

**State of Alaska
FY2016 Governor Amended Operating
Budget**

**Department of Health and Social Services
Community Action Prevention & Intervention Grants
Component Budget Summary**

Component: Community Action Prevention & Intervention Grants**Contribution to Department's Mission**

Ensure that effective community-based behavioral health prevention services are available statewide, incorporating community readiness, planning, partnerships and coalitions, and evidence-based strategies that demonstrate positive outcomes for individuals, families, and communities.

Core Services

- Provide the foundation funding for Alaska's effort to prevent substance abuse within the State, with a special focus on preventing youth from experimenting with and becoming addicted to alcohol and other drugs.
- Decrease negative impacts of alcohol and substance abuse in Alaska.

Major Component Accomplishments in 2014

- Fiscal year 2014 saw the completion of our three-year competitive funding cycle for the Comprehensive Behavioral Health Prevention grant programs. Grantees are working diligently to improve on the outcomes for their selected strategies, working toward population level change, not just individual level change.
- Due to a significant change in our upcoming, new Comprehensive Behavioral Health Prevention and Early Intervention (CBHPEI) grant program, all grantees completing a FY2014 grant award are being encouraged to develop a sustainability plan for any activities funded in FY2014 that the grantee would like to see continued.
- The four-year grant cycle for the Rural Domestic Violence and Sexual Assault grant programs ended on June 30, 2014. During FY2015 grant funds for these activities will be moved to the Council on Domestic Violence and Sexual Assault—a more appropriate location for building these services into the overall domestic violence / sexual assault approach in Alaska.
- The division's research section continued their collection of archival regional data for the four communities receiving Rural Domestic Violence and Sexual Assault funding. Data points include the number of domestic violence arrests, the number of domestic violence case filings and child in need of aide case filings, the number of arrests for rape and sexual assault, alcohol data, school attendance, dropout, graduation rate data, and behavioral health services data for domestic violence clients.
- During FY2014, the Southcentral Foundation Family Wellness Warriors Initiative began a new a three-year grant cycle working with the community of Bethel and beginning expansion to Eastern Aleutian Islands. The Family Wellness Warriors Initiative has a strong outcome evaluation component that is tracking outcome data across years and communities engaging in Family Wellness Warriors Initiative programs.
- The federal Strategic Prevention Framework State Incentive Grant, originally set to end in FY2014, received a one-year no-cost extension that will allow the six grantees to continue their implementation and evaluation work through FY2015. Much of the work being done on our new FY2015-2018 Comprehensive Behavioral Health Prevention and Early Intervention grant requests for proposal will take lessons learned from our federal grant using the Strategic Prevention Framework (SPF) planning process with fidelity.

Key Component Challenges

- As we move into a new grant cycle in FY2015, our challenge is to transition communities away from single agency projects offering direct services into broader community programming that is led by a coalition of community partners and focuses on strategies that will change and improve the community environment toward population-level change. This is a paradigm shift for most of our grantees and our communities, but prevention is clearly more effective with a population level approach, not an individual client approach. Our new request for proposal for our next grant cycle will require this new approach.
- Domestic violence and sexual assault have traditionally been identified with victim services related to safety and legal assistance to provide appropriate conditions for safety to be maintained in or out of shelter services. The division is now expanding the approach to domestic violence and sexual assault by recognizing the impact of mental health and substance use/abuse issues that can be contributing factors to intensity, timing, severity, and victim vulnerability related to interpersonal violence.

- The scarcity of outcome data is a specific prevention challenge. In an effort to address this challenge, the division is entering into a contract with our Alaska Automated Information Management System development contractor to explore a prevention module for Alaska Automated Information Management System. The division is meeting with other states that are currently using the same prevention module in order to understand modifications that will be requested to meet data collection needs. Getting prevention grantees into the same automated data collection system as our treatment grantees will provide a much stronger and more comprehensive overview of the impact behavioral health services are having at the community, as well as statewide level. We anticipate having this system ready to launch at the end of FY2015.
- The division will continue to work with all community grantees to encourage a broader community or regional approach, not just an agency-by-agency service system. By moving to embrace the concept of “collective impact,” we are changing the way grant dollars are given to communities—not to individual agencies, but to community coalitions working in partnership to create strong healthy communities.

