

State of Alaska FY2019 Governor's Operating Budget

Department of Health and Social Services Health Facilities Licensing and Certification Component Budget Summary

Component: Health Facilities Licensing and Certification

Contribution to Department's Mission

Protect the health and safety of Alaska's most vulnerable citizens and reduce their risk of exploitation through regulatory enforcement and educational activities, including quality assurance, provider training, and compliance coordination. Work with tribally-owned health care providers to implement long-term care services.

Core Services

- Health Facilities Licensing and Certification surveyors inspect health care facilities in the state to determine if state and federal regulatory standards are being met and investigate complaints made against health care facility providers.
- Monitor assessments that nursing homes and home health agencies are required to complete for every nursing home resident and for every adult Medicare or Medicaid home health client receiving skilled nursing services.
- Serve as the health provider's contact for interpreting state and federal regulations, guidelines and protocols.
- Collaborate with other Alaska state agencies, as well as, other agencies, organizations; and, associations in an effort to promote quality of care for Alaskans.

Major Component Accomplishments in 2017

- The Health Facilities Licensing and Certification survey team is comprised of registered nurses that are responsible for the state licensing and federal certification of health care facilities in the State of Alaska. These facilities include: general acute care hospitals, long term acute care hospitals, critical access hospitals, skilled nursing facilities, volunteer and full service hospice programs, home health agencies, rural health clinics, frontier extended stay clinics, birthing centers, ambulatory surgical centers, end stage renal disease dialysis centers and outpatient physical therapy, speech pathology services. The team completed a total of 155 surveys in FY2017, which included health and life safety code surveys for state licensure and federal recertification as well as complaint investigations, assigned validation surveys for Centers for Medicare and Medicaid Services (CMS) and all required revisit surveys.
- The Health Facilities Licensing and Certification survey team continued collaboration with other state agencies within the Centers for Medicare and Medicaid Services region to ensure residents of Alaska received safe, and quality care.
- The survey team completed all federally mandated health survey and complaint investigation survey requirements for FY2017.

Key Component Challenges

- The new Federal regulations to be implemented in three phases beginning in FY2016 continued into FY2017 and have required continuous training. A new federal survey process for skilled nursing facilities was introduced and training was initiated end of FY2017 and will continue for all surveyors into FY2018.
- FY2017 brought the addition of three new ambulatory surgical centers and one new birth center. The initial surveys added to the survey workload, travel, and survey costs.
- Recruitment and retention of staff remains a challenge due to the pay scale that is not in line with what registered nurses earn in the private sector, as well as the rigorous training and travel schedule that is a requirement of the position. Surveyors' work long hours and are in travel status approximately six months of the year.

Significant Changes in Results to be Delivered in FY2019

No changes to results delivered.

Statutory and Regulatory Authority

Alaska Statutes:

AS 18.05 Administration of Public Health and Related Laws

AS 18.20 Hospitals and Nursing Facilities

AS 18.23 Health Care Services Information and Review Organizations

AS 47.05 Criminal History and Registry

AS 47.32 Centralized Licensing and Related Administrative Procedures

AS 08.80 Pharmacy

AS 08.64 Medical Professions

Social Security Act:

Title XVIII Medicare

Title XIX Medicaid

Administrative Code:

7 AAC 10 Licensing, Certification and Approvals

7 AAC 12 Facilities and Local Units

Contact Information
<p>Contact: Shawnda O'Brien, Assistant Commissioner Phone: (907) 465-1630 Fax: (907) 465-2499 E-mail: shawnda.obrien@alaska.gov</p>

Health Facilities Licensing and Certification Personal Services Information				
Authorized Positions			Personal Services Costs	
	<u>FY2018</u> <u>Management</u> <u>Plan</u>	<u>FY2019</u> <u>Governor</u>		
Full-time	13	13	Annual Salaries	977,032
Part-time	0	0	Premium Pay	0
Nonpermanent	0	0	Annual Benefits	581,022
			<i>Less 2.27% Vacancy Factor</i>	<i>(35,310)</i>
			Lump Sum Premium Pay	0
Totals	13	13	Total Personal Services	1,522,744

