

## Component Detail (1077)

### Department of Commerce, Community, and Economic Development

**Component:** Banking and Securities (2808)

Non-Formula Component

**RDU:** Banking and Securities (536)

IRIS AP Type: DA03

	FY2018 Actuals (15158)	FY2019 Conference Committee (14954)	FY2019 Authorized (14962)	FY2019 Management Plan (14994)	FY2020 Governor (15610)	FY2020 Governor Amended (15636)	FY2020 Governor vs FY2020 Governor Amended		
1000 Personal Services	2,417.7	2,824.0	2,824.0	2,824.0	3,009.2	2,824.0	-185.2	-6.2%	
2000 Travel	105.9	122.5	122.5	122.5	122.5	69.5	-53.0	-43.3%	
3000 Services	923.2	1,000.4	1,000.4	1,000.4	1,016.6	1,000.4	-16.2	-1.6%	
4000 Commodities	53.5	46.4	46.4	46.4	50.4	46.4	-4.0	-7.9%	
5000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0%	
7000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0%	
8000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0%	
<b>Totals</b>	<b>3,500.3</b>	<b>3,993.3</b>	<b>3,993.3</b>	<b>3,993.3</b>	<b>4,198.7</b>	<b>3,940.3</b>	<b>-258.4</b>	<b>-6.2%</b>	
<b><u>Funding Sources:</u></b>									
1005 GF/Prgm (DGF)	3,500.3	3,993.3	3,993.3	3,993.3	4,078.7	3,940.3	-138.4	-3.4%	
1007 I/A Rcpts (Other)	0.0	0.0	0.0	0.0	120.0	0.0	-120.0	-100.0%	
<b><u>Funding Totals:</u></b>									
<b>Unrestricted General (UGF)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0%</b>	
<b>Designated General (DGF)</b>	<b>3,500.3</b>	<b>3,993.3</b>	<b>3,993.3</b>	<b>3,993.3</b>	<b>4,078.7</b>	<b>3,940.3</b>	<b>-138.4</b>	<b>-3.4%</b>	
<b>Other</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>120.0</b>	<b>0.0</b>	<b>-120.0</b>	<b>-100.0%</b>	
<b>Federal</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0%</b>	
<b><u>Positions:</u></b>									
Permanent Full Time	25	25	25	25	26	25	-1	-3.8%	
Permanent Part Time	0	0	0	0	0	0	0	0.0%	
Non Permanent	0	0	0	1	1	1	0	0.0%	

**Change Record Detail - Multiple Scenarios with Descriptions (294)**  
**Department of Commerce, Community, and Economic Development**