Significant Changes in Results to be Delivered in FY2016

Fiscal year 2016 will be the second year of a new four-year grant cycle for our Comprehensive Behavioral Health Prevention and Early Intervention coalition grant program. Year one of the new grant, FY2015, will be spent mostly on community assessment, capacity building, identifying intermediate variable and selecting strategies to focus on change at the policy, system, and environmental (PSE) level. We are shifting our approach for comprehensive proposals, focusing more on a data-driven process; applications from coalitions, not individual agencies; community readiness to act; strategic planning; environmental strategies (strategies to change the conditions that lead to behavioral health concerns such as youth access to alcohol); and looking for uniform population-level change (30-day alcohol use by youth; binge drinking; age of onset for alcohol, marijuana and other drugs, etc.). During FY2016 all twenty comprehensive prevention coalition grantees will move into implementation and evaluation, continuing to carry out the Strategic Prevention Framework with fidelity.

Statutory and Regulatory Authority

AS 47.30.470-500	Mental Health
AS 47.37	Uniform Alcoholism and Intoxication Treatment Act
7 AAC 78	Grant Programs

Contact Information
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**Community Action Prevention & Intervention Grants
Component Financial Summary**

All dollars shown in thousands

	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
Non-Formula Program:			
Component Expenditures:			
71000 Personal Services	0.0	0.0	0.0
72000 Travel	0.0	0.0	0.0
73000 Services	692.9	829.5	0.0
74000 Commodities	0.7	30.0	0.0
75000 Capital Outlay	0.0	0.0	0.0
77000 Grants, Benefits	5,908.8	4,828.8	0.0
78000 Miscellaneous	0.0	0.0	0.0
Expenditure Totals	6,602.4	5,688.3	0.0
Funding Sources:			
1002 Federal Receipts	3,187.0	3,651.9	0.0
1004 General Fund Receipts	436.1	298.2	0.0
1007 Interagency Receipts	1,441.1	200.0	0.0
1037 General Fund / Mental Health	1,538.2	1,538.2	0.0
Funding Totals	6,602.4	5,688.3	0.0

Estimated Revenue Collections

Description	Master Revenue Account	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
Unrestricted Revenues				
None.		0.0	0.0	0.0
Unrestricted Total		0.0	0.0	0.0
Restricted Revenues				
Federal Receipts	51010	3,187.0	3,651.9	0.0
Interagency Receipts	51015	1,441.1	200.0	0.0
Restricted Total		4,628.1	3,851.9	0.0
Total Estimated Revenues		4,628.1	3,851.9	0.0

**Summary of Component Budget Changes
From FY2015 Management Plan to FY2016 Governor Amended**

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2015 Management Plan	1,836.4	0.0	200.0	3,651.9	5,688.3
Adjustments which continue current level of service:					
-Transfer to Behavioral Health Prevention and Early Intervention to More Efficiently Deliver Services	-1,836.4	0.0	-200.0	-3,651.9	-5,688.3
FY2016 Governor Amended	0.0	0.0	0.0	0.0	0.0

Component Detail All Funds
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (AR23130) (2596)
RDU: Behavioral Health (483)

