

State of Alaska FY2022 Governor's Operating Budget

Department of Health and Social Services Quality Assurance and Audit Component Budget Summary

Component: Quality Assurance and Audit**Contribution to Department's Mission**

Program Integrity/Quality Assurance efforts focus on meeting and exceeding department and federal standards and requirements related to protecting Medicaid program assets and ensuring quality services.

Core Services

- Audits of Medical Assistance provider Medicaid claims.
- Organize and chair functional Audit Committee.
- Management and oversight of the contract audits mandated under Alaska Statute 47.05.200.
- Compliance Officer contact and responsibility with the Centers for Medicare and Medicaid Services (CMS).
- Departmental contact for the Department of Law, Medicaid Fraud Control Unit (MFCU).
- Payment Error Rate Measurement (PERM).
- Medicaid Provider Self Audit Administration AS 47.05.235.

Major Component Accomplishments in 2020

- Recovered approximately \$5.6 million in Medicaid overpayments.
- Collaborated with Centers for Medicare and Medicaid Services, Division of State Partnership on new Medicare-Medicaid data sharing initiative to help identify fraud, waste and abuse.
- Worked with the Alaska Health Care Fraud Task Force focusing on physicians and advanced practice registered nurses over prescribing opioids.
<https://www.fbi.gov/contact-us/field-offices/anchorage/alaska-health-care-fraud-task-force>
- Issued six payment suspensions to Medicaid providers in collaboration with the Medicaid Fraud Control Unit due to credible allegations of fraud.
- Prepared and distributed educational materials on provider recordkeeping requirements in response to the payment error rate measurement corrective action plan.
- Worked with the Health Care Fraud Prevention partnership and entered into a data sharing agreement.
- Medicaid Program Integrity became a Law enforcement Liaison with the National Health Care Anti-Fraud Association.
- Prepared and distributed educational materials on new provider self-audit requirement.
- Collaborated with Alaska Medicaid Fraud Control unit and assisted in the successful prosecution of a high-profile Medicaid Dental fraud case.

Key Component Challenges

- The Public Health Emergency is reducing the number of on-site audits conducted and is extending desk audit and review process time due to providers working remotely. Contacting Medicaid providers and ensuring records requests are directed to the correct individuals frequently requires multiple attempts.
- Medicaid Program Integrity received approval to procure a case tracking system which will assist with the tracking of audits, reviews and investigations including provider self-audits required by Alaska Statute 47.05.235. The use of the new system will require changes in the work flow and processes and procedures within the section.
- Provider self-audits are due December 31, 2020, the increased workload to educate providers and review these self-audits poses a significant challenge to complete with existing resources.
- The cost and timeliness of appeals through the Office of Administrative Hearings (OAH) continue to be a challenge for Medicaid Program Integrity. The OAH recently issued a decision that took over five and half years and more than \$125,000 payments to OAH to adjudicate.

Significant Changes in Results to be Delivered in FY2022

Due to the public health emergency and increased cycle times for audits and reviews, recoveries will likely fall below

current levels.

Statutory and Regulatory Authority

AS 47.05 Administration of Welfare, Social Services and Institutions
AS 47.07 Welfare, Social Services and Institutions, Medical Assistance for Needy People
7 AAC 160.100 - 140, Article 1 Program Integrity and Quality Assurance

Contact Information
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Quality Assurance and Audit Personal Services Information				
Authorized Positions			Personal Services Costs	
	<u>FY2021</u> <u>Management</u> <u>Plan</u>	<u>FY2022</u> <u>Governor</u>		
Full-time	6	6	Annual Salaries	571,233
Part-time	0	0	COLA	4,261
Nonpermanent	0	0	Premium Pay	0
			Annual Benefits	318,023
			<i>Less 0.04% Vacancy Factor</i>	(313)
			Lump Sum Premium Pay	0
Totals	6	6	Total Personal Services	893,204

Position Classification Summary					
Job Class Title	Anchorage	Fairbanks	Juneau	Others	Total
Audit & Review Analyst II	1	0	0	0	1
Audit & Review Analyst IV	1	0	0	0	1
Med Prog Sp III	1	0	0	0	1
Medicaid Program Specialist II	1	0	0	0	1
Medicaid Program Specialist IV	1	0	0	0	1
Office Assistant III	1	0	0	0	1
Totals	6	0	0	0	6