Position Classification Summary					
Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Administrative Assistant II	1	0	0	0	1
Health Facilities Survey Mgr	1	0	0	0	1
HLth Facil Surv I	9	0	0	0	9
HLth Facil Surv II	2	0	0	0	2
Totals	13	0	0	0	13

Component Detail All Funds
Department of Health and Social Services

Component: Health Facilities Licensing and Certification (2944)
RDU: Health Care Services (485)

Non-Formula Component

	FY2017 Actuals	FY2018 Conference Committee	FY2018 Authorized	FY2018 Management Plan	FY2019 Governor	FY2018 Management Plan vs FY2019 Governor	
71000 Personal Services	1,191.8	1,517.1	1,517.1	1,517.1	1,522.7	5.6	0.4%
72000 Travel	101.6	125.3	125.3	125.3	125.3	0.0	0.0%
73000 Services	279.6	509.6	509.6	509.6	509.6	0.0	0.0%
74000 Commodities	33.9	10.0	10.0	10.0	10.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	1,606.9	2,162.0	2,162.0	2,162.0	2,167.6	5.6	0.3%
Fund Sources:							
1002Fed Rcpts (Fed)	1,190.1	1,347.3	1,347.3	1,347.3	1,351.3	4.0	0.3%
1003G/F Match (UGF)	278.5	445.0	445.0	445.0	446.3	1.3	0.3%
1004Gen Fund (UGF)	115.9	80.4	80.4	80.4	80.7	0.3	0.4%
1005GF/Prgm (DGF)	0.0	189.3	189.3	189.3	189.3	0.0	0.0%
1108Stat Desig (Other)	22.4	100.0	100.0	100.0	100.0	0.0	0.0%
Unrestricted General (UGF)	394.4	525.4	525.4	525.4	527.0	1.6	0.3%
Designated General (DGF)	0.0	189.3	189.3	189.3	189.3	0.0	0.0%
Other Funds	22.4	100.0	100.0	100.0	100.0	0.0	0.0%
Federal Funds	1,190.1	1,347.3	1,347.3	1,347.3	1,351.3	4.0	0.3%
Positions:							
Permanent Full Time	13	13	13	13	13	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Health Facilities Licensing and Certification (2944)
RDU: Health Care Services (485)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2018 Conference Committee To FY2018 Authorized *****												
FY2018 Conference Committee												
ConfCom		2,162.0	1,517.1	125.3	509.6	10.0	0.0	0.0	0.0	13	0	0
1002 Fed Rcpts		1,347.3										
1003 G/F Match		445.0										
1004 Gen Fund		80.4										
1005 GF/Prgm		189.3										
1108 Stat Desig		100.0										
Subtotal		2,162.0	1,517.1	125.3	509.6	10.0	0.0	0.0	0.0	13	0	0
***** Changes From FY2018 Management Plan To FY2019 Governor *****												
FY2019 Salary and Health Insurance Increases												
SalAdj		5.6	5.6	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1002 Fed Rcpts		4.0										
1003 G/F Match		1.3										
1004 Gen Fund		0.3										
Salary and Health Insurance Increases: \$5.6												
Calculate FY2019 increase to GGU Health Insurance from \$1389 to \$1432 per member per month.												
Totals		2,167.6	1,522.7	125.3	509.6	10.0	0.0	0.0	0.0	13	0	0

Personal Services Expenditure Detail
Department of Health and Social Services

Scenario: FY2019 Governor (14641)
Component: Health Facilities Licensing and Certification (2944)
RDU: Health Care Services (485)

