

State of Alaska
FY2021 Governor's Operating Budget

Department of Transportation/Public Facilities
Contracting and Appeals
Component Budget Summary

Component: Contracting and Appeals**Contribution to Department's Mission**

To effectively carry out the Department of Transportation and Public Facilities (DOT&PF) Commissioner's statutory responsibility to procure the planning, design, and construction of public works (including state buildings).

Core Services

- Oversee departmental and customer agency compliance with federal and state laws, regulations, and policies governing construction procurements and contract administration.
- Develop, implement, and maintain policies, procedures, and operational standards that assure all transportation modes, regions, engineering services, highway construction, and maintenance groups, and those state agencies with a DOT&PF delegation of construction authority, receive responsive and consistent guidance in the administration of procurements and contracts.
- Delegate and administer statutory construction authority for all executive branch agencies.
- Administer the statewide Construction Contracting Warrant System, which trains and certifies individuals on construction procurement requirements.
- Administer the construction contracts protest and claim appeal adjudication process.
- Administer the international and rural airports concession and leasing appeal adjudication process.
- Review and make recommendations for alternate procurement waivers for DOT&PF Commissioner's approval.
- Review and approve Public Interest Findings.
- Review and approve design/build contracting methodology requests.
- Review and approve cancellations of solicitations and construction-related Foreign Outsourcing Waivers.
- Adjudicate suspected procurement code violations.
- Prepare and report all DOT&PF construction procurements annually for the legislature.
- Review and approve Construction Manager/General Contractor contracting requests.

Major Component Accomplishments in 2019

- Provided standard core services.

Key Component Challenges

- Continue to meet state and federal deadlines and requirements.
- Develop policy and procedures for the Construction Manager/General Contractor contracting method.

Significant Changes in Results to be Delivered in FY2021

- No significant changes are anticipated.

Statutory and Regulatory Authority

U.S.C. Title 23
AS Title 02, Aeronautics
AS Title 19, Highways and Ferries
AS Title 35, Public Buildings, Works and Improvements
AS Title 36, Procurement Code
AS Title 44, Applicable Portions
2 AAC 12, Procurement Regulations
81 & 82 AAM Procurement-Alaska Administrative Manual
Delegation from the Department of Administration to the DOT&PF Commissioner

Contact Information

Contact: Charlie Deininger, Chief Contracts Officer
Phone: (907) 465-6990
E-mail: charlie.deininger@alaska.gov

Contracting and Appeals Personal Services Information				
Authorized Positions			Personal Services Costs	
	<u>FY2020</u> <u>Management</u> <u>Plan</u>	<u>FY2021</u> <u>Governor</u>		
Full-time	2	2	Annual Salaries	222,984
Part-time	0	0	COLA	1,270
Nonpermanent	0	0	Premium Pay	0
			Annual Benefits	120,214
			<i>Less 0.40% Vacancy Factor</i>	(1,368)
			Lump Sum Premium Pay	0
Totals	2	2	Total Personal Services	343,100

Position Classification Summary					
Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Chief Contracts Officer	0	0	1	0	1
Procurement Specialist IV	0	0	1	0	1
Totals	0	0	2	0	2

Component Detail All Funds
Department of Transportation/Public Facilities

Component: Contracting and Appeals (2355)
RDU: Administration and Support (333)

Non-Formula Component

	FY2019 Actuals	FY2020 Conference Committee	FY2020 Authorized	FY2020 Management Plan	FY2021 Governor	FY2020 Management Plan vs FY2021 Governor	
71000 Personal Services	295.4	326.1	326.1	326.1	343.1	17.0	5.2%
72000 Travel	1.2	3.1	3.1	3.1	3.1	0.0	0.0%
73000 Services	16.5	13.0	13.0	13.0	16.8	3.8	29.2%
74000 Commodities	0.0	5.8	5.8	5.8	2.0	-3.8	-65.5%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	313.1	348.0	348.0	348.0	365.0	17.0	4.9%
Fund Sources:							
1004 Gen Fund (UGF)	19.1	34.2	34.2	34.2	34.2	0.0	0.0%
1007 I/A Rcpts (Other)	9.0	7.0	7.0	7.0	7.0	0.0	0.0%
1061 CIP Rcpts (Other)	274.7	295.9	295.9	295.9	312.8	16.9	5.7%
1076 Marine Hwy (DGF)	10.3	10.9	10.9	10.9	11.0	0.1	0.9%
Unrestricted General (UGF)	19.1	34.2	34.2	34.2	34.2	0.0	0.0%
Designated General (DGF)	10.3	10.9	10.9	10.9	11.0	0.1	0.9%
Other Funds	283.7	302.9	302.9	302.9	319.8	16.9	5.6%
Federal Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Positions:							
Permanent Full Time	2	2	2	2	2	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Transportation/Public Facilities

