

State of Alaska
FY2020 Governor's Operating Budget

Department of Transportation/Public Facilities
Administration and Support
Results Delivery Unit Budget Summary

Administration and Support Results Delivery Unit

Contribution to Department's Mission

Provide executive, regional and administrative leadership to meet the mission of “Keep Alaska Moving through Service and Infrastructure”. Optimize state investment in transportation by means of data-driven recommendations.

Core Services

- Provide executive leadership ensuring the department meets its statutory responsibility for the planning, design, construction, contracting, security, maintenance and operation of transportation facilities and public buildings.
- Develop, implement, and maintain policies and procedures and standards for the department.
- Develop, present, and oversee the implementation of the operating and capital budgets.
- Communicate with community leaders, stakeholders, and the public to identify transportation needs and proposed solutions.
- Ensure fiscal integrity and accountability.
- Administer the construction contracts protest and claim appeal adjudication process statewide.
- Provide human resource and workforce planning services.
- Provide and maintain computer connectivity and secure data.
- Develop statewide and regional transportation plans.
- Administer the Alaska Highway Safety Office, the Federal Highway Program, the Scenic Byway, Federal Transit Program and the Civil Rights Office.
- Protect the state’s highway infrastructure through enforcement of commercial vehicle regulations.
- Assure market place confidence and equitable trade by inspecting, testing, calibrating, commercial weighing and measuring devices.
- Plan, design, construct and coordinate operations in compliance with the Alaska Aviation System Plan.
- Manage the use of lands and buildings at airports.
- Provide procurement services for headquarters, central region, southcoast region, and the Alaska Marine Highway System.
- Provide statewide guidance.

Major RDU Accomplishments in 2018

- Used Results Based Alignment (RBA) throughout the agency to ensure the department focuses on core mission while achieving maximum effectiveness and efficiency. Used RBA to prioritize work and optimize budget and resource allocation.
- Introduced Behavior Based Safety Culture.
- Operationalized the RBA budget tool.
- Developed and implemented department strategic plan, further embedding Results Based Alignment and the One Department of Transportation and Public Facilities (DOT&PF) principle in decision-making.
- Worked with the Commissioner's Office and staff throughout the department to implement RBA process and to develop performance measures and tie them to the department's budget.
- Received Federal Highway Administration (FHWA) approval of the FY2017 Affirmative Action Plan Progress Report.
- Continued to expand the use of ArcGIS Online application to distribute maps and business data to the public, including the Winter Road Maintenance Priority map.
- Worked with Office of Information Technology (OIT) to utilize enterprise software license management to achieve cost savings through volume licensing, reduce risk of financial penalties from potential licensing violations, and improve support efficiency through standardized deployment and support.
- Received federal approval for the 2018-2021 Statewide Transportation Improvement Program, the state’s four-year program for surface transportation system preservation and development (excludes harbors and airports).
- Completed Memorandum of Understanding regarding performance measures and reporting with the following Metropolitan Planning Organizations; Anchorage Metropolitan Area Transportation Solutions and Fairbanks Metropolitan Area Transportation Solutions, regarding Performance Measures and reporting.

- Sampled 13,974 retail items to determine pricing accuracy and took enforcement actions on 128 price overcharges to consumers.
- Successfully obligated \$536.7 million of federal aid highways, federal aid transit and federal highway safety funds to projects and grants, ensuring that no funds were lost for Alaska.
- Partnered with local tribe and rural entities to provide business and workforce development training for the City of Port Lions, Kodiak and Bethel, CALISTA Corporation, and Chugach Alaska Corporation.
- Conducted Contract Compliance Review for FHWA.
- Worked with the Federal Aviation Administration (FAA) to execute \$130.83 million in rural airport improvements.
- Completed the implementation of AASHTOWare Project and Bid Express programs.

Key RDU Challenges

- Developing and implementing an affordable and sustainable multi-modal transportation system with the rising cost of operations, maintenance, and construction as well as the need for deferred maintenance with reduced general funds.
- Increasing employee proficiency and their ability to function efficiently while fully leveraging the new statewide accounting, human resource, and procurement system, Integrated Resource Information System (IRIS).
- Ensuring timely training for updates to the IRIS system for all affected employees.
- Ensuring the culture of One DOT&PF and RBA is integrated into every level of the organization and front line decision makers use performance measures and results.
- Increased focus on oversight and guidance to ensure compliance with federal aid regulations, development and attainment of performance measures and standards, and consistency in the implementation of statewide planning initiatives.
- Discovering additional opportunities to share the responsibility to operate and preserve infrastructure.
- Developing policy and procedures for the Construction Manager/General Contractor contracting method.
- Working closely with FHWA to continue the self-evaluation process, which could require substantial resources to keep the department in compliance.
- Moving from transactional to strategic business processes to find efficiencies.
- Increasing ALDER programing and reporting capabilities.
- Communication and implementation of changes associated with continued development of the Moving Ahead for Progress in the 21st Central (MAP-21) and FAST Act Performance-Based Planning and Transportation Asset Management mandates and departmental integration of Results Based Alignment (RBA). Coordinating with the Transportation Performance Management efforts handled by DOT&PF Design and Engineering Services and State Traffic Safety Engineers.
- Restricted amounts of match funding limit the ability to leverage federal funding to the fullest extent.
- Increased FAA and Transportation Security Administration compliance inspections, requiring more time and resources to address identified issues.
- Transitioning and training staff responsible for safety inspections and size/weight inspections from Commercial Vehicle Enforcement Officers to Commercial Vehicle Compliance Inspectors.

