A and 2010B;
- (8) the sum of \$1,040,000 from the Alaska debt retirement fund (AS 37.15.011) for payment of debt service and accrued interest on outstanding State of Alaska general obligation bonds, series 2010A and 2010B;
- (9) the amount necessary for payment of debt service and accrued interest on outstanding State of Alaska general obligation bonds, series 2010A and 2010B, after payment made in (5), (6), (7), and (8) of this subsection, estimated to be \$3,136,360 [2,721,820], from the general fund for that purpose.

Projected funding sources for debt service in the FY2015 Governor's budget are based on the most recent information.

Change Record Detail with Description (1440)
Debt Service

Scenario: FY2014 Supplemental (11324)

Component: General Obligation (792)

RDU: Debt Service (251)

Title: FY2014 Series 2013 Federal Reimbursement Eligibility

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	-430.1										
	1212 Fed ARRA	430.1										

General obligation bond series 2013 A is eligible for federal reimbursement, but this funding source was not included in the FY2014 operating budget.

This supplemental also provides for a technical correction to remove reference to 2013D as this general obligation bond series was not issued.

(10) the

(A) amount necessary for payment of debt service and accrued interest on outstanding State of Alaska general obligation bonds, series 2013A, estimated to be \$430,095, from the amount received from the United States Treasury as a result of the American Recovery and Reinvestment Act of 2009, Qualified School Construction Bond interest subsidy payments due on the series 2013A general obligation bonds;

(B) the amount necessary for payment of debt service and accrued interest on outstanding State of Alaska general obligation bonds, series 2013A, 2013B, and 2013C, [and 2013D,] after the payment made in (A) of this subsection, estimated to be \$17,269,905[17,700,000], from the general fund for that purpose

(11) the amount necessary for payment of trustee fees on outstanding State of Alaska general obligation bonds, series 2003A, 2009A, 2010A, 2010B, 2012A, 2013A, 2013B, and 2013C, [AND 2013D,] estimated to be \$5,300, from the general fund for that purpose;

Projected funding sources for debt service in the FY2015 Governor's budget are based on the most recent information.

Change Record Detail with Description (1440)
Debt Service

Scenario: FY2014 Supplemental (11324)
Component: International Airport Revenue Bonds (2284)
RDU: Debt Service (251)
Title: FY2014 Debt Service Fund Source Changes

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
	1002 Fed Rcpts	-429.8										
	1027 Int Airprt	-1,962.6										
	1179 PFC	2,000.0										
	1212 Fed ARRA	392.4										

The Alaska International Airport System (AIAS) requests fund source changes relating to FY2014 International Airport debt service in order to increase the amount of Passenger Facility Charges (PFC) currently approved, and to change the federal subsidy funding code from federal receipts (1002) to federal stimulus: ARRA 2009 (1212).

As with previous supplemental requests in years' past, this request utilizes amounts deemed by AIAS as surplus and available in order to help minimize the amount of revenue needed to be collected from airport customers while meeting debt service coverage ratios required under the bond resolution rate covenant.

The AIAS proposes increasing the use of PFC previously collected under the provisions of a Federal Aviation Administration PFC application for the payment of FY2014 annual debt service in the amount of an additional \$2.0 million and reducing the international airports revenue fund by the same amount. This change will assist AIAS in meeting anticipated lower traffic levels for the remainder of FY2014 without the necessity of implementing a mid-year rate and fees increase on the airline customers.

The use of these particular funds in lieu of the international airports revenue fund also allows the AIAS to provide debt service payments from funds on hand, net of the additional 25% debt service coverage which would otherwise be required to be collected through current fiscal year operating revenue rate and fee increases to AIAS customers.

This appropriation change will allow AIAS to meet the technical requirements of their bond resolution rate covenant while also minimizing the need for rate and fee changes to customers.

The funding code change from federal receipts to federal stimulus: ARRA 2009 is a technical correction to record federal subsidies for debt service. Similar changes were made in the FY2014 budget for general obligation bonds eligible for federal reimbursement, and should have been applied to AIAS bonds as well. This federal interest subsidy has been reduced by 8.7% (\$37,389) for FY2014. The international airports revenue fund has been increased due to this.