Component: Contracting and Appeals (2355)
RDU: Administration and Support (333)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2020 Conference Committee To FY2020 Authorized *****												
FY2020 Conference Committee												
ConfCom		348.0	326.1	3.1	13.0	5.8	0.0	0.0	0.0	2	0	0
1004 Gen Fund		34.2										
1007 I/A Rcpts		7.0										
1061 CIP Rcpts		295.9										
1076 Marine Hwy		10.9										
Subtotal		348.0	326.1	3.1	13.0	5.8	0.0	0.0	0.0	2	0	0
***** Changes From FY2020 Management Plan To FY2021 Governor *****												
FY2021 Salary and Health Insurance Increases												
SalAdj		2.0	2.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1061 CIP Rcpts		1.9										
1076 Marine Hwy		0.1										
FY2021 GGU Salary Increases: \$1.5												
FY2021 increase to GGU Health Insurance from \$1530 to \$1555 per member per month: \$0.5												
Transfer from Internal Review to Align Authority with Anticipated Expenditures												
Trin		15.0	15.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1061 CIP Rcpts		15.0										
Transfer authority from Internal Review to Contracting and Appeals to align with anticipated expenditures. The remaining authority in Internal Review is sufficient to cover anticipated expenditures due to lower contractual services audit expenditures.												
Align Authority with Anticipated Expenditures												
LIT		0.0	0.0	0.0	3.8	-3.8	0.0	0.0	0.0	0	0	0
Transfer authority from commodities to cover anticipated services costs for legal/mediation services. The remaining commodities authority is sufficient due to lower supply expenditures.												
Totals		365.0	343.1	3.1	16.8	2.0	0.0	0.0	0.0	2	0	0

Line Item Detail (1676)
Department of Transportation/Public Facilities
Travel

Component: Contracting and Appeals (2355)

Line Number	Line Name		FY2019 Actuals	FY2020 Management Plan	FY2021 Governor
2000	Travel		1.2	3.1	3.1
Object Class	Servicing Agency	Explanation	FY2019 Actuals	FY2020 Management Plan	FY2021 Governor
2000 Travel Detail Totals			1.2	3.1	3.1
2000	In-State Employee Travel	In-state travel for hearings, coordination and administration.	1.2	3.1	3.1

Line Item Detail (1676)
Department of Transportation/Public Facilities
Services

Component: Contracting and Appeals (2355)

Line Number	Line Name		FY2019 Actuals	FY2020 Management Plan	FY2021 Governor
3000	Services		16.5	13.0	16.8
Object Class	Servicing Agency	Explanation	FY2019 Actuals	FY2020 Management Plan	FY2021 Governor
3000 Services Detail Totals			16.5	13.0	16.8
3004	Telecommunications	Long distance, internet, data, network usage and cellular phone charges.	0.0	0.1	0.1
3017	Inter-Agency Information Technology Non-Telecommunications	Admin - Department-wide Computer services provided by the Department of Administration, Enterprise Technology Services, such as central server, data storage, printing, network services, open connect, and task order system.	2.6	2.6	2.6
3018	Inter-Agency Information Technology Telecommunications	Admin - Department-wide Telecommunication services provided by the Department of Administration, Office of Information Technology, such as basic telephone services, equipment and features, dedicated voice/data line service, statewide paging, two-way radio, and video conference.	0.7	0.7	0.7
3021	Inter-Agency Mail	Admin - Department-wide Chargeback fees for central mail services such as mailing vendor payments and payroll warrants.	0.0	0.1	0.1
3024	Inter-Agency Legal	Law - Department-wide Legal services provided by the Department of Law.	3.3	3.3	3.3
3027	Inter-Agency Financial	Admin - Department-wide Chargeback fees for AKPAY/IRIS/ALDER.	0.8	0.8	0.8
3028	Inter-Agency Americans with Disabilities Act Compliance	Admin - Department-wide Chargeback fees for the statewide coordinator for the Americans with Disabilities Act (ADA).	0.0	1.0	0.1
3029	Inter-Agency Education/Training	Admin - Department-wide Training provided by the Department	0.1	0.1	0.1

Line Item Detail (1676)
Department of Transportation/Public Facilities
Services

Component: Contracting and Appeals (2355)

Object Class	Servicing Agency	Explanation	FY2019 Actuals	FY2020 Management Plan	FY2021 Governor
3000 Services Detail Totals			16.5	13.0	16.8
3030	Inter-Agency Hearing/Mediation	Admin - Department-wide of Administration, Division of Finance. Mediation Services.	9.0	4.3	9.0

Line Item Detail (1676)
Department of Transportation/Public Facilities
Commodities

Component: Contracting and Appeals (2355)

Line Number	Line Name		FY2019 Actuals	FY2020 Management Plan	FY2021 Governor
4000	Commodities		0.0	5.8	2.0
Object Class	Servicing Agency	Explanation	FY2019 Actuals	FY2020 Management Plan	FY2021 Governor
4000 Commodities Detail Totals			0.0	5.8	2.0
4000	Business	General office supplies and commodities.	0.0	5.8	2.0

Revenue Detail (1681)
Department of Transportation/Public Facilities

Component: Contracting and Appeals (2355)