	FY2014 Actuals	FY2015 Conference Committee	FY2015 Authorized	FY2015 Management Plan	FY2016 Governor Amended	FY2015 Management Plan vs FY2016 Governor Amended	
71000 Personal Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
72000 Travel	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
73000 Services	692.9	887.5	887.5	829.5	0.0	-829.5	-100.0%
74000 Commodities	0.7	30.0	30.0	30.0	0.0	-30.0	-100.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	5,908.8	4,739.7	4,739.7	4,828.8	0.0	-4,828.8	-100.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	6,602.4	5,657.2	5,657.2	5,688.3	0.0	-5,688.3	-100.0%
Fund Sources:							
1002Fed Rcpts (Fed)	3,187.0	3,388.1	3,388.1	3,651.9	0.0	-3,651.9	-100.0%
1004Gen Fund (UGF)	436.1	530.9	530.9	298.2	0.0	-298.2	-100.0%
1007I/A Rcpts (Other)	1,441.1	200.0	200.0	200.0	0.0	-200.0	-100.0%
1037GF/MH (UGF)	1,538.2	1,538.2	1,538.2	1,538.2	0.0	-1,538.2	-100.0%
Unrestricted General (UGF)	1,974.3	2,069.1	2,069.1	1,836.4	0.0	-1,836.4	-100.0%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	1,441.1	200.0	200.0	200.0	0.0	-200.0	-100.0%
Federal Funds	3,187.0	3,388.1	3,388.1	3,651.9	0.0	-3,651.9	-100.0%
Positions:							
Permanent Full Time	0	0	0	0	0	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2015 Conference Committee To FY2015 Authorized *****												
FY2015 Conference Committee												
	ConfCom	5,657.2	0.0	0.0	887.5	30.0	0.0	4,739.7	0.0	0	0	0
1002 Fed Rcpts		3,388.1										
1004 Gen Fund		530.9										
1007 I/A Rcpts		200.0										
1037 GF/MH		1,538.2										
Subtotal		5,657.2	0.0	0.0	887.5	30.0	0.0	4,739.7	0.0	0	0	0
***** Changes From FY2015 Authorized To FY2015 Management Plan *****												
Transfer from Behavioral Health Grants for Strategic Prevention Framework Federal Grant												
	Trin	263.8	0.0	0.0	0.0	0.0	0.0	263.8	0.0	0	0	0
1002 Fed Rcpts		263.8										
Transfer federal authorization from Behavioral Health Grants to Community Action Prevention and Intervention Grants. Additional federal authority allows the division to utilize carry-over funds from the federal Strategic Prevention Framework State Incentive Grant. The grant is in an extension year, and the funds will be used to provide technical assistance, and support for six communities with their development of community-based strategies to prevent and reduce adult and youth substance use and abuse. This supports the division's core service of protecting and promoting the health of Alaskans. Uncollectible federal authorization is available for transfer from Behavioral Health Grants.												
Transfer Prevention and Early Intervention Grants to Rural Services and Suicide Prevention												
	Trout	-232.7	0.0	0.0	-58.0	0.0	0.0	-174.7	0.0	0	0	0
1004 Gen Fund		-232.7										
Transfer authority from the Community Action Prevention and Intervention Program to the Rural Services and Suicide Prevention Program to support the division's prevention and early intervention services. This array of services includes promotion of mental health, physical health and wellness; traditional prevention strategies; and recognizing the need to act earlier and incorporate all aspects of health into our state and community health planning. Grant authority is being repurposed to work within available resources while addressing the state's critical needs for a more robust and stable system of well trained and culturally responsive rural behavioral health care providers. Authorization is available to transfer from services due to decreased costs and the use of federal receipts for the Annual Strategic Prevention Framework Conference.												
Specific grantees will not be harmed. This change reflects a shift in the services that grantees are providing based on the circumstances of the individual Alaskans they serve.												
Subtotal		5,688.3	0.0	0.0	829.5	30.0	0.0	4,828.8	0.0	0	0	0
***** Changes From FY2015 Management Plan To FY2016 Governor Amended *****												
Transfer to Behavioral Health Prevention and Early Intervention to More Efficiently Deliver Services												
	Trout	-5,688.3	0.0	0.0	-829.5	-30.0	0.0	-4,828.8	0.0	0	0	0