The language in the supplemental bill has been reworded for this subsection to allow for flexibility of funding amounts, such as for changes due to sequestration. This is in-line with how the Debt and Other Obligations section in the FY2015 Governor's budget has been written.

- (b) Section 25(i), ch. 14, SLA 2013, is repealed and reenacted to read:
 - (i) The following amounts are appropriated to the state bond committee from the specified sources, and for the stated purposes, for the fiscal year ending June 30, 2014:
 - (1) the amount necessary for payment of debt service on outstanding international airports revenue bonds, estimated to be \$7,200,000, from the collection of Federal Aviation Administration approved passenger facility charges at the Alaska International Airports System;
 - (2) the amount necessary for payment of debt service and trustee fees on outstanding international airports revenue bonds, estimated to be \$392,373,

Change Record Detail with Description (1440)
Debt Service

Scenario: FY2014 Supplemental (11324)

Component: International Airport Revenue Bonds (2284)

RDU: Debt Service (251)

Title: FY2014 Debt Service Fund Source Changes

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
<p>from the amount received from the United States Treasury as a result of the American Recovery and Reinvestment Act of 2009, Build America Bonds federal interest subsidy payments due on the series 2010D general airport revenue bonds;</p> <p>(3) the amount necessary for payment of debt service and trustee fees on outstanding international airports revenue bonds, after payments made in (1) and (2) of this subsection, estimated to be \$34,619,662, from the International Airports Revenue Fund (AS 37.15.430(a)) for that purpose.</p> <p>Projected funding sources for debt service in the FY2015 Governor's budget are based on the most recent information. Amounts by fund sources may be adjusted based on the annual rate calculation which is done during March-June, or based on the mid-year rate calculation which is done during October-December. In addition, the federal subsidy amount has been included at a 7.2%.</p>												

Change Record Detail with Description (1440)
Fund Capitalization

Scenario: FY2014 Supplemental (11324)
Component: Disaster Relief Fund (2497)
RDU: Fund Capitalization (no approp out) (608)
Title: Recovery Efforts from the 2013 Spring Flood Disaster

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	19,961.5	0.0	0.0	19,961.5	0.0	0.0	0.0	0.0	0	0	0
	1004 Gen Fund	19,961.5										

The 2013 Spring Flood Disaster was declared on May 31, 2013 and a federal disaster declaration was made on June 25, 2013. Initial funding of \$1,000.0 was made available through the Disaster Relief Fund (DRF) per AS 26.23.020 (i). Based on the initial finance plan an additional \$2,250.0 was approved by legislative concurrence per AS 26.23.020 (k) (2). In early August, a revised finance plan was submitted with a total of \$29,155.7 in state funding required. The available balance of the DRF, \$5,897.8, was authorized by legislative concurrence with the remaining amount to be requested through a supplemental appropriation. In December 2013, a final finance plan was developed to capture estimated costs for this disaster. The current total disaster cost estimate is \$75,579.7. Out of this total, \$46,470.4 is federal funding and \$29,109.3 is the required state funding. Summary of state funding:

- \$29,109.3 total state general fund required
- \$ 3,250.0 initial appropriation from DRF
- \$ 5,897.8 second appropriation from DRF
- \$19,961.5 supplemental appropriation request

* * Sec.14. FUND CAPITALIZATION. (a) The sum of \$19,961,480 is appropriated from the general fund to the disaster relief fund (AS 26.23.300(a)).

Language Only

Change Record Detail with Description (1440)
Fund Capitalization

Scenario: FY2014 Supplemental (11324)
Component: Disaster Relief Fund (2497)
RDU: Fund Capitalization (no approp out) (608)
Title: Potential Disaster Relief Efforts

Language	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
Y	Suppl	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
This language provides authorization for actual disaster relief costs incurred in the fiscal year ending June 30, 2014 but not included in sec14(a) of the Governor's supplemental bill.												
(b) The amount necessary, after application of the amount appropriated in (a) of this section, to pay for disaster activities is appropriated from the general fund to the disaster relief fund (AS 26.23.300(a)), subject to AS 26.23.020 and 26.23.025, for the fiscal year ending June 30, 2014.												
Totals		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0



THE STATE
of **ALASKA**

GOVERNOR SEAN PARNELL

Department of Commerce, Community,
and Economic Development

DIVISION OF ADMINISTRATIVE SERVICES

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MEMORANDUM

TO: Tom Narvaez
Budget Analyst, OMB

DATE: Thursday, January 09, 2014

FROM: Jeanne Mungle
Director

RE: ADN 820499 - AR 29506-12 DED Ratification

The Division of Economic Development (DED) is in need of ratification for FY2012 AR 29506 in the amount of \$72,316.32. In FY2012, the division over projected revenues from federal and interagency receipt sources and did not take into consideration the impact of a negative operating supplemental (SLA 2011, HB 307).