Revenue Type (OMB Fund Code) Revenue Source	Component	Comment	FY2019 Actuals	FY2020 Management Plan	FY2021 Governor
5007 I/A Rcpts (1007 I/A Rcpts)			9.0	7.0	7.0
5301 Inter-Agency Receipts	NatRes - Department-wide	Reimbursable service agreement for appeal assistance.	9.0	7.0	7.0
5061 CIP Rcpts (1061 CIP Rcpts)			274.7	295.9	312.8
5351 Capital Improvement Project Inter-Agency		Recovery of indirect costs from the capital budget via the department's Indirect Cost Allocation Plan (ICAP).	274.7	295.9	312.8

Inter-Agency Services (1682)
Department of Transportation/Public Facilities

Component: Contracting and Appeals (2355)

				FY2019 Actuals	FY2020 Management Plan	FY2021 Governor
Component Totals				16.5	12.9	16.7
With Department of Administration				13.2	9.6	13.4
With Department of Law				3.3	3.3	3.3
Object Class	Servicing Agency	Explanation	FY2019 Actuals	FY2020 Management Plan	FY2021 Governor	
3017	Inter-Agency Information Technology Non-Telecommunications	Admin - Department-wide	Computer services provided by the Department of Administration, Enterprise Technology Services, such as central server, data storage, printing, network services, open connect, and task order system.	2.6	2.6	2.6
3018	Inter-Agency Information Technology Telecommunications	Admin - Department-wide	Telecommunication services provided by the Department of Administration, Office of Information Technology, such as basic telephone services, equipment and features, dedicated voice/data line service, statewide paging, two-way radio, and video conference.	0.7	0.7	0.7
3021	Inter-Agency Mail	Admin - Department-wide	Chargeback fees for central mail services such as mailing vendor payments and payroll warrants.	0.0	0.1	0.1
3024	Inter-Agency Legal	Law - Department-wide	Legal services provided by the Department of Law.	3.3	3.3	3.3
3027	Inter-Agency Financial	Admin - Department-wide	Chargeback fees for AKPAY/IRIS/ALDER.	0.8	0.8	0.8
3028	Inter-Agency Americans with Disabilities Act Compliance	Admin - Department-wide	Chargeback fees for the statewide coordinator for the Americans with Disabilities Act (ADA).	0.0	1.0	0.1
3029	Inter-Agency Education/Training	Admin - Department-wide	Training provided by the Department of Administration, Division of Finance.	0.1	0.1	0.1
3030	Inter-Agency Hearing/Mediation	Admin - Department-wide	Mediation Services.	9.0	4.3	9.0

Personal Services Expenditure Detail
Department of Transportation/Public Facilities

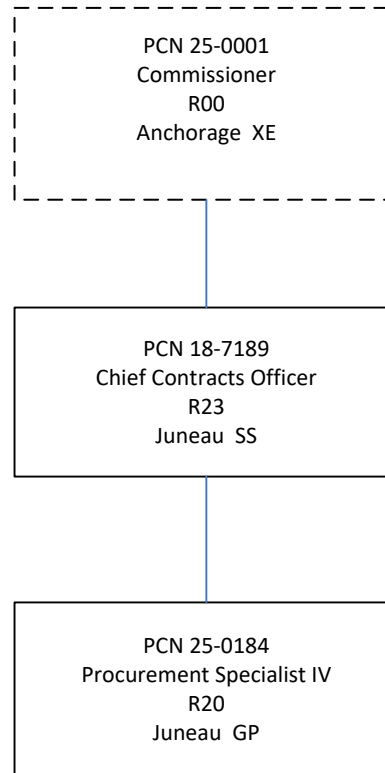
Scenario: FY2021 Governor (16414)
Component: Contracting and Appeals (2355)
RDU: Administration and Support (333)

PCN	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Step	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	UGF Amount
18-7189	Chief Contracts Officer	FT	A	SS	Juneau	205	23M	12.0		130,306	0	0	67,096	197,402	34,336
25-0184	Procurement Specialist IV	FT	A	GP	Juneau	205	20J / K	12.0		92,678	1,270	0	53,118	147,066	0
													Total Salary Costs:	222,984	
													Total COLA:	1,270	
													Total Premium Pay:	0	
													Total Benefits:	120,214	
													Total Pre-Vacancy:	344,468	
													Minus Vacancy Adjustment of 0.40%:	(1,368)	
													Total Post-Vacancy:	343,100	
													Plus Lump Sum Premium Pay:	0	
													Personal Services Line 100:	343,100	
Total Component Months:		24.0													

PCN Funding Sources:	Pre-Vacancy	Post-Vacancy	Percent
1004 General Fund Receipts	34,336	34,200	9.97%
1039 U/A Indirect Cost Recovery	299,088	297,900	86.83%
1076 Marine Highway System Fund	11,044	11,000	3.21%
Total PCN Funding:	344,468	343,100	100.00%

Note: If a position is split, an asterisk (*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (**) will appear in this column. [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

Department of Transportation & Public Facilities (25)
RDU: Administration and Support (333)
COMPONENT: Contracts and Appeals (2355)
FY2021 Governor's Budget
Position Total – 2 PFT



*PCN 25-0001 is budgeted in the Commissioner's Office component