PCN	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Step	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	UGF Amount
06-2035	Hlth Facil Surv II	FT	A	SS	Anchorage	200	21J / K	12.0		91,494	0	0	51,878	143,372	39,427
06-2036	Hlth Facil Surv I	FT	A	GP	Anchorage	200	20A / B	12.0		67,758	0	0	41,789	109,547	30,125
06-4021	Hlth Facil Surv I	FT	A	GP	Anchorage	200	20G / J	12.0		82,844	0	0	47,238	130,082	35,773
06-4022	Health Facilities Survey Mgr	FT	A	SS	Anchorage	200	22F / J	12.0		92,658	0	0	52,298	144,956	39,863
06-4028	Hlth Facil Surv I	FT	A	GP	Anchorage	200	20A / B	12.0		67,452	0	0	41,678	109,130	30,011
06-4033	Hlth Facil Surv II	FT	A	GP	Anchorage	200	21E / F	12.0		80,426	0	0	46,364	126,790	34,867
06-4038	Hlth Facil Surv I	FT	A	GP	Anchorage	200	20C / D	12.0		72,129	0	0	43,367	115,496	31,761
06-4044	Hlth Facil Surv I	FT	A	GP	Anchorage	200	20F	12.0		77,736	0	0	45,393	123,129	33,861
06-4045	Hlth Facil Surv I	FT	A	GP	Anchorage	200	20B / C	12.0		68,601	0	0	42,093	110,694	30,441
06-4046	Hlth Facil Surv I	FT	A	GP	Anchorage	200	20C / D	12.0		72,342	0	0	43,444	115,786	31,841
06-4088	Hlth Facil Surv I	FT	A	GP	Anchorage	200	20A / B	12.0		67,860	0	0	41,825	109,685	30,163
06-7024	Administrative Assistant II	FT	A	GP	Anchorage	200	14F / G	12.0		52,188	0	0	36,165	88,353	24,297
06-7025	Hlth Facil Surv I	FT	A	GP	Anchorage	200	20J	12.0		83,544	0	0	47,490	131,034	36,034

		Total Positions	New	Deleted	Total Salary Costs:	977,032
					Total COLA:	0
Full Time Positions:		13	0	0	Total Premium Pay::	0
Part Time Positions:		0	0	0	Total Benefits:	581,022
Non Permanent Positions:		0	0	0		
Positions in Component:		13	0	0	Total Pre-Vacancy:	1,558,054
					Minus Vacancy Adjustment of 2.27%:	(35,310)
Total Component Months:		156.0			Total Post-Vacancy:	1,522,744
					Plus Lump Sum Premium Pay:	0
					Personal Services Line 100:	1,522,744

PCN Funding Sources:	Pre-Vacancy	Post-Vacancy	Percent
1002 Federal Receipts	1,129,589	1,103,990	72.50%
1003 General Fund Match	350,562	342,617	22.50%
1004 General Fund Receipts	77,903	76,137	5.00%
Total PCN Funding:	1,558,054	1,522,744	100.00%

Note: If a position is split, an asterisk (*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (**) will appear in this column. [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

Line Item Detail (1676)
Department of Health and Social Services
Travel

Component: Health Facilities Licensing and Certification (2944)

Line Number	Line Name		FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
2000	Travel		101.6	125.3	125.3
Object Class	Servicing Agency	Explanation	FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
2000 Travel Detail Totals			101.6	125.3	125.3
2000	In-State Employee Travel	In State Employee Travel.	84.7	104.8	104.8
2001	In-State Non-Employee Travel	In State Employee Travel.	7.8	0.0	0.0
2002	Out of State Employee Travel	Out of State Employee Travel.	8.5	20.0	20.0
2006	Other Travel Costs	ATM Cash Advance Fee.	0.6	0.5	0.5

Line Item Detail (1676)
Department of Health and Social Services
Services

Component: Health Facilities Licensing and Certification (2944)

Line Number	Line Name		FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
3000	Services		279.6	509.6	509.6
Object Class	Servicing Agency	Explanation	FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
3000 Services Detail Totals			279.6	509.6	509.6
3000	Education Services	Training, conferences and memberships for Health Facilities Surveyors.	0.9	5.0	5.0
3001	Financial Services		15.0	0.0	0.0
3002	Legal and Judicial Services		0.3	0.0	0.0
3003	Information Technology	Information technology resources for Health Facility Surveyors.	4.7	5.0	5.0
3004	Telecommunications	Telecommunications services - long distance, local/equipment, cellular service.	4.2	5.0	5.0
3005	Health Services	Potential RSA for health related activities.	0.0	225.4	225.4
3006	Delivery Services	Postage, courier, freight services.	0.1	1.0	1.0
3007	Advertising and Promotions		0.3	0.0	0.0
3009	Structure/Infrastructure/Land	Building lease costs.	0.0	55.0	55.0
3010	Equipment/Machinery	Fees for repair and maintenance of equipment.	3.9	5.0	5.0
3011	Other Services	Professional services.	0.8	2.0	2.0
3017	Inter-Agency Information Technology Non-Telecommunications	Admin - Department-wide	14.7	0.0	0.0
3017	Inter-Agency Information Technology Non-Telecommunications	H&SS - Health Facilities Licensing & Ce (2944)	0.4	0.0	0.0
3017	Inter-Agency Information Technology Non-Telecommunications	H&SS - Information Technology Services (2754)	6.6	20.0	20.0