MEMORANDUM

DATE: January 10, 2014

TO: Kelly O'Sullivan
Chief Budget Analyst
Office of Management and Budget

FROM: Albert E. Wall *AW for*
Budget Manager

SUBJECT: FY2009 Shortfall and Supplemental Ratification Request

The Department of Health and Social Services requests the following prior year supplemental ratification:

RDU	Component	Description	General Funds	Total Funds
SDS	Senior and Disability Services – Medicaid Services	FY2009 Ratification for AR 22975 – SDS Medicaid Services	\$1,813,095.49	\$1,813,095.49

Background Information

In FY2009 the Senior and Disabilities RDU (AR 22975) realized a shortfall of \$2,082,713.97. This shortfall was due to a decrement received in that year's supplemental. Based on projections, the department anticipated realizing savings in Personal Care and Waiver Services which were not realized. The total of the decrement was \$10,800.0 (\$5,400.0 GF and \$5,400.0 FED). The decrement was allocated over the divisions with Medicaid Services components. As the savings anticipated in Senior and Disability Services were not realized, the decrement caused an over expenditure in GF. In FY2011 the Medicaid Services RDU was created with its own appropriation (AR 22998), in part, to create more accurate forecasting and ensure such a shortfall did not occur again.

Current Request

The Department of Health and Social Services has been able to reduce the ratification request from its original amount to **\$1,813,095.49** through the following process:

1. Transfer the available \$12,657.02 in GF from the GR/TAL Component (AR 23545) to the SDS Medicaid Component (AR 23550) via RP;
2. Transfer the available \$181,510.81 in GF from the SDS Admin Component (AR 23166) to the SDS Medicaid Component (AR 23550) via RP;
3. Transfer the available \$25.25 in I/A from the CDDG Component (AR 23182) to the SDS Medicaid Component (AR23550) via RP;
4. Transfer \$52,550.65 in GF from the CDDG Component (AR 23182) to the SDS Medicaid Component (AR 23550) via RP;
5. Resulting ratification request amount of \$1,810,095.49; and
6. Restricting all other available funds across the FY2008 SDS RDU (AR 22975).

If you have any additional questions regarding this issue, please contact Sana Efird at 465-1630.

ec: William J. Streur, Commissioner
Ree Sailors, Deputy Commissioner
Craig Christenson, Deputy Commissioner
Sana Efird, Assistant Commissioner
Sarah Woods, Deputy Director
Adam Bryan, Budget Analyst

encl: TANAB reports
AKSAS indented structure reports
AKSAS management reports
AKSAS appropriation inquiry reports
CCS HB 310, Sec. 1(f), pages 24-25.
Revised Program Change Request Forms
FY2009 AR 22975 Ratification Worksheet
FY2009 Change Record detail for decrement



MEMORANDUM

DATE: January 13, 2014

TO: Kelly O'Sullivan
Chief Budget Analyst
Office of Management and Budget

FROM: Albert E. Wall *AW*
Budget Manager

SUBJECT: FY2009 Shortfall and Supplemental Ratification Request

The Department of Health and Social Services requests the following prior year supplemental ratification:

RDU	Component	Description	General Funds	Total Funds
DSS	Department Support Services	FY2009 ratification for AR 23934 - DSS Info Tech Services	\$99,425.22	\$99,425.22
DSS	Department Support Services	FY2009 ratification for AR 23930 - DSS Facilities Management	\$40,558.60	\$40,558.60

Background Information

In FY2009 the Department Support Services RDU (AR 22980) realized a shortfall of **\$139,983.82**. Of this amount, \$99,425.22 was GF in AR 23934 Info Tech Services due to unrealized federal collections. The remaining amount, \$40,558.60 was an over collection of CIP in AR 23930.