**Component:** Banking and Securities (2808)  
**RDU:** Banking and Securities (536)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	PFT	Positions PPT	NP
***** Changes From FY2019 Conference Committee To FY2019 Authorized *****												
<b>FY2019 Conference Committee</b>	ConfCom	3,993.3	2,824.0	122.5	1,000.4	46.4	0.0	0.0	0.0	25	0	0
1005 GF/Prgm		3,993.3										
<b>Subtotal</b>		<b>3,993.3</b>	<b>2,824.0</b>	<b>122.5</b>	<b>1,000.4</b>	<b>46.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>25</b>	<b>0</b>	<b>0</b>
***** Changes From FY2019 Authorized To FY2019 Management Plan *****												
<b>Non-Permanent Financial Registration Examiner (08-#078) for Increased Volume of Licensing Applications</b>												
	PosAdj	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	1
<p>The Division of Banking and Securities (DBS) is statutorily required to license or register businesses and individuals working in regulated financial industries. Applications for small loan companies increased 50% in FY2018. Canadian Broker-Dealer and agent registrations increased 45% and 37%, respectively. Applications for mortgage licensing and money services businesses continue at peak levels in a robust national economy. A long-term non-permanent Financial Registration Examiner (FRE), range 14, in Juneau will allow DBS to maintain current levels of services to industries for licensing and registration in Alaska.</p> <p>The Nationwide Multi-state Licensing System (NMLS) will deploy a new, completely redesigned, software suite known as NMLS 2.0 on March 1, 2019, and DBS will be required to assign an existing staff member to manage the transition for Alaska. All licensing and examination staff will require training on NMLS 2.0 and, based on prior experience with transitioning mortgage and money services businesses to the NMLS registry, DBS anticipates an increase of 15% to 20% in phone calls and emails from industry requesting assistance with the transition and navigation of the new registry system. DBS is challenged to assign adequate staffing resources to stay current with the design changes and pre-implementation tasks.</p> <p>This FRE will take on some of the lower-level work currently assigned to other positions so that existing staff can manage the NMLS transition. This long-term non-permanent position will be established through June 30, 2021, by which time the transition to NMLS is anticipated to be complete. The division will continue to monitor license and application data to ensure that current levels of services are maintained.</p> <p>This position will be funded by licensing fees. In FY2018, DBS is anticipated to lapse approximately \$14 million of licensing fees to the general fund.</p>												
<b>Subtotal</b>		<b>3,993.3</b>	<b>2,824.0</b>	<b>122.5</b>	<b>1,000.4</b>	<b>46.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>25</b>	<b>0</b>	<b>1</b>
***** Changes From FY2019 Management Plan To FY2020 Governor Amended *****												
<b>Executive Branch 50% Travel Reduction</b>												
	Dec	-53.0	0.0	-53.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1005 GF/Prgm		-53.0										
50% executive branch travel reduction based on actual costs of travel in FY2018. Exceptions were made to travel essential to public safety, health, and disaster management.												
<b>Totals</b>		<b>3,940.3</b>	<b>2,824.0</b>	<b>69.5</b>	<b>1,000.4</b>	<b>46.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>25</b>	<b>0</b>	<b>1</b>

## Personal Services Expenditure Detail (1445)

### Department of Commerce, Community, and Economic Development

Scenario: FY2020 Governor Amended (15636)  
 Component: Banking and Securities (2808)  
 RDU: Banking and Securities (536)