Component Detail All Funds
Department of Health and Social Services

Component: Quality Assurance and Audit (2880)
RDU: Departmental Support Services (106)

Non-Formula Component

	FY2020 Actuals	FY2021 Conference Committee	FY2021 Authorized	FY2021 Management Plan	FY2022 Governor	FY2021 Management Plan vs FY2022 Governor	
71000 Personal Services	858.1	880.7	880.7	880.7	893.2	12.5	1.4%
72000 Travel	1.0	2.5	2.5	14.0	14.0	0.0	0.0%
73000 Services	287.2	185.1	185.1	185.1	176.9	-8.2	-4.4%
74000 Commodities	5.4	6.0	6.0	6.0	6.0	0.0	0.0%
75000 Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
77000 Grants, Benefits	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
78000 Miscellaneous	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Totals	1,151.7	1,074.3	1,074.3	1,085.8	1,090.1	4.3	0.4%
Fund Sources:							
1001 CBR Fund (UGF)	0.0	134.3	134.3	134.3	0.0	-134.3	-100.0%
1002 Fed Rcpts (Fed)	575.8	537.0	537.0	542.7	544.9	2.2	0.4%
1003 G/F Match (UGF)	575.9	403.0	403.0	408.8	545.2	136.4	33.4%
Unrestricted General (UGF)	575.9	537.3	537.3	543.1	545.2	2.1	0.4%
Designated General (DGF)	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Other Funds	0.0	0.0	0.0	0.0	0.0	0.0	0.0%
Federal Funds	575.8	537.0	537.0	542.7	544.9	2.2	0.4%
Positions:							
Permanent Full Time	6	6	6	6	6	0	0.0%
Permanent Part Time	0	0	0	0	0	0	0.0%
Non Permanent	0	0	0	0	0	0	0.0%

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Quality Assurance and Audit (2880)
RDU: Departmental Support Services (106)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		NP
										PFT	PPT	
***** Changes From FY2021 Conference Committee To FY2021 Authorized *****												
Conference Committee												
	ConfCom	1,074.3	880.7	2.5	185.1	6.0	0.0	0.0	0.0	6	0	0
1001 CBR Fund		134.3										
1002 Fed Rcpts		537.0										
1003 G/F Match		403.0										
Subtotal		1,074.3	880.7	2.5	185.1	6.0	0.0	0.0	0.0	6	0	0
***** Changes From FY2021 Authorized To FY2021 Management Plan *****												
Transfer from Information Technology Services to Align with Anticipated Expenditures												
	Trin	11.5	0.0	11.5	0.0	0.0	0.0	0.0	0.0	0	0	0
1002 Fed Rcpts		5.7										
1003 G/F Match		5.8										
Transfer authority from Information Technology Services to Quality Assurance and Audit to align with anticipated expenditures. The remaining authority in Information Technology Services is sufficient to cover anticipated expenditures.												
Subtotal		1,085.8	880.7	14.0	185.1	6.0	0.0	0.0	0.0	6	0	0
***** Changes From FY2021 Management Plan To FY2022 Governor *****												
FY2022 Salary Adjustments												
	SalAdj	4.3	4.3	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1002 Fed Rcpts		2.2										
1003 G/F Match		2.1										
FY2022 1% COLA for ASE/General Government (GG/GP/GY/GZ): \$4.3												
Fund Source Adjustment of CBRF to UGF												
	FndChg	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0
1001 CBR Fund		-134.3										
1003 G/F Match		134.3										
Replace Constitutional Budget Reserve Fund (CBRF) used as a one-time funding mechanism in FY2021.												
Transfer Authority from Services for Anticipated Personal Services Expenditures												
	LIT	0.0	8.2	0.0	-8.2	0.0	0.0	0.0	0.0	0	0	0
The remaining services authority is anticipated to be sufficient to cover operating expenditures.												