Current Request

The Department of Health and Social Services is requesting ratification through the following process:

1. Transfer the available \$1129.68 in GF from the Admin Support Services Component (AR 23915) to the Info Tech Services Component (AR 23934) via RP;
2. Transfer the available \$0.16 in GF from the Admin Support Services Component (AR 23915) to the Assessment & Planning Component (AR 23913) via RP. This transfer is to fix a \$0.16 GF

shortfall in this component. If this transfer is not completed a separate, \$0.16 GF ratification will be necessary;

3. Resulting ratification request amount of **\$99,425.22** for AR 23934 and **\$40,558.60** for AR 23930; and
4. Restricting all other available funds across the FY2009 DSS RDU (AR 22980).

If you have any additional questions regarding this issue, please contact Sana Efird at 465-1630.

ec: William J. Streur, Commissioner
Ree Sailors, Deputy Commissioner
Craig Christenson, Deputy Commissioner
Sana Efird, Assistant Commissioner
Sarah Woods, Deputy Director
Adam Bryan, Budget Analyst

encl: TANAB reports
AKSAS indented structure reports
AKSAS management reports
AKSAS appropriation inquiry reports
CCS HB 310, Sec. 1(f), pages 24-25.
Revised Program Change Request Forms
FY2009 AR 22980 Ratification Worksheet



MEMORANDUM

DATE: January 13, 2014

TO: Kelly O'Sullivan
Chief Budget Analyst
Office of Management and Budget

FROM: Albert E. Wall *AW*
Budget Manager

SUBJECT: FY2010 Shortfall and Supplemental Ratification Request

The Department of Health and Social Services requests the following prior year supplemental ratification:

RDU	Component	Description	General Funds	Total Funds
HCS	Health Care Services – Medicaid Services	FY2010 Ratification for AR 22930 – HCS Medicaid Services	\$1,150,459.01	\$1,150,459.01

Background Information

In FY2010 the Health Care Services RDU (AR 22930), Medicaid Services component (AR 23301), realized a shortfall of \$1,546,103.52. This shortfall was due to difficulties drawing down and posting federal revenue. As a consequence of federal revenues not posting to the system, additional state general funds are needed to close the gap between expenditures and revenues.

Current Request

The Department of Health and Social Services has been able to reduce the ratification request from its original amount of \$1,546,103.52 to **\$1,150,459.01** through the following process:

1. Transfer the available \$112,338.50 in GF from the Health Planning and Infrastructure component (AR 24200) to the HCS Medicaid component (AR 23301) via RP;
2. Transfer the available \$126.38 in GF from the HCS Rate Review component (AR 23315) to the HCS Medicaid component (AR 23301) via RP;

3. Transfer the available \$81,784.88 in GF from the Medical Assistance Administration component (AR 23310) to the HCS Medicaid component (AR23301) via RP;
4. Transfer \$201,394.75 in GF from the Health Facility Survey component (AR 23307) to the HCS Medicaid component (AR 23301) via RP;
5. Resulting ratification request amount of **\$1,150,459.01**; and
6. Restricting all other available funds across the FY2010 HCS RDU (AR 22930).

If you have any additional questions regarding this issue, please contact Sana Efird at 465-1630.

ec: William J. Streur, Commissioner
Ree Sailors, Deputy Commissioner
Craig Christenson, Deputy Commissioner
Sana Efird, Assistant Commissioner
Sarah Woods, Deputy Director
Adam Bryan, Budget Analyst

encl: TANAB reports
AKSAS indented structure reports
AKSAS management reports
AKSAS appropriation inquiry reports
CCS HB 81, Sec. 1, pages 21-22.
Revised Program Change Request Forms
FY2010 AR 22930 Ratification Worksheet
AKSAS AB documents



MEMORANDUM

DATE: January 20, 2014

TO: Kelly O’Sullivan
Chief Budget Analyst
Office of Management and Budget

FROM: Albert E. Wall
Budget Manager

SUBJECT: FY2010 Shortfall and Supplemental Ratification Request

The Department of Health and Social Services requests the following prior year supplemental ratification:

RDU	Component	Description	General Funds	Total Funds
DPH	Nursing	FY2010 Ratification for AR 22970 – DPH Nursing	\$1,025,104.52	\$1,025,104.52

Background Information

In FY2010 the Public Health RDU (AR 22970) realized a shortfall of \$1,025,104.52. This shortfall was due to difficulties drawing down and posting federal revenue. As a consequence of federal revenues not posting to the system, additional state general funds are needed to close the gap between expenditures and revenues. Primarily as a result of improved claiming and posting of federal revenues, the Public Health Nursing component experienced an increase in federal revenue collections from \$1,618.1 in FY2010 to \$3,116.9 in FY2011.