Line Item Detail (1676)
Department of Health and Social Services
Services

Component: Health Facilities Licensing and Certification (2944)

Object Class		Servicing Agency	Explanation	FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
3000 Services Detail Totals				279.6	509.6	509.6
3018	Inter-Agency Information Technology Telecommunications	Admin - Department-wide	RSA with Dept. of Administration, Enterprise Technology Services, for telecommunication services.	12.0	40.0	40.0
3022	Inter-Agency Human Resources	Admin - Department-wide	RSA with Dept. of Administration for Human Resources.	8.3	10.0	10.0
3023	Inter-Agency Building Leases	Admin - Department-wide		51.2	0.0	0.0
3024	Inter-Agency Legal	Law - Department-wide		0.3	0.0	0.0
3025	Inter-Agency Auditing	Admin - Department-wide		5.0	0.0	0.0
3026	Inter-Agency Insurance	Admin - Department-wide	RSA with Dept. of Administration, Division of Risk Management, for insurance.	0.9	0.0	0.0
3027	Inter-Agency Financial	Admin - Department-wide		7.9	0.0	0.0
3028	Inter-Agency Americans with Disabilities Act Compliance		RSA with Dept. of Administration, Division of Risk Management, for insurance.	0.0	0.1	0.1
3029	Inter-Agency Education/Training	Commerce - Department-wide	RSA with Dept. of Commerce, Community & Economic Development for Nurse Aid Training & Competency Evaluation Program.	120.0	120.0	120.0
3030	Inter-Agency Hearing/Mediation	Admin - Department-wide	RSA with Dept. of Administration, Office of Administrative Hearings.	0.0	5.0	5.0
3038	Inter-Agency Management/Consulting	H&SS - Administrative Support Svcs (320)	RSA with Dept. of Health & Social Services for Administrative Support from Finance & Management Services.	3.5	5.0	5.0
3038	Inter-Agency Management/Consulting	H&SS - Commissioner's Office (317)	RSA with Dept. of Health & Social Services, Commissioner's Office.	1.1	2.0	2.0
3038	Inter-Agency Management/Consulting	H&SS - Information Technology Services (2754)	RSA with Dept. of Health & Social Services, Admin. Support, for Finance & Management Services information technology support.	15.9	2.0	2.0

Line Item Detail (1676)
Department of Health and Social Services
Services

Component: Health Facilities Licensing and Certification (2944)

Object Class	Servicing Agency	Explanation	FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
3000 Services Detail Totals			279.6	509.6	509.6
3038	Inter-Agency Management/Consulting	H&SS - Public Affairs (2874) RSA with Dept. of Health & Social Services, Public Affairs for support services.	1.5	2.0	2.0
3038	Inter-Agency Management/Consulting	Labor - Department-wide RSA with Dept. of Labor & Workforce Development for labor population demographics.	0.1	0.1	0.1

Line Item Detail (1676)
Department of Health and Social Services
Commodities

Component: Health Facilities Licensing and Certification (2944)

Line Number	Line Name		FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
4000	Commodities		33.9	10.0	10.0
Object Class	Servicing Agency	Explanation	FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
4000 Commodities Detail Totals			33.9	10.0	10.0
4000	Business	Purchases of supplies and equipment.	33.9	9.0	9.0
4000	Business	Books and educational supplies.	0.0	1.0	1.0

Revenue Detail (1681)
Department of Health and Social Services

Component: Health Facilities Licensing and Certification (2944)