Change Record Detail - Multiple Scenarios with Descriptions
Department of Health and Social Services

Component: Quality Assurance and Audit (2880)
RDU: Departmental Support Services (106)

Scenario/Change Record Title	Trans Type	Totals	Personal Services	Travel	Services	Commodities	Capital Outlay	Grants, Benefits	Miscellaneous	Positions		
										PFT	PPT	NP
	Totals	1,090.1	893.2	14.0	176.9	6.0	0.0	0.0	0.0	6	0	0

Line Item Detail (1676)
Department of Health and Social Services
Travel

Component: Quality Assurance and Audit (2880)

Line Number	Line Name		FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
2000	Travel		1.0	14.0	14.0
Object Class	Servicing Agency	Explanation	FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
2000 Travel Detail Totals			1.0	14.0	14.0
2000	In-State Employee Travel	In state travel for administrative purposes and for division staff to attend department meetings and briefings, monitor and evaluate grantee services, attend conferences and trainings.	1.0	4.2	14.0
2002	Out of State Employee Travel		0.0	9.8	0.0

Line Item Detail (1676)
Department of Health and Social Services
Services

Component: Quality Assurance and Audit (2880)

Line Number	Line Name		FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
3000	Services		287.2	185.1	176.9
Object Class	Servicing Agency	Explanation	FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
3000 Services Detail Totals			287.2	185.1	176.9
3003	Information Technology	Software licensing fees, renewal and maintenance costs.	24.2	0.1	0.1
3004	Telecommunications	Communication costs to outside vendors for long distance charges, telecommunication fees, data circuits, television, cellular and other wireless phone costs.	1.5	0.3	0.3
3006	Delivery Services	Delivery costs to include postage for the division's mail outs, freight, and messenger or courier delivery costs.	2.3	2.9	2.9
3008	Utilities	Miscellaneous utilities.	1.3	0.0	0.0
3009	Structure/Infrastructure/Land	Reimbursable Services Agreement with Department of Administration Division of General Services for Leases and Facilities Rent.	0.3	0.7	0.7
3010	Equipment/Machinery	Repair and maintenance of office furniture and equipment.	3.4	2.1	2.1
3011	Other Services	Miscellaneous services.	0.5	0.0	10.8
3017	Inter-Agency Information Technology Non-Telecommunications	Admin - Department-wide Reimbursable Services Agreement with Department of Administration Enterprise Technology Services for Telecommunications.	14.9	0.0	15.0
3017	Inter-Agency Information Technology Non-Telecommunications	H&SS - Information Technology Services (2754) Computer Refresh Program	10.3	7.2	12.0
3018	Inter-Agency Information Technology Telecommunications	Admin - Department-wide Reimbursable Services Agreement with Department of Administration Enterprise Technology Services for	3.0	11.6	3.0

Line Item Detail (1676)
Department of Health and Social Services
Services

Component: Quality Assurance and Audit (2880)

Object Class	Servicing Agency	Explanation	FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
3000 Services Detail Totals			287.2	185.1	176.9
		Telecommunications.			
3018	Inter-Agency Information Technology Telecommunications	Law - Department-wide Reimbursable Services Agreement with Department of Law Regulations Review.	0.0	0.1	0.1
3022	Inter-Agency Human Resources	Admin - Department-wide Reimbursable Services Agreement with the Department of Administrative Division of Personnel for Human Resources Services.	4.2	4.3	6.0
3023	Inter-Agency Building Leases	Admin - Department-wide	60.5	0.0	0.0
3024	Inter-Agency Legal	Law - Department-wide Reimbursable Services Agreement with Department of Law Regulations Review.	0.1	0.1	0.0
3025	Inter-Agency Auditing	H&SS - Administrative Support Svcs (320)	0.1	0.0	0.0
3026	Inter-Agency Insurance	Admin - Department-wide Reimbursable Services Agreement with Department of Administration Risk Management for Insurance.	0.7	0.7	0.7
3027	Inter-Agency Financial	Admin - Department-wide Reimbursable Services Agreement with Department of Administration for Integrated Resource Information System and Alaska Data Enterprise Reporting.	2.9	0.0	0.0
3030	Inter-Agency Hearing/Mediation	Admin - Department-wide Reimbursable Services Agreement with Department of Administration Office of Administrative Hearings.	95.5	150.0	118.2
3038	Inter-Agency Management/Consulting	Gov - Department-wide Inter-agency management/consulting services	0.3	0.0	0.0
3038	Inter-Agency Management/Consulting	H&SS - Administrative Support Svcs (320) RSA with Finance and Management Services Administrative Support Services.	2.5	1.7	1.7
3038	Inter-Agency Management/Consulting	H&SS - Commissioner's Office (317) RSA with the Commissioner's Office.	0.5	0.5	0.5