Current Request

The Department of Health and Social Services has been able to reduce the ratification request from its original amount to **\$1,025,104.52** through the following process:

ADN 06-0-0853

- Release restrictions in Injury Prevention and Emergency Medical Services (AR 23595), Chronic Disease – GF (AR 24345), Epidemiology (AR 23610), and Public Health Labs (AR 23645).

These transactions will be done to free up expenditure and revenue authority for transactions in upcoming steps.

- Move the restriction in Preparedness (AR 23606) from the 72000 line to the 73000 line. The 72000 line had shortfall that could be remedied by releasing restricted expenditure authority.

ADN 06-0-0854 (for 72000 – 75000 lines) and ADN 06-0-0855 (for 71000 and 77000 lines)

- These involve various revised programs to clean up various imbalances within the Public Health RDU.
- Additional general funds were transferred to Nursing from Public Health Labs, Chronic Disease Prevention, Vital Statistics, Public Health Labs, State Medical Examiner, and Public Health Administration.

ADN 06-0-0856

- Restrict remaining expenditure and revenue authority.

If you have any additional questions regarding this issue, please contact Sana Efird at 465-1630.

ec: William J. Streur, Commissioner
Ree Sailors, Deputy Commissioner
Craig Christenson, Deputy Commissioner
Sana Efird, Assistant Commissioner
Sarah Woods, Deputy Director
Adam Bryan, Budget Analyst

encl: 22970 2010 DPH Backup Docs 1 of 3
22970 2010 DPH Backup Docs 2 of 3
22970 2010 DPH Backup Docs 3 of 3
22970 2010 DPH ADN 0600853 Release Restriction
22970 2010 DPH ADN 0600854 Revised Program 72000-75000
22970 2010 DPH ADN 0600855 Revised Program 71000 77000
22970 2010 DPH ADN 0600856 Restriction



MEMORANDUM

DATE: January 20, 2014

TO: Kelly O’Sullivan
Chief Budget Analyst
Office of Management and Budget

FROM: Albert E. Wall *AW*
Budget Manager

SUBJECT: FY2010 Shortfall and Supplemental Ratification Request

The Department of Health and Social Services requests the following prior year supplemental ratification:

RDU	Component	Description	General Funds	Total Funds
DSS	Department Support Services	FY201 Ratification for AR 22980 – DSS Info Tech Services	\$831,584.92	\$831,584.92

Background Information

In FY2010 the Department Support Services RDU (AR 22980) realized a shortfall of \$831,584.92. This shortfall was due to difficulties drawing down and posting federal revenue. As a consequence of federal revenues not posting to the system, additional state general funds are needed to close the gap between expenditures and revenues.

Current Request

The Department of Health and Social Services has been able to reduce the ratification request from its original amount to **\$831,584.92** through the following process:

ADN 06-0-0857

- Release restrictions in Admin Support (AR 23915) and Facilities Mgmt (AR 23930). These transactions will be done to free up expenditure and revenue authority for transactions in upcoming steps.

ADN 06-0-0846 and ADN 06-0-0850 (for 71000 and 77000 lines)

- These involve various revised programs to clean up various imbalances within the Public Health RDU.
- Additional general funds were transferred to DSS Info Tech Services, Medicaid SBAC, and State Fac Rent.

ADN 06-0-0851

- Restrict remaining expenditure and revenue authority.