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)

RDU: Behavioral Health (483)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
1002 Fed Rcpts		-3,651.9										
1004 Gen Fund		-298.2										
1007 I/A Rcpts		-200.0										
1037 GF/MH		-1,538.2										
<p>Consolidate Alaska Fetal Alcohol Syndrome Program, Community Action Prevention and Intervention Grants, and Rural Services and Suicide Prevention components into the new Behavioral Health Prevention and Early Intervention Grants component to more efficiently deliver services.</p> <p>Throughout the fiscal year, shifts occur in the relative proportions of the types of mental health services requested by clients. Grantees are leery of reacting too quickly and requesting a grant budget change, only to have the trend change again. By the time the changes to the grant budget are requested, it is often late in the year, and there is limited time to obtain approval to reallocate resources, wait for transaction processing, amend grants, and change grant encumbrances to reflect the shift in client needs. The division is holding ample authority to help meet providers' declared budget needs, but within the "wrong" service type component.</p> <p>By consolidating the prevention and early intervention components, the Division of Behavioral Health will be better able to respond to a provider's need for a grant budget change.</p> <p>The components being consolidated are as follows: Alaska Fetal Alcohol Syndrome Program -- \$1,182.1 Community Action Prevention and Intervention Grants -- \$5,688.3 Rural Services and Suicide Prevention -- \$3,992.0</p>												
Totals		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0

Line Item Detail
Department of Health and Social Services
Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73000	Services		692.9	829.5	0.0
Expenditure Account	Servicing Agency	Explanation	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73000 Services Detail Totals			692.9	829.5	0.0
73025	Education Services	CADCA - Community Anti-Drug Coalitions of American annual membership	350.7	3.0	0.0
73050	Financial Services		61.6	0.0	0.0
73225	Delivery Services	Courier services	1.2	1.5	0.0
73450	Advertising & Promos	Sponsorships of conferences and learning events by partnership agencies	18.4	48.4	0.0
73650	Struc/Infstruct/Land	Storage facility	4.1	4.0	0.0
73675	Equipment/Machinery		0.9	0.0	0.0
73750	Other Services (Non IA Svcs)	Spending authority from uncollectible I/A receipts	0.2	50.0	0.0
73750	Other Services (Non IA Svcs)	Strategic Prevention Framework State Incentive Grant (SPFSIG) Annual Meeting coordination - federal	0.0	20.5	0.0
73750	Other Services (Non IA Svcs)	Annual Grantee Meeting coordination - GF	0.0	40.0	0.0
73750	Other Services (Non IA Svcs)	Uncollectible federal from Strategic Prevention Framework State Incentive Grant (SPGSIG) I. May be utilized for SPFSIG II that starts October 1, 2015.	0.0	350.0	0.0
73750	Other Services (Non IA Svcs)	Spending authority for uncollectible federal receipts.	0.0	0.0	0.0
73809	Mail		0.1	0.0	0.0
73979	Mgmt/Consulting (IA Svcs)	Management consultation and technical assistance contracts not specifically assigned.	255.7	62.1	0.0
73979	Mgmt/Consulting (IA Svcs)	Univ RSA with the University for the evaluation of the Strategic Prevention Framework State Incentive Grant	0.0	230.0	0.0
73979	Mgmt/Consulting (IA Svcs)	E&ED RSA with EED for Youth Risk Behavioral Survey	0.0	20.0	0.0
73979	Mgmt/Consulting (IA Svcs)	Public Health Admin Svcs RSA with Public Health for EPI Workgroup Epidemiologist - SPF SIG	0.0	0.0	0.0

Line Item Detail
Department of Health and Social Services
Commodities

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
74000	Commodities		0.7	30.0	0.0
Expenditure Account	Servicing Agency	Explanation	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
74000 Commodities Detail Totals			0.7	30.0	0.0
74200	Business	Supplies for prevention activities	0.7	30.0	0.0