Line Item Detail (1676)
Department of Health and Social Services
Services

Component: Quality Assurance and Audit (2880)

Object Class		Servicing Agency	Explanation	FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
3000 Services Detail Totals				287.2	185.1	176.9
3038	Inter-Agency Management/Consulting	H&SS - Information Technology Services (2754)	RSA with Finance and Management Services Information Technology Services.	0.0	1.9	1.9
3038	Inter-Agency Management/Consulting	H&SS - Public Affairs (2874)	RSA with Finance and Management Services Public Affairs.	3.7	0.8	0.8
3038	Inter-Agency Management/Consulting	H&SS - Quality Assurance and Audit (2880)	Inter-agency management/consulting services	54.5	0.0	0.0
3038	Inter-Agency Management/Consulting	Labor - Department-wide	RSA with Department of Labor Workforce Development Demographics.	0.0	0.1	0.1

Line Item Detail (1676)
Department of Health and Social Services
Commodities

Component: Quality Assurance and Audit (2880)

Line Number	Line Name		FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
4000	Commodities		5.4	6.0	6.0
Object Class	Servicing Agency	Explanation	FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
4000 Commodities Detail Totals			5.4	6.0	6.0
4000	Business	General office supplies necessary to support programs and activities.	5.4	6.0	6.0

Revenue Detail (1681)
Department of Health and Social Services

Component: Quality Assurance and Audit (2880)

Revenue Type (OMB Fund Code) Revenue Source	Component	Comment	FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
5002 Fed Rcpts (1002 Fed Rcpts)			575.8	542.7	544.9
5019 Federal - Miscellaneous Grants		Indirect Federal Receipts as collected in the Cost Allocation Plan.	575.8	542.7	544.9

Inter-Agency Services (1682)
Department of Health and Social Services

Component: Quality Assurance and Audit (2880)

				FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
Component Totals				253.7	179.0	160.0
With Department of Administration				181.7	166.6	142.9
With Department of Health and Social Services				71.6	12.1	16.9
With Department of Law				0.1	0.2	0.1
With Office of the Governor				0.3	0.0	0.0
With Department of Labor and Workforce Development				0.0	0.1	0.1
Object Class	Servicing Agency	Explanation	FY2020 Actuals	FY2021 Management Plan	FY2022 Governor	
3017	Inter-Agency Information Technology Non-Telecommunications	Admin - Department-wide	Reimbursable Services Agreement with Department of Administration Enterprise Technology Services for Telecommunications.	14.9	0.0	15.0
3017	Inter-Agency Information Technology Non-Telecommunications	H&SS - Information Technology Services (2754)	Computer Refresh Program	10.3	7.2	12.0
3018	Inter-Agency Information Technology Telecommunications	Admin - Department-wide	Reimbursable Services Agreement with Department of Administration Enterprise Technology Services for Telecommunications.	3.0	11.6	3.0
3018	Inter-Agency Information Technology Telecommunications	Law - Department-wide	Reimbursable Services Agreement with Department of Law Regulations Review.	0.0	0.1	0.1
3022	Inter-Agency Human Resources	Admin - Department-wide	Reimbursable Services Agreement with the Department of Administrative Division of Personnel for Human Resources Services.	4.2	4.3	6.0
3023	Inter-Agency Building Leases	Admin - Department-wide		60.5	0.0	0.0
3024	Inter-Agency Legal	Law - Department-wide	Reimbursable Services Agreement with Department of Law Regulations Review.	0.1	0.1	0.0
3025	Inter-Agency Auditing	H&SS - Administrative Support Svcs (320)		0.1	0.0	0.0

Inter-Agency Services (1682)
Department of Health and Social Services

Component: Quality Assurance and Audit (2880)