Significant Changes in Results to be Delivered in FY2020

- Results Based Alignment will be the primary tool used to quantify outcomes (not activities), drive decision-making, and determine program and service priorities within DOT&PF.
- Continuing to learn and use the finance, procurement, and human resource modules in IRIS.
- Greater consistency throughout the department.
- Maintaining capabilities and effective operational practices with respect to delivery of information systems support to the department under the state OIT.
- Increased focus on oversight and guidance to ensure compliance with federal aid regulations, development of performance standards and measures, attainment of performance measures and standards, and consistency in the implementation of statewide planning initiatives.
- Standing up an Unmanned Aerial Systems coordination office serving as the state's primary point of contact for executive agencies utilizing these systems.

Contact Information

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**Administration and Support
RDU Financial Summary by Component**

All dollars shown in thousands

	FY2018 Actuals				FY2019 Management Plan				FY2020 Governor			
	UGF+DGF Funds	Other Funds	Federal Funds	Total Funds	UGF+DGF Funds	Other Funds	Federal Funds	Total Funds	UGF+DGF Funds	Other Funds	Federal Funds	Total Funds
Formula Expenditures None.												
Non-Formula Expenditures												
Commissioner's Office	862.7	1,189.8	0.0	2,052.5	1,000.8	967.5	0.0	1,968.3	799.5	861.9	0.0	1,661.4
Contracting and Appeals	17.3	307.0	0.0	324.3	29.8	335.3	0.0	365.1	45.1	302.9	0.0	348.0
EE/Civil Rights	249.1	917.7	0.0	1,166.8	259.1	903.3	0.0	1,162.4	264.0	920.9	0.0	1,184.9
Internal Review	0.0	718.9	0.0	718.9	0.0	804.0	0.0	804.0	0.0	823.8	0.0	823.8
Statewide Admin Services	2,098.8	6,854.4	0.0	8,953.2	1,927.3	6,252.6	0.0	8,179.9	2,009.7	6,387.8	0.0	8,397.5
Information Systems and Services	1,851.8	8,686.3	0.0	10,538.1	2,465.7	7,945.3	0.0	10,411.0	2,515.9	8,103.0	0.0	10,618.9
Leased Facilities	0.0	2,869.8	0.0	2,869.8	0.0	2,937.5	0.0	2,937.5	0.0	2,937.5	0.0	2,937.5
Human Resources	912.7	1,564.7	0.0	2,477.4	801.7	1,564.7	0.0	2,366.4	801.7	1,564.7	0.0	2,366.4
Statewide Procurement	1,026.7	244.6	0.0	1,271.3	1,324.4	571.9	0.0	1,896.3	1,344.8	810.5	0.0	2,155.3
Central Support Svcs	525.8	1,226.9	0.0	1,752.7	271.6	973.6	0.0	1,245.2	273.4	999.5	0.0	1,272.9
Northern Support Services	672.9	1,102.9	0.0	1,775.8	698.4	1,025.3	0.0	1,723.7	713.3	1,047.9	0.0	1,761.2
Southcoast Support Services	494.7	1,272.4	0.0	1,767.1	802.0	1,795.8	0.0	2,597.8	880.5	2,075.7	0.0	2,956.2
Statewide Aviation	220.1	3,827.9	0.0	4,048.0	112.5	4,308.2	0.0	4,420.7	405.4	4,226.2	0.0	4,631.6
Program Development	191.7	6,758.2	0.0	6,949.9	269.9	8,176.9	0.0	8,446.8	601.9	8,053.1	0.0	8,655.0
Measurement Standards	3,690.7	2,695.5	0.0	6,386.2	4,101.0	2,638.5	0.0	6,739.5	4,196.7	2,715.2	0.0	6,911.9
Totals	12,815.0	40,237.0	0.0	53,052.0	14,064.2	41,200.4	0.0	55,264.6	14,851.9	41,830.6	0.0	56,682.5

Administration and Support
Summary of RDU Budget Changes by Component
From FY2019 Management Plan to FY2020 Governor

All dollars shown in thousands

	<u>Unrestricted Gen (UGF)</u>	<u>Designated Gen (DGF)</u>	<u>Other Funds</u>	<u>Federal Funds</u>	<u>Total Funds</u>
FY2019 Management Plan	7,825.5	6,238.7	41,200.4	0.0	55,264.6
Adjustments which continue current level of service:					
-Commissioner's Office	-11.6	-189.7	-105.6	0.0	-306.9
-Contracting and Appeals	15.1	0.2	0.0	0.0	15.3
-EE/Civil Rights	4.9	0.0	17.6	0.0	22.5
-Internal Review	0.0	0.0	19.8	0.0	19.8
-Statewide Admin Services	15.9	22.7	135.2	0.0	173.8
-Information Systems and Services	30.5	19.8	157.7	0.0	208.0
-Statewide Procurement	8.0	12.4	238.9	0.0	259.3
-Central Support Svcs	1.8	0.0	26.4	0.0	28.2
-Northern Support Services	14.9	0.0	22.6	0.0	37.5
-Southcoast Support Services	77.1	1.4	279.9	0.0	358.4
-Statewide Aviation	188.6	4.3	-82.0	0.0	110.9
-Program Development	332.0	0.0	-123.8	0.0	208.2
-Measurement Standards	23.8	71.9	76.7	0.0	172.4
Proposed budget increases:					
-Statewide Admin Services	43.8	0.0	0.0	0.0	43.8
-Statewide Aviation	0.0	100.0	0.0	0.0	100.0
Proposed budget decreases:					
-Contracting and Appeals	0.0	0.0	-32.4	0.0	-32.4
-Information Systems and Services	0.0	-0.1	0.0	0.0	-0.1
-Statewide Procurement	0.0	0.0	-0.3	0.0	-0.3
-Central Support Svcs	0.0	0.0	-0.5	0.0	-0.5
FY2020 Governor	8,570.3	6,281.6	41,830.6	0.0	56,682.5