If you have any additional questions regarding this issue, please contact Sana Efird at 465-1630.

ec: William J. Streur, Commissioner
Ree Sailors, Deputy Commissioner
Craig Christenson, Deputy Commissioner
Sana Efird, Assistant Commissioner
Sarah Woods, Deputy Director
Adam Bryan, Budget Analyst

encl: 22970 2010 DPH Backup Docs 1 of 3
22970 2010 DPH Backup Docs 2 of 3
22970 2010 DPH Backup Docs 3 of 3
22970 2010 DPH ADN 0600853 Release Restriction
22970 2010 DPH ADN 0600854 Revised Program 72000-75000
22970 2010 DPH ADN 0600855 Revised Program 71000 77000
22970 2010 DPH ADN 0600856 Restriction



MEMORANDUM

DATE: January 14, 2014

TO: Kelly O'Sullivan
Chief Budget Analyst
Office of Management and Budget

FROM: Albert E. Wall *AW*
Budget Manager

SUBJECT: FY2011 Shortfall and Supplemental Ratification Request

The Department of Health and Social Services requests the following prior year supplemental ratification:

RDU	Component	Description	General Funds	Total Funds
DPH	Public Health Labs	FY2011 Ratification for unbudgeted AR 22794 - Public Health Lab Services	\$1,739.25	\$1,739.25

Background Information

In FY2011 a reimbursable services agreement (RS 911108) between the Division of Public Health and the Division of Military and Veterans Affairs was closed without billing for services provided. The original reimbursable services agreement was for \$190,000.00, but only \$1,739.25 in services was provided before it was closed out. Since this reimbursable services agreement was set up in an unbudgeted structure, general funds from elsewhere in the Division of Public Health cannot be used to cover this shortfall in revenue.

Current Request

The Department of Health and Social Services requests \$1,739.25 in general funds for FY2011 for AR 22794. We are moving the expenditure authority from the 77000 line to the 74000 line and releasing excess expenditure and revenue authority.

If you have any additional questions regarding this issue, please contact Sana Efird at 465-1630.

January 15, 2014

Page 2

ec: William J. Streur, Commissioner
Ree Sailors, Deputy Commissioner
Craig Christenson, Deputy Commissioner
Sana Efird, Assistant Commissioner
Sarah Woods, Deputy Director
Adam Bryan, Budget Analyst

encl: 22794 2011 Unbudgeted

MEMORANDUM

STATE OF ALASKA DEPARTMENT OF LABOR and WORKFORCE DEVELOPMENT Administrative Services Division

To: Karen Rehfeld, Director
Office of Management & Budget

Date: January 21, 2014

From: Paloma Harbour, Director

Phone: 465-5984

Subject: Ratification for Uncollectible RSA
AR 28501-12
ADN# 741121

The Department of Labor and Workforce Development (DOLWD) requests a ratification in the amount of \$124,822.51 for FY2012 expenditures in the Employment and Training Services component (AR 28501). These expenditures were related to a Reimbursable Service Agreement (RSA) with the Department of Health and Social Services (DHSS) for Work Services to Alaskans on Temporary Assistance.

It took nearly a year after the fiscal year end close for DOLWD and DHSS to close out the FY2012 Work Services RSA. At that point DHSS denied payment of \$124,822.51 in a final billing citing that the amount exceeded the original budget for level of services to be provided. Given the timing of the decision DOLWD has no other recourse to address the expenditure except for ratification.

If this ratification is not approved the department will not be able to balance this appropriation.

Thank you for your consideration of this request.

cc: Thomas Narvaez, OMB

Approved:

Karen Rehfeld, Director

Date

124,822.51+
14,749.00+
139,571.51**

MEMORANDUM

STATE OF ALASKA DEPARTMENT OF LABOR and WORKFORCE DEVELOPMENT Administrative Services Division

To: Karen Rehfeld, Director
Office of Management & Budget

Date: January 13, 2014

From: Paloma Harbour, Director

Phone: 465-5984

Subject: Ratification for Disallowed WIA
Expenditures AR 28501-12
ADN# 741119

The Department of Labor and Workforce Development requests a ratification in the amount of \$14,749 for federally disallowed FY2012 personal services expenditures in the Employment and Training Services component (AR 28501). The U.S. Department of Labor, Employment and Training Administration disallowed personal services expenditures that had originally been charged to the federal Workforce Investment Act program due to a finding that staff used a time allocation methodology when completing their timesheets instead of direct activity based time charging.

In April 2012, after this issue was discovered, the Employment Security Division (ESD) adopted a policy and procedure for direct charging time based on program activities to ensure compliance with federal cost principles. ESD has provided training to ensure staff correctly and effectively employ the new policy. USDOL, ETA reviewed the division's policy and found it and implementation of it sufficient to resolve the finding.