Revenue Type (OMB Fund Code) Revenue Source	Component	Comment	FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
5002 Fed Rcpts (1002 Fed Rcpts)			1,238.6	1,347.3	1,351.3
5019 Federal - Miscellaneous Grants		Medicaid Administration funding for Health Facility Licensing & Certification administrative support.	1,238.6	73.3	77.3
5019 Federal - Miscellaneous Grants		Title XVIII Medicare funding for Health Facility Licensing & Certification.	0.0	852.0	852.0
5019 Federal - Miscellaneous Grants		Title XIX funding for Health Facilities Licensing & Certification.	0.0	422.0	422.0
5005 GF/Prgm (1005 GF/Prgm)			0.0	189.3	189.3
5108 Program Receipts General Government - Charges for Services		Fees to support the cost of Health Facilities Licensing & Certification facility licensing activities.	0.0	189.3	189.3
5108 Stat Desig (1108 Stat Desig)			22.4	100.0	100.0
5206 Statutory Designated Program Receipts - Contracts		Civil Money Penalties collected when nursing homes are not in compliance with Federal participation requirements in accordance with section 6111 of the Affordable Care Act of 2010.	22.4	100.0	100.0

Inter-Agency Services (1682)
Department of Health and Social Services

Component: Health Facilities Licensing and Certification (2944)

	FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
Component Totals	249.4	206.1	206.1
With Department of Administration	100.0	55.0	55.0
With Department of Health and Social Services	29.0	31.0	31.0
With Department of Law	0.3	0.0	0.0
With Department of Commerce, Community, and Economic Development	120.0	120.0	120.0
With Department of Labor and Workforce Development	0.1	0.1	0.1

Object Class	Servicing Agency	Explanation	FY2017 Actuals	FY2018 Management Plan	FY2019 Governor
3017	Inter-Agency Information Technology Non-Telecommunications	Admin - Department-wide	14.7	0.0	0.0
3017	Inter-Agency Information Technology Non-Telecommunications	H&SS - Health Facilities Licensing & Ce (2944)	0.4	0.0	0.0
3017	Inter-Agency Information Technology Non-Telecommunications	H&SS - Information Technology Services (2754)	6.6	20.0	20.0
3018	Inter-Agency Information Technology Telecommunications	Admin - Department-wide	12.0	40.0	40.0
3022	Inter-Agency Human Resources	Admin - Department-wide	8.3	10.0	10.0
3023	Inter-Agency Building Leases	Admin - Department-wide	51.2	0.0	0.0
3024	Inter-Agency Legal	Law - Department-wide	0.3	0.0	0.0
3025	Inter-Agency Auditing	Admin - Department-wide	5.0	0.0	0.0
3026	Inter-Agency Insurance	Admin - Department-wide	0.9	0.0	0.0
3027	Inter-Agency Financial	Admin - Department-wide	7.9	0.0	0.0
3029	Inter-Agency Education/Training	Commerce - Department-wide	120.0	120.0	120.0

Inter-Agency Services (1682)
Department of Health and Social Services

Component: Health Facilities Licensing and Certification (2944)

Object Class	Servicing Agency	Explanation	FY2017 Actuals	FY2018 Management Plan	FY2019 Governor	
		Community & Economic Development for Nurse Aid Training & Competency Evaluation Program.				
3030	Inter-Agency Hearing/Mediation	Admin - Department-wide	RSA with Dept. of Administration, Office of Administrative Hearings.	0.0	5.0	5.0
3038	Inter-Agency Management/Consulting	H&SS - Administrative Support Svcs (320)	RSA with Dept. of Health & Social Services for Administrative Support from Finance & Management Services.	3.5	5.0	5.0
3038	Inter-Agency Management/Consulting	H&SS - Commissioner's Office (317)	RSA with Dept. of Health & Social Services, Commissioner's Office.	1.1	2.0	2.0
3038	Inter-Agency Management/Consulting	H&SS - Information Technology Services (2754)	RSA with Dept. of Health & Social Services, Admin. Support, for Finance & Management Services information technology support.	15.9	2.0	2.0
3038	Inter-Agency Management/Consulting	H&SS - Public Affairs (2874)	RSA with Dept. of Health & Social Services, Public Affairs for support services.	1.5	2.0	2.0
3038	Inter-Agency Management/Consulting	Labor - Department-wide	RSA with Dept. of Labor & Workforce Development for labor population demographics.	0.1	0.1	0.1