Object Class	Servicing Agency	Explanation	FY2020 Actuals	FY2021 Management Plan	FY2022 Governor
3026 Inter-Agency Insurance	Admin - Department-wide	Reimbursable Services Agreement with Department of Administration Risk Management for Insurance.	0.7	0.7	0.7
3027 Inter-Agency Financial	Admin - Department-wide	Reimbursable Services Agreement with Department of Administration for Integrated Resource Information System and Alaska Data Enterprise Reporting.	2.9	0.0	0.0
3030 Inter-Agency Hearing/Mediation	Admin - Department-wide	Reimbursable Services Agreement with Department of Administration Office of Administrative Hearings.	95.5	150.0	118.2
3038 Inter-Agency Management/Consulting	Gov - Department-wide	Inter-agency management/consulting services	0.3	0.0	0.0
3038 Inter-Agency Management/Consulting	H&SS - Administrative Support Svcs (320)	RSA with Finance and Management Services Administrative Support Services.	2.5	1.7	1.7
3038 Inter-Agency Management/Consulting	H&SS - Commissioner's Office (317)	RSA with the Commissioner's Office.	0.5	0.5	0.5
3038 Inter-Agency Management/Consulting	H&SS - Information Technology Services (2754)	RSA with Finance and Management Services Information Technology Services.	0.0	1.9	1.9
3038 Inter-Agency Management/Consulting	H&SS - Public Affairs (2874)	RSA with Finance and Management Services Public Affairs.	3.7	0.8	0.8
3038 Inter-Agency Management/Consulting	H&SS - Quality Assurance and Audit (2880)	Inter-agency management/consulting services	54.5	0.0	0.0
3038 Inter-Agency Management/Consulting	Labor - Department-wide	RSA with Department of Labor Workforce Development Demographics.	0.0	0.1	0.1

Personal Services Expenditure Detail
Department of Health and Social Services

Scenario: FY2022 Governor (17280)
Component: Quality Assurance and Audit (2880)
RDU: Departmental Support Services (106)

PCN	Job Class Title	Time Status	Retire Code	Barg Unit	Location	Salary Sched	Range / Step	Comp Months	Split / Count	Annual Salaries	COLA	Premium Pay	Annual Benefits	Total Costs	UGF Amount
06-1050	Office Assistant III	FT	A	GP	Anchorage	200	11C / D	12.0		40,106	546	0	33,253	73,905	36,953
06-4095	Medicaid Program Specialist IV	FT	A	SS	Anchorage	99	21L / M	12.0		102,469	0	0	55,781	158,250	79,125
06-4099	Medicaid Program Specialist II	FT	A	GP	Anchorage	200	18L / M	12.0		83,714	1,139	0	48,978	133,831	66,916
06-4104	Audit & Review Analyst IV	FT	A	SS	Anchorage	99	25O	12.0		155,637	0	0	74,166	229,803	114,902
06-7000	Audit & Review Analyst II	FT	A	GP	Anchorage	200	21L / M	12.0		102,395	1,393	0	55,714	159,502	79,751
06-7010	Med Prog Sp III	FT	A	GP	Anchorage	200	20G / J	12.0		86,912	1,183	0	50,131	138,226	69,113

	Total Positions	New	Deleted
Full Time Positions:	6	0	0
Part Time Positions:	0	0	0
Non Permanent Positions:	0	0	0
Positions in Component:	6	0	0

Total Component Months: 72.0

Total Salary Costs:	571,233
Total COLA:	4,261
Total Premium Pay:	0
Total Benefits:	318,023
Total Pre-Vacancy:	893,517
Minus Vacancy Adjustment of 0.04%:	(313)
Total Post-Vacancy:	893,204
Plus Lump Sum Premium Pay:	0
Personal Services Line 100:	893,204

PCN Funding Sources:	Pre-Vacancy	Post-Vacancy	Percent
1002 Federal Receipts	446,759	446,602	50.00%
1003 General Fund Match	446,759	446,602	50.00%
Total PCN Funding:	893,517	893,204	100.00%

Note: If a position is split, an asterisk (*) will appear in the Split/Count column. If the split position is also counted in the component, two asterisks (**) will appear in this column. [No valid job title] appearing in the Job Class Title indicates that the PCN has an invalid class code or invalid range for the class code effective date of this scenario.

FY2022 Governor
Department of Health and Social Services
Departmental Support Services
Quality Assurance and Audit