If this ratification is not approved the department will be unable to repay the disallowed cost to USDOL, ETA and this could jeopardize future federal funding.

Thank you for your consideration of this request.

cc: Thomas Narvaez, OMB

Approved:

124,822.51+
14,749.00+
139,571.51*+

Karen Rehfeld, Director

MEMORANDUM

STATE OF ALASKA DEPARTMENT OF LABOR and WORKFORCE DEVELOPMENT Administrative Services Division

To: Karen Rehfeld, Director
Office of Management & Budget

Date: January 13, 2014

From: Paloma Harbour, Director

Phone: 465-5984

Subject: Ratification for Uncollectible
Expenditures AR 28250-07
ADN# 741117

The Department of Labor and Workforce Development requests a ratification in the amount of \$20,803.04 for FY2007 expenditures in the Special Projects component (AR 28250). Due to a miscalculation of available funding the Division of Vocational Rehabilitation over expended a one-time five-year federal Customized Employment grant. The division did not recognize the over expenditure in a timely manner and thus were unable to adjust the expenditures to another appropriate funding source.

The department has revised its federal grant close out and fiscal year end balancing procedures to ensure that shortfalls do not occur again.

If this ratification is not approved the department will not be able to balance this appropriation.

Thank you for your consideration of this request.

cc: Thomas Narvaez, OMB

Approved:

Karen Rehfeld, Director

Date

MEMORANDUM

STATE OF ALASKA DEPARTMENT OF LABOR and WORKFORCE DEVELOPMENT Administrative Services Division

To: Karen Rehfeld, Director
Office of Management & Budget

Date: January 13, 2014

From: Paloma Harbour, Director

Phone: 465-5984

Subject: Ratification for Uncollectible Federal
Expenditures in AR 27766-12
ADN# 741110

The Department of Labor and Workforce Development requests a ratification in the amount of \$31,128 for FY2012 expenditures on the Alaska Vocational Technical Center (AVTEC) Maritime Training capital appropriation AR 27766. AR 27766 was a federally funded capital appropriation supported by a grant from the U.S. Department of Commerce, Economic Development Administration.

Due to staff turnover, when AVTEC closed out this federal grant collection of a receivable for eligible grant expenditures was overlooked. The department did not recognize this uncollected revenue until after the FY2012 reappropriation period ended. Therefore, the department was unable to use other possible solutions to address the shortfall. The department has modified its federal grant close out procedure to ensure there are no outstanding receivables still to be collected, and has also modified its fiscal year close out procedures to include an analysis of closing capital appropriations, to ensure a shortfall does not occur again.

If this ratification is not approved the department will not be able to balance this capital appropriation.

Thank you for your consideration of this request.

cc: Thomas Narvaez, OMB

Approved:

Karen Rehfeld, Director

Date

MEMORANDUM

STATE OF ALASKA DEPARTMENT OF LABOR and WORKFORCE DEVELOPMENT Administrative Services Division

To: Karen Rehfeld, Director
Office of Management & Budget

Date: January 14, 2014

From: Paloma Harbour, Director

Phone: 465-5984

Subject: Ratification for Uncollectable Facility
Maintenance Expenditures
AR 28630-05
ADN# 741116

The Department of Labor and Workforce Development requests a ratification in the amount of \$89,638.07, for FY2005 expenditures in the Alaska Vocational Technical Center (AVTEC) Facilities Maintenance component (AR 28630). The AVTEC Facilities Maintenance component is funded through a Reimbursable Service Agreement (RSA) from the AVTEC operating component (AR 28620).

The full anticipated amount of the RSA was executed upon approval; however, actual expenditures exceeded the original RSA estimate by \$89,638.07. The payment of this additional amount did not successfully process before the fiscal year closed. There is not a sufficient lapse balance to correct the shortfall.

The department's fiscal year close out procedures have been updated to analyze expenditures and revenues for all components and to not allow for the closing of RSA's until that analysis has been completed to ensure a shortfall does not occur again.

If this ratification is not approved the department will not be able to balance this appropriation.

Thank you for your consideration of this request.

cc: Thomas Narvaez, OMB

Approved:

Karen Rehfeld, Director

Date