Status	PCN	Tracking ID	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Steps	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	UGF Amount
08-1280		1277	Regulations Spec II	FT	A	GP	Juneau	205	16 D / E	12.0		59,309	2,387	0	38,732	100,428	
08-2041		10317	Investigator III	FT	A	GP	Anchorage	200	18 C / D	12.0		63,079	2,538	0	40,019	105,636	
08-2110		2586	Fin Reg Exam	FT	A	GP	Juneau	205	14 C / D	12.0		48,938	1,969	0	35,193	86,100	
08-2112		26342	Investigator II	FT	A	GP	Anchorage	200	16 A / B	12.0		51,171	2,059	0	35,955	89,185	
08-2122		31324	Fin Reg Exam	FT	A	GP	Juneau	205	14 B / C	12.0		48,240	1,941	0	34,955	85,136	
08-2210		10376	Fin Exam III	FT	A	GP	Anchorage	200	21 E / F	12.0		80,426	3,236	0	45,940	129,602	
08-2237		10382	Division Operations Manager	FT	A	SS	Juneau	99	24 N	12.0		145,816	0	0	67,806	213,622	
08-3001		10384	Division Director	FT	A	XE	Anchorage	N00	27 D / E	12.0		116,814	0	0	58,555	175,369	
08-3002		10385	Administrative Assistant II	FT	A	GP	Juneau	205	14 B / C	12.0		48,636	1,957	0	35,090	85,683	
08-3003		10386	Fin Exam II	FT	A	GP	Anchorage	200	19 C / D	12.0		66,942	2,694	0	41,338	110,974	
08-3004		10387	Fin Exam III	FT	A	GP	Anchorage	200	21 F / G	12.0		83,317	3,353	0	46,926	133,596	
08-3008		10388	Fin Exam IV	FT	A	SS	Anchorage	200	23 B / C	12.0		92,519	0	0	50,407	142,926	
08-3010		10390	Fin Exam III	FT	A	GP	Anchorage	200	21 B / C	12.0		72,861	2,932	0	43,358	119,151	
08-3014		10392	Fin Exam III	FT	A	GP	Anchorage	200	21 K / L	12.0		93,215	3,751	0	50,305	147,271	
08-3021		10396	Fin Exam IV	FT	A	SS	Anchorage	200	23 L	12.0		116,412	0	0	58,562	174,974	
08-3024		10398	Fin Reg Exam	FT	A	GP	Juneau	205	14 J / K	12.0		60,113	2,419	0	39,007	101,539	
08-3027		10399	Fin Exam III	FT	A	GP	Anchorage	200	21 C / D	12.0		76,019	3,059	0	44,436	123,514	
08-3028		10400	Fin Reg Exam	FT	A	GP	Juneau	205	14 C / D	12.0		50,448	2,030	0	35,708	88,186	
08-3030		10401	Fin Exam I	FT	A	GP	Anchorage	200	17 J	12.0		68,352	2,750	0	41,819	112,921	
08-3088		10402	Fin Exam II	FT	A	GP	Anchorage	200	19 A / B	12.0		63,429	2,552	0	40,139	106,120	
08-3089		10403	Administrative Assistant I	FT	A	GP	Anchorage	200	12 J / K	12.0		48,669	1,958	0	35,101	85,728	
08-3099		10410	Fin Exam II	FT	A	GP	Anchorage	200	19 B / C	12.0		63,954	2,573	0	40,318	106,845	
08-3100		24420	Fin Exam III	FT	A	GP	Anchorage	200	21 G / J	12.0		88,236	3,551	0	48,605	140,392	
08-3101		28157	Fin Exam I	FT	A	GP	Anchorage	200	17 B / C	12.0		55,636	2,239	0	37,479	95,354	
08-3102		31914	Fin Exam I	FT	A	GP	Anchorage	200	17 E / F	12.0		61,591	2,478	0	39,511	103,580	

Note: If a position is split, an asterisk (\*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (\*\*) will appear in this column.  
 [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

**Personal Services Expenditure Detail (1445)**

**Department of Commerce, Community, and Economic Development**

**Scenario:** FY2020 Governor Amended (15636)  
**Component:** Banking and Securities (2808)  
**RDU:** Banking and Securities (536)

Status	PCN	Tracking ID	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Steps	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	UGF Amount
08-N18005	32605	Fin Reg Exam		NP	A	GP	Juneau	205	14	A / B	12.0	46,407	1,561	0	24,119	72,087	
													<b>Total Salary Costs:</b>	1,870,549			
													<b>Total COLA:</b>	55,987			
													<b>Total Premium Pay:</b>	0			
													<b>Total Benefits:</b>	1,109,383			
													<b>Total Pre-Vacancy:</b>	3,035,919			
													<b>Minus Vacancy Adjustment of 4.17%:</b>	(126,519)			
													<b>Total Post-Vacancy:</b>	2,909,400			
													<b>Plus Lump Sum Premium Pay:</b>	0			
													<b>Personal Services Line 100:</b>	2,909,400			
				<b>Total Positions</b>	<b>New</b>	<b>Deleted</b>											
<b>Full Time Positions:</b>				25	0	0											
<b>Part Time Positions:</b>				0	0	0											
<b>Non Permanent Positions:</b>				1	0	0											
<b>Positions in Component:</b>				26	0	0											
<b>Total Component Months:</b>				312.0													

<b>PCN Funding Sources:</b>		<b>Pre-Vacancy</b>	<b>Post-Vacancy</b>	<b>Percent</b>
1005	General Fund/Program Receipts	3,035,919	2,909,400	100.00%
<b>Total PCN Funding:</b>		<b>3,035,919</b>	<b>2,909,400</b>	<b>100.00%</b>

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**State of Alaska**  
**Department of Commerce, Community and Economic Development**  
**Banking and Securities**  
**FY2020 Governor Amended Organization Chart**