Line Item Detail
Department of Health and Social Services
Grants, Benefits

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Line Number	Line Name		FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
77000	Grants, Benefits		5,908.8	4,828.8	0.0
Expenditure Account	Servicing Agency	Explanation	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
77000 Grants, Benefits Detail Totals			5,908.8	4,828.8	0.0
77110	Grants	Comprehensive Prevention and Early Intervention grant awards funded from Community Action Prevention and Intervention GF	5,908.8	1,627.4	0.0
77110	Grants	Comprehensive Prevention and Early Intervention grant awards funded from the federal Substance Abuse, Prevention, and Treatment Block Grant (SAPT)	0.0	939.9	0.0
77110	Grants	Uncollectibe federal grant authority from Strategic Prevention Framework State Incentive Grant (SPFSIG) I. Will be utilized for SPFSIG II which starts October 1, 2015.	0.0	2,111.5	0.0
77110	Grants	DVSA Multidisciplinary Rural Community Pilot Project	0.0	0.0	0.0
77110	Grants	DVSA Family Wellness Warriors Initiative	0.0	150.0	0.0

Restricted Revenue Detail
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Master Account	Revenue Description				FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51010	Federal Receipts				3,187.0	3,651.9	0.0
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51010	Federal Receipts Uncollectible federal authority			11100	0.0	0.0	0.0
51010	Federal Receipts SAMHSA Strategic Prevention Framework State Incentive Grant (SPF SIG) CFDA 93.243		06337330	11100	0.0	2,712.0	0.0
51010	Federal Receipts SAMHSA Substance Abuse, Prevention and Treatment Block Grant (SAPT), CFDA 93.959		06337621	11100	0.0	939.9	0.0
57200	Alc/Da/Mh Sv Blk Grt				841.9	0.0	0.0
57590	Fed Projects- Health				2,345.1	0.0	0.0

Restricted Revenue Detail
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Master Account	Revenue Description				FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
51015	Interagency Receipts				1,441.1	200.0	0.0
Detail Information							
Revenue Amount	Revenue Description	Component	Collocation Code	AKSAS Fund	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
59015	Office Of The Governor				1,441.1	0.0	0.0
59015	Office Of The Governor	Executive Office	6337292	11100	0.0	150.0	0.0
	Interagency receipts tied to the DVSA Family Wellness Warriors project						
59015	Office Of The Governor	Executive Office	6337328		0.0	0.0	0.0
	Interagency receipts tied to the DVSA Rural Pilot Project						
59060	Health & Social Svcs			11100	0.0	50.0	0.0
	Uncollectible Inter Agency receipt authority						

Interagency Services
Department of Health and Social Services

Component: Community Action Prevention & Intervention Grants (2596)
RDU: Behavioral Health (483)

Expenditure Account	Service Description	Service Type	Servicing Agency	FY2014 Actuals	FY2015 Management Plan	FY2016 Governor Amended
73809	Mail	Inter-dept		0.1	0.0	0.0
			73809 Mail subtotal:	0.1	0.0	0.0
73979	Mgmt/Consulting (IA Svcs)	Inter-dept		255.7	0.0	0.0
73979	Mgmt/Consulting (IA Svcs)	Inter-dept	Univ	0.0	230.0	0.0
	RSA with the University for the evaluation of the Strategic Prevention Framework State Incentive Grant					
73979	Mgmt/Consulting (IA Svcs)	Inter-dept	E&ED	0.0	20.0	0.0
73979	Mgmt/Consulting (IA Svcs)	Intra-dept	Public Health Admin Svcs	0.0	0.0	0.0
	RSA with Public Health for EPI Workgroup Epidemiologist - SPF SIG					
			73979 Mgmt/Consulting (IA Svcs) subtotal:	255.7	250.0	0.0
			Community Action Prevention & Intervention Grants total:	255.8	250.0	0.0
			Grand Total:	255.8	250.0	0.0